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Object. This trial was designed to determine the ability of autologous whole–tumor cell vaccines to induce cell-mediated immune responses in patients with recurrent malignant glioma, as well as to determine whether combining such vaccination with adoptive transfer of in vitro activated T lymphocytes prolongs patient survival.

Methods. Nineteen patients with recurrent malignant glioma, in whom previous external beam radiotherapy and at least one course of chemotherapy had failed were vaccinated twice with irradiated autologous whole tumor cells by using granulocyte-macrophage colony–stimulating factor as an adjuvant. Patients then underwent leukapheresis followed by adoptive transfer of peripheral blood lymphocytes activated in vitro with anti-CD3 and interleukin-2. In vivo immune response, radiological response, clinical outcome, and survival were monitored.

Seventeen patients developed a delayed-type hypersensitivity (DTH) response to vaccination that appeared to be directed against the autologous tumor. In eight patients there was radiological evidence of a response and in five there was evidence of clinical improvement. Median survival was 12 months (range 6–28 months), and both the presence of a DTH response and the radiological response correlated with survival (p < 0.02 and p < 0.04, respectively).

Conclusions. These preliminary results suggest that autologous whole–tumor cell vaccines induce a cell-mediated immune response, which appears to be tumor specific in most patients. Furthermore, vaccination combined with adoptive immunotherapy with in vitro activated cells may induce a radiologically demonstrated tumor response and improved survival despite a condition of advanced disease and immunosuppression resulting from previous treatment or tumor burden. Further studies of immunotherapy are warranted.

KEY WORDS • adoptive immunotherapy • autologous cancer cell vaccine • glioma • granulocyte-macrophage colony–stimulating factor • T lymphocyte • malignant tumor

Gliomas are the most common primary neoplasms of the central nervous system, accounting for more than half of the estimated 20,765 primary central nervous system tumors diagnosed each year in the United States.8 Glioblastoma multiforme and AA, which together comprise the majority of malignant gliomas, are the most common primary brain tumors in adults aged 45 to 74 years8 and account for 27% of these. Multimodality treatment—combined resection, radiotherapy, and chemotherapy—has long been shown to improve survival of patients with these neoplasms.41 Unfortunately, however, despite numerous trials of experimental therapy, the prognosis has not changed significantly in the last two decades: median survival is approximately 11 months postdiagnosis and 5 to 6 months post–tumor recurrence.14,10,13,16–21 These unfortunate results have stimulated interest in experimental approaches to the treatment of these insidious neoplasms.

Immunotherapy is a particularly appealing strategy because of its theoretical potential for tumor-specific cytotoxicity. In several clinical studies immunotherapy has been shown to mediate regression in patients with established extracranial malignancy.9,15,37,39,40 However, several theoretical concerns suggest that this approach may not be applicable to patients with gliomas. First, the brain is believed to be an immunologically privileged region due to the blood–brain barrier, lack of lymphatic drainage, and diminished expression of major histocompatibility complex antigens.28,32 Second, gliomas are known to produce immunosuppressive substances such as transforming growth factor–β, prostaglandin E2, and IL-10.7,25,26,31 Lastly, the devastating consequences of brain inflammatory responses in viral encephalitis, multiple sclerosis, and experimental autoimmune encephalitis suggest the possibil...
ity that an immune response in the brain may be poorly
tolerated. Nonetheless, the observation that lymphocytes
typically infiltrating these neoplasms are tumor specific
and that active cellular immunotherapy leads to prolonged
survival or cure in several animal models us to investigate
the efficacy of immunotherapy in patients harboring ma-
lignant gliomas. 

In Phase I clinical trials of combined active and adop-
tive immunotherapy with autologous tumor in which BCG
was used as an adjuvant, investigators have demonstrated
the safety and feasibility of this approach in patients with
recurrent AA and GBM. They found that large num-
bers of activated T lymphocytes could be produced from
autologous peripheral blood by stimulating with anti-CD3
and IL-2, and the lymphocytes could be reinfused with
minimal toxicity. Furthermore, delayed recurrence and
prolonged survival in some patients suggested the clinical
efficacy of this approach. However, BCG appeared to be
a poor adjuvant for glioma, inducing in vivo immune
response as evidenced by DTH in only 11% of patients. 

The recent identification of GM-CSF as a potent but non-
immunogenic adjuvant suggested that efficacy may be
improved by substituting GM-CSF for BCG. The
current trial was designed to assess the ability of an autol-
ogous whole-tumor vaccine combined with GM-CSF to
induce immune responses against astrocytoma. It was fur-
ther designed to determine whether combining such vac-
cination with adoptive transfer of anti-CD3-stimulated
peripheral blood T cells would limit tumor recurrence and
prolong patient survival.

CLINICAL MATERIAL AND METHODS

Protocol Inclusion Criteria

Patients reported in this ongoing study were enrolled in
either of two protocols approved by the institutional re-
view board of the Karmanos Cancer Center and Wayne
State University between October 1, 1997, and March 31,
2000. The two studies were nearly identical except that
one included patients with several types of malignant tu-
mors. When this protocol expired, the second was written
specifically for patients with malignant glioma. Patients
with other types of tumors treated using the earlier proto-
col will be reported elsewhere.

Eligible patients were adults with histologically con-
firmed diagnoses of Grade III or IV malignant glioma that
had recurred after standard treatment with external beam
radiotherapy and at least one course of chemotherapy. Ad-
ditional eligibility criteria included: a resection of at least
90% of the enhancing neoplasm; a Karnofsky Performance
Scale score of 60 or greater; ability to be weaned
from steroid medication postoperatively; a WBC count
greater than $3.5 \times 10^3/\mu l$; a platelet count greater than
$100 \times 10^3/\mu l$ normal electrolyte activity; and no che-
motherapeutic agents within 30 days of first vaccination.
Exclusion criteria included multifocal or brainstem gli-
omas; concurrent neoplastic disease; known immuno-
suppressive condition; and a life expectancy of less than 3
months. Written informed consent was obtained from
each patient, and the treatment parameters were approved
by the Food and Drug Administration.

Patient Characteristics

During the 30-month period of this ongoing study, 19
eligible patients were treated with combined active and
adoptive immunotherapy in which autologous whole-
tumor vaccine plus GM-CSF was used. Patient character-
istics are summarized in Table 1. Participants were pre-
dominately male (male/female ratio 15:4), with a median
age of 50 years, a mean of 52.3 years, and a median
Karnofsky Performance Scale Score of 70. Two patients
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harbored recurrent AA, one had recurrent gliosarcoma, and the remaining patients harbored GBM. All recurrent tumors were histologically documented to be at least 2 cm in largest diameter; and a combination of modalities including external beam radiotherapy and at least two courses of one or more chemotherapeutic regimens had failed in all patients. Three patients also underwent brachytherapy in which permanent 125I implants were placed, and six were treated with gamma knife surgery at some time prior to entering the study.

**Vaccination Procedure**

Patients underwent stereotactic volumetric resection in which image-guided techniques were used; the goal was to resect as much of the enhancing tumor as feasible without inducing a deficit. Fresh tumor specimens obtained stereotactically from the center region of the neoplasm were transported in sterile saline to a dedicated tissue culture hood. Necrotic tumor, connective tissue, and blood clots were mechanically removed, and the tissue was minced with scissors and suspended in IDMEM containing type I collagenase (1.0 mg/ml) and Dispase (5.0 mg/ml). Complete digestion was achieved within 1.5 to 2 hours at 37°C in a baffled tissue digestion flask. The cells were suspended in IDMEM supplemented with 5% human AB+ serum (tissue culture medium) and counted. Cells were then irradiated (5000 Rads) and stored frozen at –70°C in IDMEM supplemented in 20% serum and 10% dimethyl sulfoxide. All cell preparations were sterile and greater than 80% viable. In selected patients, a small specimen of autologous scalp was also processed identically to the vaccine and used as a negative control.

At the time of vaccination, 2 × 10^9 tumor cells were resuspended in 1 ml 500 μg GM-CSF. The mixture was divided into four equal 0.25-ml aliquots and injected intradermally into right and left upper chest and right and left groins, giving rise to small wheals. On the following four days, GM-CSF (125 μg) was injected into each of the aforementioned sites. An identical vaccination was delivered 2 weeks later.

**Testing for Delayed-Type Hypersensitivity**

At the time of the second vaccination, patients were tested for DTH reaction, an in vivo measure of the cell-mediated immune response. This was accomplished by injecting 10^7 cells in 0.25 ml of serum-free IDMEM without GM-CSF intradermally at a location distant from the immunization sites and marked with indelible ink. The maximum diameter of the resultant wheal was then measured with a caliper 24 hours later. A positive response was defined as a raised, indurated wheal 10 mm or more in diameter at the injection site when examined 24 hours later. In selected patients, negative controls for DTH responses were also tested for reactivity by injecting a preparation of cells derived from autologous scalp (10^7 cells), 0.25 ml culture medium (IDMEM + 20% autologous serum), or 125 μg GM-CSF.

**Activation of T Lymphocytes**

Two weeks after the second immunization, mononuclear WBCs were isolated from nonmobilized peripheral blood using a cell separator. Approximately, 2 to 8 × 10^10 WBCs were obtained from each of two leukapheresis procedures that were performed 1 day apart. Plasma (300 ml) also was collected to be used to prepare autologous serum for the culture medium. White blood cells were cultured for 48 hours in IDMEM with autologous serum (culture medium) and Orthoclone anti-CD3 in tissue culture flasks at 37°C and 5% CO₂. Interleukin-2 was then added, and cells were cultured for an additional 4 to 5 days. After reaching maximum density, cells were pooled and harvested into infusion bags by using a cell harvester system. Cell count and viability were determined, and morphological analysis was performed. Cells then were reinfused into patients. In the patients in cases 11 to 19 (Table 1), cells were frozen while testing for microbial and fungal contamination was performed to ensure sterility prior to reinfusion.

**Adoptive Cell Transfer**

After thawing, cells were resuspended and evaluated for viability by using trypan blue exclusion. Patients underwent intravenous reinfusion of 0.5 to 5 × 10^11 sterile, endotoxin-free cells over a 6-hour period and were observed for an additional 90 minutes.

**Toxicity and Response Criteria**

Toxicity was monitored and graded according to National Cancer Institute Common Toxicity Criterion. Radiological response was assessed at the time at which the activated lymphocytes were reinfused and thereafter at 3-month intervals. A complete response was defined as disappearance of all clinical and radiological evidence of tumor; partial response as at least a 25% decrease in the sum of the cross-sectional area of the tumor; and progressive disease was defined as the appearance of a new lesion or an increase in the cross-sectional area by more than 25%. Stable disease was defined as a change too small to quantify as either partial response or progressive disease. Clinical response was assessed at 3, 6, 9, and 12 months and graded improved if tumor-related symptoms such as headache or seizures improved or if neurological examination demonstrated objective improvement. Worsening symptoms or neurological findings were categorized as evidence of progressive disease. Cases that did not fit definitions of “improved” or “progressive disease” were defined as “stable.”

**Statistical Analysis**

Survival data were compared using the Wilcoxon log-rank test. Integral responses were compared using the two-tailed Fisher exact test. Statistical significance was set at the level of p < 0.05.

**Sources of Supplies and Equipment**

We obtained the IDMEM from Worthington Biochemical Co. (Lakewood, NJ), and Dispase from Sigma Chemical Co. (St. Louis, MO). The tissue digestion flasks were purchased from Wheaton Glass Co. (Ringoes, NJ). The GM-CSF (Sargramostim) was acquired from Immunex Corporation (Seattle, WA). Cobe (Lakewood, NJ) manufactured the Spectra cell separator used to isolate WBCs. We procured the Orthoclone and anti-CD3 from Ortho
Pharmaceuticals (Raritan, NJ) and the IL-4 from Chiron Corporation (Emeryville, CA). The cell harvester system was manufactured by Baxter/Fenwall (Deerfield, IL).

**RESULTS**

**Vaccination Procedure**

All patients underwent vaccination without incident. Four patients (Cases 2, 5, 7, and 10) had induration at the site of vaccination with swelling and erythema of up to 5 mm in diameter. There was no evidence of tumor growth at the vaccination site in any patient throughout the duration of the follow-up period.

**In Vitro Lymphocyte Stimulation**

High levels of cellular differentiation and proliferation occurred in cultures obtained from 18 of 19 patients. Granulocytes typically disappeared during the anti-CD3 culture period. Some monocytes adhered to the surface of the plastic flasks during the anti-CD3 stimulation. The majority of the monocytes also disappeared during culture. As lymphocytes proliferated, the aggregates became progressively smaller until the final population had become predominately T lymphocytes. Cells obtained in the patient in case 13 showed a minimal proliferative response to in vitro stimulation, as well as a higher than normal rate of cell death. In this patient clinical and radiologically demonstrated disease progression were observed between vaccination and leukapheresis, necessitating a pulse of dexamethasone just prior to leukapheresis, which was continued for 5 days. In addition, previous treatment with three different chemotherapy regimens had induced some degree of bone marrow suppression such that on day of vaccination, the WBC count was only $3.5 \times 10^3/\mu L$.

**Associated Toxicity**

Patients developed transient symptoms of low-grade fever (nine patients), chills (seven patients), and muscle spasm (seven patients) during and immediately after reinfusion; such symptoms were consistent with National Cancer Institute Common Toxicity Criterion Grade I response. The other patients did not experience any adverse effects. There were no episodes of Grades II to IV toxicity observed during this treatment protocol.

**In Vivo Evidence of Immune Response**

Four patients developed a DTH response to their first vaccination. This suggests that some tumor antigen was recognized as "foreign" by their immune system prior to the vaccination protocol or that they responded to some of the compound used in the processing of the vaccine. Seventeen of the 19 patients developed a DTH response to autologous tumor (Table 2). In the four patients who responded to their initial vaccination there was an enhanced response to the second vaccination. In the two patients (Cases 8 and 13) in whom vaccination elicited no response, previous multiple courses of chemotherapeutic regimens (two or three, respectively) had failed and had evidence of bone marrow suppression with low WBC counts ($4.1 \times 10^3/ \mu L$ and $3.5 \times 10^3/ \mu L$, respectively) had been observed prior to starting vaccination. Survival in both patients was below median of 12 months.

Five patients (Cases 10–12, 15, and 17) underwent additional testing to assess their immune response (Table 3). The results demonstrated that these patients had competent cell-mediated immune responses to candida, a common recall antigen to which most individuals have been exposed, and that these patients did have no evidence of an immune response to substances used in preparing the vaccine itself, such as GM-CSF or tissue culture medium (IDMEM + 10% autologous serum).

**Radiological Response**

In 12 of the 19 patients there was radiological evidence of increased enhancement consistent with tumor progression between surgery for recurrent tumor and reinfusion of activated lymphocytes (data not shown). Despite active disease and rapid tumor progression, radiological evidence of response to immunotherapy was demonstrated in eight patients. The patient in Case 10 was shown to have a complete radiological response (Fig. 1). Seven patients experienced partial responses at 3 months after reinfusion of the cells, and in nine patients no significant changes were revealed radiologically (Table 2). In two patients (Cases 5 and 13) there was evidence of radiological and clinical progression 3 months after treatment was completed. Both suffered some degree of chemotherapy-induced bone marrow suppression, as WBCs were shown to be at the lower limit of normal ($3.5 \times 10^3/ \mu L$ and $3.7 \times 10^3/ \mu L$, respectively). Indeed, the patient in Case 13 was restarted on a course of steroid therapy prior to leukapheresis and had no DTH response as well as a poor response to in vitro stimulation. Both patients fared poorly. Univariate analysis did not reveal an association between DTH and radiological response.

**TABLE 2**

Clinical outcome in 19 patients with recurrent malignant glioma*

<table>
<thead>
<tr>
<th>Case No.</th>
<th>DTH Response</th>
<th>Radiological Response at 3 Mos.</th>
<th>Survival Status</th>
<th>Postop Survival (mos)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>yes</td>
<td>SD</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>2</td>
<td>yes</td>
<td>PR</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>3</td>
<td>yes</td>
<td>PR</td>
<td>imp</td>
<td>alive</td>
</tr>
<tr>
<td>4</td>
<td>yes</td>
<td>SD</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>5</td>
<td>yes</td>
<td>PD</td>
<td>PD</td>
<td>dead</td>
</tr>
<tr>
<td>6</td>
<td>yes</td>
<td>PR</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>7</td>
<td>yes</td>
<td>PR</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>8</td>
<td>no</td>
<td>SD</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>9</td>
<td>yes</td>
<td>SD</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>10</td>
<td>yes</td>
<td>CR</td>
<td>imp</td>
<td>alive</td>
</tr>
<tr>
<td>11</td>
<td>yes</td>
<td>PR</td>
<td>SD</td>
<td>alive</td>
</tr>
<tr>
<td>12</td>
<td>yes</td>
<td>PR</td>
<td>imp</td>
<td>alive</td>
</tr>
<tr>
<td>13</td>
<td>no</td>
<td>PD</td>
<td>PD</td>
<td>dead</td>
</tr>
<tr>
<td>14</td>
<td>yes</td>
<td>PR</td>
<td>SD</td>
<td>alive</td>
</tr>
<tr>
<td>15</td>
<td>yes</td>
<td>SD</td>
<td>SD</td>
<td>dead</td>
</tr>
<tr>
<td>16</td>
<td>yes</td>
<td>SD</td>
<td>SD</td>
<td>alive</td>
</tr>
<tr>
<td>17</td>
<td>yes</td>
<td>SD</td>
<td>imp</td>
<td>alive</td>
</tr>
<tr>
<td>18</td>
<td>yes</td>
<td>SD</td>
<td>SD</td>
<td>alive</td>
</tr>
<tr>
<td>19</td>
<td>yes</td>
<td>SD</td>
<td>imp</td>
<td>alive</td>
</tr>
</tbody>
</table>

* CR = complete response; imp = improved; PD = progressive disease; PR = partial response; SD = stable disease.
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TABLE 3  
Summary of immune responses in five selected patients*

<table>
<thead>
<tr>
<th>Antigen</th>
<th>Reaction at Initial Vaccination</th>
<th>DTH Diameter of Wheal (median)</th>
</tr>
</thead>
<tbody>
<tr>
<td>candida</td>
<td>5 of 5</td>
<td>4–12 mm (7 mm)</td>
</tr>
<tr>
<td>GM-CSF</td>
<td>0 of 5</td>
<td>NA</td>
</tr>
<tr>
<td>tissue culture media</td>
<td>0 of 5</td>
<td>0–4 mm (NA)</td>
</tr>
<tr>
<td>vaccine + GM-CSF</td>
<td>4 of 19</td>
<td>8–28 mm (14 mm)</td>
</tr>
</tbody>
</table>

* Tests were performed in Cases 11, 12, 15, 17, and 18. Abbreviations: NA = not applicable; ND = not done.

Clinical Outcome

At time of the census 10 patients had died and nine remained alive. Nine of the deaths were due to progressive neurological deterioration associated with tumor progression. The patient in Case 9 committed suicide due to financial and marital problems despite stable radiological response and stable clinical disease. The mean survival was 12.3 months, and the median survival was 12 months. Univariate analysis revealed a positive correlation between the presence of a DTH response and survival (p < 0.02). Survival also correlated with radiological response when patients who responded to therapy (those with complete or partial response) were compared with patients who did not (those whose radiological studies demonstrated stable or progressive disease) (p < 0.04).

Five patients improved neurologically after immunotherapy was completed. Improved function included resolution of headache (Cases 3, 12, 17, and 18), improved speech (Cases 12 and 19), improved motor power (Cases 10 and 12), and improved sensory function (Cases 12 and 17). Twelve patients remained neurologically stable for at least the first 3 months. Two patients (Cases 5 and 13) developed progressive neurological deterioration. Both had undergone at least two previous resections and had suffered chemotherapy-induced bone marrow suppression with low WBC counts, and in the patient in Case 13 poor invitro and in vitro immune response was shown. Both fared poorly, and their survival length was well below the median. No association between clinical outcome and DTH or radiological response was revealed by univariate analysis.

Four patients underwent additional surgery for resection of recurrent gadolinium-enhancing mass while enrolled in the study. In two patients (Cases 6 and 7) there was evidence of recurrent GBM with profuse infiltrating lymphocytes, predominately of CD 4+ T\(_H_0\) isotype. It was not possible to determine whether these were transferred cells, however. The patient in Case 3 was found to have primarily necrotic tissue with few viable tumor cells or lymphocytes. The patient in Case 12 underwent radiosurgery for a recurrent tumor that developed outside the original resection bed. No histological specimen was obtained.

DISCUSSION

For immunotherapy to be effective, the immune system must both identify the tumor as foreign and mount a response to destroy it. Obstacles to immunotherapy in cases of glioma are twofold: 1) gliomas are poorly antigenic and 2) the brain’s immunologically privileged nature as well as the immunosuppressive function of gliomas impair local immune responses. Whereas experimental autoimmune encephalitis, multiple sclerosis, and viral encephalitis suggest that lymphocytes can enter the brain to perform routine “immunosurveillance” activity, the paucity of major histocompatibility complex expression in the healthy brain normally induces tolerance rather than immune recognition due in part to poor antigen presentation. Moreover, the minimal response to even allogenic gliomas in animals and the paucity of glioma-specific antigens identified thus far in humans suggest that glioma antigens are relatively weak.

A classic strategy for improving immune recognition is vaccination with an adjuvant. This may be facilitated when it occurs in the vicinity of lymph nodes, which have high concentrations of both antigen-presenting cells and effector lymphocytes and are distant enough from primary tumor to escape any local immunosuppressive effect. Vaccination with autologous glioma and bacterial adjuvants such as BCG have facilitated the development of antitumor immune response in animal models. However, clinical studies have demonstrated that BCG was a poor adjuvant in humans, as it would frequently induce large, ulcerating lesions. More recently, GM-CSF has proven to be a more powerful adjuvant that is itself immunogenic in humans. However, although vaccination...
with tumor and adjuvant effectively primes T lymphocytes to reject a small number of tumor cells that are later transplanted, it will not generate a sufficient number of circulating effector cells to reject an already growing neoplasm.

Rejection of an established tumor requires large numbers of circulating effector cells. Although it may be theoretically possible to generate the required number of cells by conducting multiple, repeated vaccinations, a more practical way to generate high numbers of effector T lymphocytes is to stimulate partially activated T lymphocytes in vitro with anti-CD3 and IL-2 after 1 to 2 vaccinations. The presence of anti-CD3 activates antigen-primed T lymphocytes and the effect on naïve T cells is minimal. Results obtained in animal studies indicated that combining the vaccination strategy with in vitro activation could not only generate tumor-specific immune recognition but could also lead to rejection of established intracranial tumors.

Numerous other approaches to adoptive immunotherapy for gliomas have been previously explored. Adoptive transfer of in vitro activated lymphocytes from vaccine-draining lymph nodes is a similar approach that has demonstrated efficacy in preclinical models, as well as in a small number of clinical responses in humans. However, recent evidence suggests that peripheral blood lymphocytes are more effective at homing to tumor in situ than nodal lymphocytes. Moreover, surgical interruption of lymphatic drainage may preclude future repeated vaccinations. Tranfection of tumors with cytokine genes has been shown to be effective in preclinical models, but because of the technical complexity of this approach, it may have little translational potential. The implantation of a cytokine-secreting pump is a simpler approach to cytokine delivery, and some efficacy has been shown in preclinical models. However, the mixture and timing of cytokines required to optimize immunization is not currently known and is likely to be complex. Tumor-infiltrating lymphocytes and lymphokine-activated killer cells have also been studied as possible vehicles for immunotherapy of glioma. However, both have been shown to be associated with low response rate, possibly due to poor migration to the tumor site, as well as a significant incidence of toxicity. In clinical trials intracavitary therapy with lymphokine-activated killer cells or allogeneic cytolytic T lymphocytes has been used to overcome the aforementioned problem, although its efficacy has remained modest. More recently, several groups have used dendritic cells pulsed with either tumor homogenate or tumor RNA to treat intracranial gliomas successfully in animals. To our knowledge, however, there have been no reports on the effectiveness of this approach in patients with malignant astrocytomas.

The current trial was designed to test two hypotheses: 1) that vaccination with autologous whole-tumor vaccine in which GM-CSF is used as an adjuvant could induce an immune response against malignant astrocytoma; and 2) that combining such vaccination with adoptive transfer of anti-CD3–stimulated peripheral blood T cells would prolong patient survival.

Analysis of our results demonstrates that, despite lack of innate immune response, intradermal vaccination with autologous whole-tumor vaccine in which GM-CSF is used as an adjuvant induces DTH reaction, a type of cellular immune response, in nearly all patients. This finding suggests that malignant gliomas are immunogenic and that, in the proper context, an antitumor immune response can be induced even in patients with advanced disease and the associated immunosuppressive consequences of increased tumor burden, chemotherapy-induced bone marrow suppression, and glioma-associated immunosuppression. The lack of DTH in our two patients with low baseline WBCs—both of whom had not benefited from multiple courses of chemotherapy with multiple agents, and one of whom had been restarted on dexamethasone, a known immunosuppressant—is consistent with this observation. Whereas lack of identified tumor-specific antigens in human gliomas makes it difficult to ascertain the specificity of this response, the absence of response to vaccination with autologous scalp, another neuro-ectodermal tissue, or to GM-CSF or tissue culture media alone suggest that this response is related to recognition of tumor antigens.

These results can also be seen to support the safety and possible clinical efficacy of combining vaccination with adoptive immunotherapy in which in vitro activated T lymphocytes are used for prolonging survival. The median survival of 12 months after tumor recurrence observed in patients in this study compares favorably with that of 5 to 6 months demonstrated for patients treated with surgery alone in a recent large clinical trial, as well as to historical controls. Moreover, univariate analysis demonstrated an independent association between DTH and survival, and three of our four patients with evidence of innate immune response to autologous tumor also developed a radiological response and experienced prolonged survival (median 17 months). In the remaining patient, who did poorly (Case 5), three prior courses of chemotherapy had failed, and her baseline WBC count (below $4 \times 10^9$) was consistent with chemotherapy–induced marrow suppression. Univariate analysis also established a correlation between radiological response and survival. Despite theoretical concerns regarding the development of immune responses to antigens shared by tumor and normal brain and despite the presence of such cross reaction observed in several animal models, we did not find any clinical or radiological evidence of such phenomena in our series.

The link between treatment and outcome in a small, heterogeneous series of patients is difficult, if not impossible to establish. Although the absence of correlation between DTH and clinical response may simply reflect the effect of surgical tumor debunking, the lack of any clear association between DTH and radiological response reflects our lack of understanding of this phenomenon and the need for additional study. To some extent, the observed variability in radiological response may reflect the heterogeneity in tumor biological features, immune function, and the effects of previous treatment regimens of patients in this study. Furthermore, our understanding of the radiological appearance correlates of the immune response in the brain is limited. Although one might expect diminution of the tumor, consideration of the radiological appearance of multiple sclerosis or viral encephalitis—other diseases of the brain with causes etiology—suggests the inflammatory response might actually lead to increased enhancement. Further studies will be needed to provide better under-
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standing of the relationship between antitumor immune response and radiological response.

CONCLUSIONS

Preliminary evidence suggests that adoptive immunotherapy in which autologous whole–tumor cell vaccine is combined with GM-CSF followed by adoptive transfer of CD3-activated lymphocytes is associated with evidence of tumor-specific immune response. There was also evidence of clinical and radiological responses as well as prolonged survival in patients in whom adequate baseline immune function was established. Toxicity was minimal and self-limited, and no evidence of autoimmune disease was observed. Further studies are needed to characterize better the in vivo and in vitro immune response and to identify the role of the various lymphocyte subpopulations in this response. An even more vigorous immune response would be expected in patients in whom tumors were newly diagnosed prior to commencing immunosuppressive chemotherapy, and additional studies in this patient population are warranted.

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