The role of diffusion tensor imaging and tractography in the surgical management of brainstem gliomas

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OBJECTIVE Diffusion tensor imaging (DTI) and diffusion tensor tractography (DTT) have the ability to noninvasively visualize changes in white matter tracts, as well as their relationships with lesions and other structures. DTI/DTT has been increasingly used to improve the safety and results of surgical treatment for lesions in eloquent areas, such as brainstem cavernous malformations. This study aimed to investigate the application value of DTI/DTT in brainstem glioma surgery and to validate the spatial accuracy of reconstructed corticospinal tracts (CSTs).

METHODS A retrospective analysis was performed on 54 patients with brainstem gliomas who had undergone surgery from January 2016 to December 2018 at Beijing Tiantan Hospital. All patients underwent preoperative DTI and tumor resection with the assistance of DTT-merged neuronavigation and electrophysiological monitoring. Preoperative conventional MRI and DTI data were collected, and the muscle strength and modified Rankin Scale (mRS) score before and after surgery were measured. The surgical plan was created with the assistance of DTI/DTT findings. The accuracy of DTI/DTT was validated by performing direct subcortical stimulation (DsCS) intraoperatively. Multiple linear regression was used to investigate the relationship between quantitative parameters of DTI/DTT (such as the CST score and tumor-to-CST distance [TCD]) and postoperative muscle strength and mRS scores.

RESULTS Among the 54 patients, 6 had normal bilateral CSTs, 12 patients had unilateral CST impairments, and 36 had bilateral CSTs involved. The most common changes in the CSTs were deformation (n = 29), followed by deviation (n = 28) and interruption (n = 27). The surgical approach was changed in 18 cases (33.3%) after accounting for the DTI/DTT results. Among 55 CSTs on which DsCS was performed, 46 (83.6%) were validated as spatially accurate by DsCS. The CST score and TCD were significantly correlated with postoperative muscle strength (r = −0.395, p < 0.001, and r = 0.275, p = 0.004, respectively) and postoperative mRS score (r = 0.430, p = 0.001, and r = −0.329, p = 0.015, respectively). The CST score was independently linearly associated with postoperative muscle strength (t = −2.461, p = 0.016) and the postoperative mRS score (t = 2.052, p = 0.046).

CONCLUSIONS DTI/DTT is a valuable tool in the surgical management of brainstem gliomas. With good accuracy, it can help optimize surgical planning, guide tumor resection, and predict the postoperative muscle strength and postoperative quality of life of patients.

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KEYWORDS diffusion tensor imaging; brainstem gliomas; surgical management
Brainstem gliomas comprise a type of glioma that originates from the midbrain, pons, and medulla, accounting for 10%–20% of all intracranial tumors in children and 2%–4% of all intracranial tumors in adults. Brainstem gliomas can be divided into four types based on MRI characteristics: diffuse, focal, exophytic, and cervicomedullary junction tumors. Surgery has been reported to be beneficial for all of these types, except diffuse tumors. However, the high density of neural structures and critical neurological function make brainstem glioma surgeries extremely challenging.

In recent years, multimodal techniques—including functional MR, neuronavigation, intraoperative MRI, and intraoperative electrophysiological monitoring—have been increasingly used in clinical practice to improve the safety and efficacy of resections. Among these techniques, diffusion tensor imaging (DTI) and diffusion tensor tractography (DTT) have the ability to noninvasively visualize white matter tracts as well as their correlation with lesions and other structures. Additionally, DTI has been reported to be helpful in both the surgical planning and prediction of postoperative neurological function. However, most of these applications are confined to supratentorial gliomas in eloquent areas and, most recently, brainstem cavernous malformations. The utility of DTI/DTT in brainstem glioma surgery has rarely been discussed. In addition, controversy persists regarding the spatial accuracy of DTI/DTT.

In this study, we primarily aimed to investigate the application value of DTI/DTT in brainstem glioma surgery, specifically the influence on the selection of surgical approaches and the relationship between DTI/DTT findings and postoperative muscle strength, as well as quality of life. In addition, the spatial accuracy of DTI/DTT on corticospinal tracts (CSTs) has been validated based on intraoperative neuronavigation-guided direct subcortical stimulation (DsCS).

Methods

Study Population

A retrospective review identified a cohort of consecutive patients with brainstem gliomas who underwent surgery at Beijing Tiantan Hospital, Capital Medical University (BTH-CMU) from January 2016 to December 2018. The inclusion criteria were as follows: 1) patients with pathologically confirmed brainstem glioma; 2) patients with focal or exophytic tumors; 3) patients whose surgical plans were made with the assistance of DTI/DTT findings; and 4) patients who underwent surgery with the aid of multimodal image-merged neuronavigation. The exclusion criteria were as follows: 1) patients with incomplete records of clinical or radiological data who could not meet the study requirements; 2) patients who expressed an unwillingness to be included in the studies; and 3) patients whose surgery was performed as a biopsy procedure other than tumor resection. The study was approved and informed consent from patients waived by the IRB of BTH-CMU.

Demographic, clinical, radiological, pathological, and surgical data were collected and double-checked by two neurosurgeons. In addition, the modified Rankin Scale (mRS) score and muscle strength were assessed at both admission and discharge to evaluate neurological function.

Radiological Assessment and DTI/DTT Reconstruction

All patients underwent MRI examinations using the Magnetom Tim Trio 3.0-T MRI machine (Siemens Healthcare) with the same parameters. DTI images were collected using the diffusion-weighted echo-planar imaging technique with the following parameters: diffusion weighting coefficient 1000 sec/mm²; 26 orientations, TR 4600 msec, TE 82.90 msec, slice thickness 4.0 mm, 40 slices, and display field of view (DFOV) 240 mm. Additionally, a 3D T1-weighted anatomical sequence was also collected alongside the DTI scan using a gradient echo sequence with the following parameters: TR 8.21 msec, TE 3.22 msec, slice thickness 1.20 mm, 176 slices, and DFOV 240 mm.

DTI data processing was performed on a StealthViz Planning Station (Medtronic). A fractional anisotropy threshold of 0.10, minimum fiber length of 40 mm, and maximum turning angle of 45° were used for DTI reconstruction. By following a region of interest (ROI) approach, the bilateral CST and medial lemniscus (ML) were reconstructed and demonstrated, although only CSTs were evaluated in this study. The posterior limb of the inner capsule, pyramids of the medulla oblongata, pedunculus cerebi, and front parts of the pons were usually chosen as the ROIs. Two cases showing the reconstruction results of CSTs are illustrated in Fig. 1.

The DTI/DTT results were evaluated by two neurosurgeons. The CST status was then classified into four grades as previously reported by Lazar et al.: 1) normal, 2) deviated, 3) deformed, and 4) interrupted. Each CST was scored as 0, 2, 3, or 4 based on Lazar et al.’s grading system. In addition, the tumor-to-CST distance (TCD) was measured and defined by the closest distance from the tumor to the CST on axial T1-weighted MR images.

Surgical Protocol

The surgical approach was selected individually depending on the characteristics of lesions on MRI (e.g., location, size, growth pattern), a thorough assessment of preoperative neurofunction, and the DTI/DTT findings. For each patient, approach selections were routinely performed twice. The first selection was performed without DTI/DTT results. Then, DTI/DTT was taken into consideration, and the approach was selected again by the same operator. Both selections were recorded in the medical documents, making this retrospective investigation possible.

For the safety of the surgery and to improve the extent of resection as much as possible, neuronavigation and electrophysiological monitoring were routinely used. The contour of the tumor and spatial correlation of the tumor to CST and ML could be visualized on the same image of the StealthStation Teon Plus (Medtronic) or StealthStation S7 surgical navigation system (Medtronic). If a decrease in the amplitude of motor evoked potentials (MEPs) or a repeated abnormal alert of free-running electromyography was noted during surgery, the surgeon would stop manipulation, irrigate the operating field with warm saline, check the actual position with navigation,
and evaluate the extent of resection and distance to white matter tracts before continuing the surgery.

Regarding the brain shift and possible inaccuracy of DTI/DTT reconstruction, neuronavigation-guided DsCS was used to verify the authentic position of the CST during surgery, as we have previously reported. Specifically, the monopolar stimulator was connected to the SureTrak II adaptor (Medtronic); thus, it could function as both a navigation probe and electrical stimulator simultaneously. The validation procedure was performed after tumor removal but could be repeated at any stage of surgery when the tumor-brainstem interface was difficult to define. The intensity of DsCS was initially set at 5 mA and could be increased to a maximum of 20 mA. A positive DsCS was confirmed and documented by MEP changes in the hand or foot within a distance of 2 mm from the stimulator to the position of the reconstructed CST displayed by neuro-navigation. A negative DsCS was recorded if at least three stimulations failed to elicit a potential with fine adjustment of the location of the probe head or augmentation of intensity; related cases are shown in Fig. 2.

Statistical Analysis

Statistical analyses were performed using IBM SPSS software (version 23.0, IBM Corp.). For measurement data, if the variables obeyed a normal distribution, means and standard deviations were calculated; t-tests or ANOVAs were used for intergroup comparisons. Otherwise, quartiles were calculated, and the Mann-Whitney U-test or Kruskal-Wallis H-test was used for intergroup comparisons. For categorical data, frequencies and percentages were calculated, and chi-square tests were used for intergroup comparisons. The Spearman correlation was used to analyze the correlation between quantitative parameters of DTI/DTT, including the CST score and TCD, and muscle strength and mRS score. Multiple linear regressions were used to analyze the predictive value of DTI/DTT parameters for the postoperative muscle strength and mRS score. A p value < 0.05 was considered statistically significant. Concerning the mRS analyses, the CST score of each patient was defined as the higher value of the bilateral CST scores, while the TCD was defined as the lower value of the bilateral TCDs.

Results

Patient Characteristics and Radiological Assessments

A total of 54 patients were included in this study (Table 1). Among all patients, 23 (42.6%) were female and 31 (57.4%) were male. The median age of the patients was 17.6 years (range 1.9–62.2 years). The duration of symptoms ranged from 0.7 to 240 months, with a median of 5.5 months. At admission, the frequency and percentage of preoperative mRS scores 1, 2, 3, and 4 were 34 (63.0%), 13 (24.1%), 6 (11.1%), and 1 (1.9%), respectively. At discharge, the mRS scores of the included patients, with a median of
were higher than at admission. A detailed follow-up and survival analysis were beyond the scope of this study.

In this study, 31 tumors (57.4%) were located in the medulla, 10 (18.5%) in the pontine, and the others (13, 24.1%) in the midbrain. The mean maximum diameter of the lesions on axial T2-weighted MRI was 24.6 ± 8.1 mm. Thirty tumors (55.6%) were intrinsic, whereas 24 (44.4%) were exophytic. Most tumors (39, 72.2%) presented with ring or irregular enhancement, while 15 lesions (27.8%) did not exhibit enhancement after the injection of contrast. Six patients had normal bilateral CSTs, 12 patients had unilateral CST impairments, and 36 patients had bilateral CSTs involved. The most common changes in CSTs were deformation (n = 29), followed by deviation (n = 28) and interruption (n = 27). As the TCD did not follow a normal distribution, the quartiles were 0.00 mm, 0.00 mm, and 3.10 mm. A summary of the patient information, including demographic and symptomatic data, is provided in Table 1.

Influence of DTI/DTT Findings on Surgical Approach Selection and Intraoperative Validation of the Spatial Accuracy of the Reconstructed CST

Without the assistance of DTI/DTT findings, the far lateral approach (22, 40.7%) was most commonly selected, followed by the midline suboccipital approach (13, 24.1%) and the suboccipital transtentorial approach (11, 20.4%; Table 2). The rest of the approaches consisted of the subtemporal (5, 9.3%), retrosigmoid (2, 3.7%), and temporal-occipital approaches (1, 1.9%).

After taking the DTI/DTT findings into consideration, the most commonly used approach was the midline suboccipital approach (23, 42.6%), and the rest consisted of the suboccipital transtentorial (11, 20.4%), far lateral (11, 20.4%), subtemporal (4, 7.4%), retrosigmoid (3, 5.6%), and temporal-occipital approaches (2, 3.7%). In total, 18 patients (33.3%) experienced a modification of surgical approaches. The changes in surgical approaches are shown in Table 2.

Among 108 reconstructed CSTs of 54 included patients, 16 CSTs presented with severe hemiparesis of related limbs (muscle strength ≤ 3). In this context, the transcranial MEPs could not be elicited, nor could DsCS be conducted. DsCS of 37 CSTs could not be performed, as they were far away from the tumor border. For the other 55 CSTs, positive results of DsCS were recorded in 46 cases (83.6%) when placing the stimulator within the 2-mm range of the imaged CST.

Relationship Between DTI/DTT Findings of CSTs and Muscle Strength

A cross table was drawn concerning the pre- and postoperative muscle strength of the related limbs of 108 CSTs in 54 patients (Table 3). Preoperative muscle strength positively correlated with CST score (r = 0.358, p < 0.001). The
CST scores did not share the same postoperative muscle strength distribution, as indicated by the Kruskal-Wallis H-test ($\chi^2 = 18.715, \ p < 0.001$). A Spearman correlation analysis indicated that CST score was negatively related to postoperative muscle strength ($r = -0.395, \ p < 0.001$). Moreover, the TCD was positively related to postoperative muscle strength ($r = 0.275, \ p = 0.004$). When univariate linear regression was performed, gender, preoperative muscle strength, maximum lesion diameter, and CST score were linearly associated with postoperative muscle strength. However, only gender ($t = 2.346, \ p = 0.021$) and CST score ($t = -2.461, \ p = 0.016$) had an independent linear association with postoperative muscle strength on multivariate analysis (Table 4).

Relationship Between DTI/DTT Findings of CSTs and Postoperative mRS Scores

The CST scores did not share the same postoperative mRS score distribution as indicated by the Kruskal-Wallis H-test ($\chi^2 = 12.394, \ p = 0.006$). CST score was positively related to postoperative mRS score ($r = 0.430, \ p = 0.001$), while TCD was negatively related to postoperative mRS score ($r = -0.329, \ p = 0.015$). When univariate linear regression was performed, gender, preoperative muscle strength, maximum lesion diameter, and CST score were linearly associated with postoperative mRS score. In addition, preoperative mRS score ($t = 2.532, \ p = 0.015$) and CST score ($t = 2.052, \ p = 0.046$) had an independent linear association with postoperative mRS score on multivariate analysis (Table 5).

Discussion

Since the advent of DTI/DTT technology, it has been applied to the surgical planning of patients with supratentorial gliomas. Studies have shown that DTI/DTT can not only improve the extent of tumor resection, but also reduce the risk of postoperative neurological deficits and conse-
routinely performed in the resection of brainstem lesions, the location of white matter tracts, DTI/DTT should be taken important fibers. As conventional MRI cannot predict the invasion of brainstem tissues, DTI/DTT is valuable for optimizing surgical corridor, which prevented adequate exposure and safe resection. The changes were mostly from the far lateral approach to the suboccipital midline approach. Most far lateral approaches were adjusted after taking the DTI/DTT results into account. The main reason for this adjustment was that the white matter tracts were displaced more superficially by the tumor in the surgical corridor, which prevented adequate exposure and safe resection. The changes were mostly from the far lateral approach to the suboccipital midline approach. Most of these cases had lesions located in the ventral or ventrolateral medulla. The tumors expanded from the front or lateral part of the medulla, or surrounding tissue was thin there. However, results of DTI/DTT suggested that CSTs were pushed forward or laterally by the tumor and very likely to appear in the surgical path. Because brainstem gliomas are intraaxial lesions and likely to be enwrapped by brainstem tissues, DTI/DTT is valuable for optimizing surgical strategies by indicating whether these tissues contain important fibers. As conventional MRI cannot predict the location of white matter tracts, DTI/DTT should be routinely performed in the resection of brainstem lesions but may also play an important role in stereotactic biopsy. The accuracy of DTI/DTT changes has been studied since the emergence of this technique, especially when used in image-guided neuronavigation. The disadvantages inherent in image processing, such as artifacts, resolution, and parameters, may affect the validity of the reconstructed results. Additionally, the registration errors of the navigation system and the intraoperative brain shift effect may also lead to inaccurate estimation of white matter tracts when using DTI-merged neuronavigation. According to a review focused on the brain shift in neuronavigation, the mean displacement of the brain surface could be as great as 10 mm. In this context, validation of the spatial accuracy of both the neuronavigation and reconstructed CSTs should be performed.

To validate neuronavigation, verifications of the neuronavigation-guided position of anatomical landmarks were periodically performed, especially before and during tumor resection. This verification procedure is shown in Fig. 3. Although no quantified measurements of the displacement of these landmarks were performed, we found that brain shifting of the brainstem during neuronavigation was almost imperceptible by nonmeasurement verification. We speculated that the surrounding structures, such as the cranial nerves, thalamus, and spinal cord, play a role in fixation of the brainstem, and the procedure of lesion exposure, which does not involve traction of the brainstem, contributes to a higher accuracy of neuronavigation in brainstem surgeries than in supratentorial surgeries. However, the reason or mechanism clearly requires further investigation.

For validation of reconstructed CSTs, direct cortical stimulation and DsCS are considered the gold standard for verifying the accuracy of tractography in the surgical treatment of supratentorial gliomas in the eloquent area. Good correspondence has been reported between DTI reconstructed tracts and positive electrical stimulation sites, ranging from 92% to 95%. However, these results were limited to the spectrum of supratentorial lesions. Validation of tractography has never been investigated in brainstem surgery. In the present study, we found that the efficacy and accuracy of DTI/DTT were comparable as those in previous reports. Although DsCS results may be affected by many factors, including the stimulation parameters, anesthetic regimens, electric current spread, and resistance, the high positive rate of intraopera-

<table>
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<tr>
<th>Approach After Considering DTI Results</th>
<th>Suboccipital Midline</th>
<th>Far Lateral</th>
<th>Retrosigmoid</th>
<th>Subtemporal</th>
<th>Suboccipital Transtentorial</th>
<th>Temporal-Occipital</th>
<th>Total</th>
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<td>Suboccipital midline</td>
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<td>1</td>
<td>1</td>
<td>0</td>
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<td>Subtemporal</td>
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<td>0</td>
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<td>Suboccipital transtentorial</td>
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<tr>
<td>Temporal-occipital</td>
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<td>0</td>
<td>0</td>
<td>2</td>
<td>0</td>
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<tr>
<td>Total</td>
<td>13</td>
<td>22</td>
<td>2</td>
<td>5</td>
<td>11</td>
<td>1</td>
<td>54</td>
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Both levels. Furthermore, if the brain shift is significant, other, and thus the position of CSTs may be validated at DTI/DTT changes to mRS score at follow-up. 10–12,19,21 In function, whereas others did not find such a correlation of correlated with short-term postoperative neurological function. By qualitatively preservation of function.

Recommended when assessing the extent of resection and manipulation. Given these considerations, it is advisable to combine these two modalities, and other techniques such as intraoperative electrophysical monitoring (transcranial relaxants, and device failure (among others), the other modality can assume the tasks, helping to provide alerts about interferences with tracts of interest by surgical manipulation; in this case, patients are very likely to suffer from deteriorated or new neurological deficits, thus correlating with weaker muscle strength and worse postoperative mRS score. Additionally, and in contrast to previous reports, we noted that TCD was not associated with the postoperative mRS score. Unlike the anatomical relationship between the CST and brainstem cavernous malformation, most CSTs were populated close to the tumor in patients with brainstem gliomas, and thus TCD loses its predictive value.

Although DTI/DTT has been developed with increasing robustness, it still has some limitations. Leclercq et al. have summarized the factors that may affect the validation of DTI/DTT as follows: crossing fibers, spurious fibers, magnetic susceptibility artifacts, tumor infiltration and tu-

<table>
<thead>
<tr>
<th>Table</th>
<th>Cross table on CST classification and muscle strength of related limbs</th>
<th>Relationship between postoperative muscle strength and preoperative variables shown by linear regression</th>
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<tr>
<td>CST Classification</td>
<td>Muscle Strength Grade</td>
<td>Preop</td>
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<tr>
<td>Preop</td>
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<tr>
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Boldface type indicates statistical significance.

TABLE 4. Relationship between postoperative muscle strength and preoperative variables shown by linear regression

<table>
<thead>
<tr>
<th>Variable</th>
<th>Univariate Analysis</th>
<th>Multivariate Analysis</th>
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<tbody>
<tr>
<td>Age</td>
<td>−0.270 0.788</td>
<td>−0.236 0.815</td>
</tr>
<tr>
<td>Gender</td>
<td>−0.893 0.376</td>
<td>−1.234 0.223</td>
</tr>
<tr>
<td>Preop duration</td>
<td>−0.507 0.615</td>
<td>−1.150 0.231</td>
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<td>Preop muscle strength</td>
<td>−2.775 0.008</td>
<td>−0.157 0.876</td>
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<td>Preop mRS score</td>
<td>3.642 0.001</td>
<td>2.532 0.015</td>
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<td>Location of lesion</td>
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<td>1.711 0.093</td>
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<tr>
<td>Max lesion diameter</td>
<td>1.068 0.291</td>
<td>1.056 0.376</td>
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<td>Growth pattern of lesion</td>
<td>1.305 0.198</td>
<td>1.068 0.291</td>
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<tr>
<td>Enhancement of lesion</td>
<td>−1.213 0.231</td>
<td>−1.213 0.231</td>
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<tr>
<td>CST score</td>
<td>3.160 0.003</td>
<td>2.052 0.046</td>
</tr>
<tr>
<td>TCD</td>
<td>1.588 0.115</td>
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</tbody>
</table>

Boldface type indicates statistical significance.
mor mass effect, selection of ROIs, reconstruction parameters, brain shifting, and coregistration pitfalls. These factors may contribute to the high false positivity of DTI/DTT results despite their excellent sensitivity and negative predictive values. Therefore, Duffau doubted the efficacy of DTI/DTT and considered it a research rather than a clinical tool. However, we do not share such an opinion because our results demonstrated a good accuracy of tractography, and we are comfortable using DTI/DTT routinely. Each radiological imaging modality has pitfalls, and it is the surgeon’s responsibility to interpret these results correctly. In addition, recently developed techniques such as high angular resolution diffusion imaging (HARDI), generalized q-sampling imaging (GQI), and high-definition fiber tractography (HDFT) have demonstrated promising value to overcome the shortcomings of DTI/DTT and provide more detailed and accurate information about white matter tracts. These techniques, however, are mostly used for preoperative assessment rather than intraoperative real-time imaging guidance.

To the best of our knowledge, this study included the largest series investigating the utility of DTI/DTT in brainstem glioma surgery. Yet, the present investigation has the following limitations. First, its retrospective nature and single-arm design may have biased the interpretation of our results. Second, bilateral trigeminal lemniscus and visual and auditory conduction pathways were not reconstructed and studied. A better-designed prospective study will be conducted to further justify the use of DTI/DTT in brainstem glioma surgery. Nevertheless, the results of our study suggest that DTI/DTT is a valuable tool for evaluating brainstem lesions and guiding resection, and it may be routinely used together with other multimodal techniques when a surgical decision is made and surgery is being performed.

Conclusions

DTI/DTT is a valuable tool in the surgical management of brainstem gliomas. With good spatial accuracy, it can
help optimize surgical planning, guide tumor resection, and predict postoperative muscle strength and quality of life.

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**Disclosures**
The authors report no conflict of interest concerning the materials or methods used in this study or the findings specified in this paper.

**Author Contributions**
Conception and design: L Zhang, Xiao, Pan. Acquisition of data: Xiao, Kong, P Zhang, Chen, Wang, Qiao. Analysis and interpretation of data: Xiao, Kong. Drafting the article: L Zhang, Xiao, Kong, Sun. Critically revising the article: all authors. Reviewed submitted version of manuscript: all authors. Approved the final version of the manuscript on behalf of all authors: L Zhang. Administrative/technical/material support: L Zhang, Wu, JT Zhang. Study supervision: L Zhang, Wu, JT Zhang.

**Supplemental Information**
Online-Only Content
Supplemental material is available online.  

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