Safety and efficacy of transluminal balloon angioplasty in the prevention of vasospasm in patients with Fisher Grade 3 subarachnoid hemorrhage: a pilot study

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Recent advances in neuroradiology have made it possible to dilate human cerebral arteries that show vasospasm following aneurysmal subarachnoid hemorrhage (SAH), but the time window is short and the success rate for reversal of delayed ischemic neurological deficit (DIND) varies between 31% and 77%. In a canine model of vasospasm, transluminal balloon angioplasty (TBA) performed on Day 0 (the day of aneurysm rupture) has been shown to completely prevent the development of angiographically demonstrated narrowing by Day 7; this effect is better than any pharmacological treatment for vasospasm thus far described.

The authors conducted a pilot trial to assess the safety and efficacy of TBA performed within 3 days post-SAH. Twelve patients with a very high probability of developing vasospasm (Fisher Grade 3) were included. Target vessels for prophylactic TBA were the internal carotid artery, A1 segment, M1 segment, and P1 segment bilaterally, the basilar artery, and the vertebral artery.

No patient developed DIND or more than mild vasospasm, according to transcranial Doppler criteria. At 3 months, seven patients made a good recovery, two patients were moderately disabled, and three patients died; one patient died because of a vessel rupture during TBA and two older patients died of medical complications associated with an already poor clinical condition at admission.

 Compared with the results of large series reported in literature of patients with aneurysmal SAH, the results of this pilot study indicate an extremely low incidence of vasospasm and DIND after patients underwent prophylactic TBA. A larger, randomized study, however, is required to determine whether prophylactic TBA is efficacious enough to justify the risks.

Key Words * subarachnoid hemorrhage * cerebral vasospasm * transluminal balloon angioplasty * aneurysm

Almost half a century has passed since the original descriptions of cerebral vasospasm occurring after aneurysmal subarachnoid hemorrhage (SAH); despite intense research into its cause and pharmacological treatment and prevention, only very modest gains have been made.[12] Worldwide nimodipine is the only drug used to prevent neurological deficit resulting from vasospasm.[1,15,21,24,38,39,44] A number of other drugs have failed in clinical trials.[3,9,17,18,23,31] and other drugs have had unacceptable side effects.[9,10,49] Because of the ineffectiveness of pharmacological agents, including nimodipine, in treating established narrowing of the large conducting vessels of the circle of Willis, mechanical treatment with transluminal balloon angioplasty (TBA) has recently been introduced.[4,6,7,11,13,14,19,20,25,29,32,36,42,43,50] However, the time window for performing TBA in patients with symptomatic vasospasm is short and is successful in reversing delayed ischemic neurological deficits (DINDs) in only 31 to 77% of the cases.[5,6,11,19] For the treatment of symptomatic vasospasm TBA appears to have a low complication rate.[11,19,30,37,46] In one of the largest series to date, Eskridge and Song[8] found vessel ruptures with fatal outcome in two (4%) of 50 patients who underwent TBA for symptomatic vasospasm. In the series of 31 patients reported by Bejjani, et al.[5] no severe complications were encountered. To undergo TBA the patient must be moved from the intensive care unit to the radiology unit during a time at when intensive monitoring and treatment are most critical. Studies in patients with severe head injuries have shown that the incidence of secondary events (such as low blood pressure, poor arterial oxygenation, hypo- or hypercapnia) increases considerably during periods when patients have to be moved out of the intensive care unit.[2] Because symptomatic vasospasm is extremely rare before Day 3 after SAH and treatment is clearly much less intensive during the first 3 days, this would provide an ideal time window in which to prevent vasospasm by using TBA.
In a canine model of vasospasm, TBA performed on Day 0 has been shown to completely prevent the development of angiographically demonstrated narrowing on Day 7; this result was ascribed to the blood vessels becoming unresponsive to a large number of constrictive, as well as dilatory agents.[33] Because the preventive effect of TBA was better than any pharmacological agent used in the treatment of experimental vasospasm and because TBA can be performed at a time at which secondary insults are usually not yet important, we pleaded for a rapid investigation of the efficacy of performing preventive TBA in patients with aneurysmal SAH.[35] Thus, a pilot trial was conducted to assess the safety and efficacy of TBA that is performed within 3 days after aneurysmal SAH has occurred. A relationship between the amount and distribution of subarachnoid blood detected by computerized tomography (CT) scanning and the later development of cerebral vasospasm was found by Fisher and colleagues:[12] in the presence of subarachnoid blood clots larger than 5 x 3 mm (measured on the reproduced images) or layers of blood 1-mm or more thick in fissures and basal cisterns, severe spasm almost invariably followed. Because TBA is an invasive procedure and not without risk, we believed it was justified in a pilot study to perform such a procedure only in patients with a very significant chance (Fisher Grade 3 hemorrhage) of developing vasospasm.

**CLINICAL MATERIAL AND METHODS**

The Human Subjects Review Committee at the University of California, Davis, Medical Center approved this study. The experimental nature of this treatment was explained to patients and/or their families, and informed consent was obtained before performing the preventive TBA.

**Inclusion Criteria**

All patients with SAH from a ruptured aneurysm, with the presence of diffuse, thick clots in the basal cistern or hemispheric fissures (Fisher Grade 3) detected on a CT scan obtained within the first 2 days after SAH, were considered for enrollment. Patients were only included after surgical treatment of the ruptured aneurysm and any coincidental unruptured aneurysm when preventive TBA could be performed within 3 days postprocedure. No angiographic evidence of vasospasm was demonstrated in any patients included in our sample prior to undergoing TBA. No age limits were set. Pregnancy was not a reason for exclusion. Patients in whom a postoperative angiogram demonstrated vasospasm underwent TBA but were not considered for inclusion in the prophylactic treatment group. To be included in the prophylactic treatment group, the patient had to undergo TBA in at least two of the three parts of the intracranial circulation (right and/or left carotid system and/or vertebrobasilar system), including the arteries directly leading to the ruptured clipped aneurysm.

**Surgical and Medical Management**

Within 2 days postprocedure all patients underwent clipping of their aneurysms through the classic transsylvian approach described by Yasargil and Fox.[48] Postoperatively, all patients received nimodipine (60 mg every 4 hours or 30 mg every 2 hours). Other drugs were given only for specific indications. To achieve plasma levels of approximately 2.2 mg/L, magnesium supplementation was also administered.[47] The patient's hematocrit level was maintained at between 30 and 35% by either hemodilution or infusion of packed red blood cells. Patients received ample fluids, including 500 ml albumin every 24 hours, for prophylactic hyperdynamic therapy. No other plasma expanders were used.[45] For hypertensive therapy, phenylephrine (30-300 µg/minute) was used as necessary in case of DIND.

**Transluminal Balloon Angioplasty**

After surgery the patients in Cases 1 through 9 were allowed to wake up and we checked for any new neurological deficits. The patients in Cases 10 through 12 remained intubated for TBA immediately postsurgery. Patients were then taken to the angiography suite. First, a diagnostic transfemoral angiogram was obtained to check for adequate aneurysm clipping, patency of the parent vessel, and the presence of early vasospasm. In all patients arterial access was gained via a No. 6 French sheath (Pinnacle, Meditech, Watertown, MA) percutaneously placed in the femoral artery. A 100-cm, 5.8 French thin-wall guide catheter (model P5.8-NT-100-M-NS-0; Cook, Inc., Bloomington, IN) was advanced into the internal carotid artery (ICA) and vertebral artery (VA). A 4 X 10-mm, 0.1-ml occlusion balloon-type angioplasty balloon catheter (model NDSB 8501/20002; Interventional Therapeutics Corp., Fremont, CA) was coaxially advanced through the guide catheter and into the intracerebral circulation. Angioplasty target goals for the carotid circulation were the A1 segment of the anterior cerebral artery (ACA), the M1 segment of the middle cerebral artery (MCA), and the supraclinoid ICA. The VA target goals were the P1 segments of the posterior cerebral arteries (PCAs) bilaterally, the basilar artery (BA), and the ipsilateral distal VA. All angioplasty balloon manipulations were performed after the patient had undergone systemic heparinization (5000-U bolus) and roadmapping. Angioplasty balloons were inflated to the vessel diameter with approximately 1 mm of balloon elongation. Inflation duration was 5 seconds.[7,8] Angioplasty was performed in all target arteries that could be entered along their entire lengths with flow-direction technique. Following the procedure an angiogram was obtained in all cases to evaluate the change in caliber and the presence of any vessel damage.
Diagnosis of Vasospasm and Delayed Neurological Deficit

For Days 10 to 14 post-SAH, transcranial Doppler (TCD) ultrasonography was performed on all vessels comprising the circle of Willis. Cerebral vasospasm was graded by peak mean velocities, according to the scale shown in Table 1.[31]

<table>
<thead>
<tr>
<th>Mean Blood Flow Velocity [cm/second]</th>
<th>TCD Vasospasm Severity</th>
</tr>
</thead>
<tbody>
<tr>
<td>150–200</td>
<td>mild</td>
</tr>
<tr>
<td>200–250</td>
<td>moderate</td>
</tr>
<tr>
<td>&gt;250</td>
<td>severe</td>
</tr>
</tbody>
</table>

Any DINDs were thought to be due to vasospasm if they occurred between Days 3 and 14 after the SAH in a vascular distribution that was judged to be at risk (focal deficits for all vessels and/or a decline in the Glasgow Coma Scale score of 2 or more for midline vessels) and when there was no other discernible cause of deterioration such as postoperative hematoma, hydrocephalus, seizure, or metabolic derangement.[16,18] In patients with a suspected DIND, angiographic confirmation was specified. Outcome was assessed at 3 months (± 2 weeks) by an experienced, blinded assessor using the Glasgow Outcome Scale (GOS).[22] Outcome rating was assessed by the operating surgeon only in cases of early unequivocal good outcome (that is, returned to former work prior to 3-month window).

RESULTS

Patient characteristics and results are outlined in Tables 2 and 3. Representative CT scans obtained in patients who fulfilled enrollment criteria and underwent TBA during the study period are shown in Fig. 1.

Within a period of 10 months (6/97-3/98), a total of 12 patients were enrolled and underwent TBA as planned (study group [Cases 1-12], Table 2 and Fig. 1). During the same period we saw patients who also presented with a CT-documented Fisher Grade 3 SAH but were not included in our treatment group for various reasons (excluded group [Cases 13-19], Table 3).
Of the 12 patients in the study group, there were four men and eight women who ranged in age from 29 to 75 years (mean 48 years). Patients presented with the following Hunt and Hess scores: Grade II (two patients), Grade III (five patients), Grade IV (four patients), and Grade V (one patient). The ruptured aneurysms were located as follows: anterior communicating artery ([ACoA] eight patients), posterior communicating artery ([PCoA] three patients), and BA (one patient). One patient (Case 7) had multiple aneurysms including a ruptured BA aneurysm and a nonruptured PCA aneurysm. Another patient (Case 5) was 37 weeks pregnant at the time of her SAH. After intensive discussion with the obstetric department, which was actively involved in managing her pregnancy, it was decided to allow her to continue with her pregnancy and facilitate normal vaginal delivery after her aneurysm had been surgically treated. Two days after surgery and TBA, continuous fetal monitoring revealed late deceleration, and an uneventful caesarian section was performed.

During the study period, we found two patients (Cases 13 and 14) who were unable to undergo prophylactic TBA because severe atherosclerotic changes made it impossible to perform angioplasty in even one major vessel. These patients were 78 and 71 years old. There were two other patients who underwent prophylactic TBA in only one part of the intracranial circulation, mostly because of severe atherosclerotic changes and vascular abnormalities (Cases 15 and 17). The fact that these patients were also older (74 and 72 years), suggests that this treatment may not be feasible in some patients within this age range. Three patients who presented to our hospital several days after SAH had been either found unresponsive at home or had a delayed referral from an outside facility. One of the patients represented in Table 3 (Case 16), in whom angiography revealed evidence of vasospasm just prior to angioplasty, was excluded from the preventive/prophylactic treatment group. One patient refused to be included in the study (Case 19). The characteristics and treatment results of these patients are summarized in Table 3.

**Transcranial Doppler Ultrasonography Findings**
In the study group the TCD velocities obtained were between 150 and 200 cm/seconds in four patients, which was graded as mild vasospasm (Table 1). Blood flow velocities did not exceed 200 cm/second (moderate to severe vasospasm). In the exclusion group, mild spasm was encountered in one patient, and one patient was considered to have moderate vasospasm. Because of a temporarily broken probe, a complete TCD examination could not be performed in all patients.

**Transluminal Balloon Angioplasty**

As mentioned earlier, four patients had to be excluded from the study group because atherosclerotic changes made angioplasty impossible. Because the same balloon was used to treat different sized vessels, a full angioplasty procedure (angioplasty of all 10 target vessels), could not be performed in any of the patients. Dilation was achieved in 40% of the A1, all M1, and in 35% of the P1 segments. Dilation in the ICA and vertebrobasilar arteries (VBAs) was difficult to assess, but the balloon was inflated in 80% of the supraclinoid ICA segments and in 60% of the BAs respectively. Unilateral catheterization of a VA was successful in 70% of the cases. One patient (Case 9) died from rupture of the posterior inferior cerebellar artery during TBA.

**Delayed Ischemic Neurological Deficit and Outcome**

No patient in the study group developed DIND. In the exclusion group symptomatic vasospasm occurred in at least two cases. Based on GOS parameters, outcome assessment in the study group revealed seven patients with good recovery and two patients with moderate disability, for a favorable outcome rate of 75%. Three patients died, one because of vessel rupture during TBA. Two older patients, aged 75 and 64 years, were in very poor clinical condition preoperatively (Hunt and Hess Grades IV-V). Both improved by Day 10: one patient could follow commands and the other had spontaneous eye opening, suggesting no occurrence of clinical vasospasm or DIND, but both later died of pulmonary complications. The moderate disability in patient in Case 3 was due to near-complete kinking of a right A2 segment of the ACA, which was confirmed on postoperative angiography. This caused a mostly left lower-extremity hemiparesis immediately postoperatively, which did not completely resolve. Preoperatively this vessel was already extremely narrow, yet by 6 months the patient made a full recovery. The patient in Case 2 was a police officer, and underwent neuropsychological testing at his work 8 weeks post-SAH; he was found to be fit to resume work.

In the exclusion group two patients had a good recovery, one patient remained vegetative and four patients died. One patient (Case 13) died of pulmonary complications in a supportive nursing facility, and another patient (Case 15) with an extensive medical history of congestive heart failure and rhythm disturbances died of respiratory failure during the course of her hospital stay. Two other patients died and one patient remained in a vegetative state due to occurrence of vasospasm.

**DISCUSSION**

Preventing vasospasm with the use of postoperative TBA performed within 3 days of SAH (from a ruptured berry aneurysm) proved to be feasible in this pilot study involving 12 patients. Angioplasty for the treatment of symptomatic vasospasm has been performed by various groups with promising results. In a 10-year review of 224 clinically good-grade patients treated for aneurysmal SAH by Le Roux and colleagues,[28] 39 patients (17.4%) experienced symptomatic vasospasm; 22 were treated with angioplasty, and 17 were treated without (technique not available). Comparison of these two groups revealed a favorable outcome in 95.5% of those treated with angioplasty, whereas of those not treated 76.5% had a favorable outcome. Immediate postoperative TBA had been described earlier by Le Roux and colleagues,[29] but it was not used prophylactically because their patients already had severe angiographically documented vasospasm.

In a similar study with the same enrollment and TCD criteria in which cyclosporine A was used to prevent vasospasm, TCD ultrasonography detected moderate-to-severe spasm in seven (77%) of nine patients.[31] Five patients (56%) developed a DIND. Five patients (56%) made a good recovery or were moderate disabled. Three patients (33%) died; all had DINDs, and severe diffuse vasospasm was demonstrated angiographically. In another recent study in which nicardipine was used to prevent vasospasm, placebo-treated patients had TCD-detected moderate-to-severe spasm in 13% and DINDs in 46%; 56% made a good recovery, 12% were moderately disabled, and 18% died. Vasospasm was identified as a primary cause of death in 4% of the placebo-treated patients. Overall 11% of the placebo-treated patients in this study made a less than good recovery because of vasospasm.[16,18] Although the percentages of patient groups with each of the Fisher grades cannot be determined from these publications, patients in all grades were examined in these studies, whereas in our study only patients with extensive SAH were included. In our pilot study no patient had TCD detected moderate-to-severe vasospasm or developed DIND. Nine patients (75%) had a good recovery or a moderate disability and three patients (25%) died: one because of a vessel rupture during TBA and two patients presumably because of the combination of severe initial damage and older age. The aging brain might have a less optimum response to initial bleeding.[26] Patient data obtained from the 12 study patients were compared with a sound, concurrently gathered database for 12 patients having surgery (performed by the senior author). All patients were
characteristics-matched and managed in a similar fashion. The following data were obtained: four (33%) of 12 patients developed DINDs, eight patients (67%) made a good recovery or were moderately disabled, one patient (8.3%) remained in a vegetative state, and three patients (25%) died. Of the patients in whom DINDs occurred, two made a good recovery, one died from vasospasm, and one patient remained in a vegetative state; two (17%) of 12 had an unfavorable outcome due to vasospasm.

Comparison of the data of the previous mentioned published studies and our own characteristics-matched patients with those from this pilot study suggests a much lower incidence of vasospasm in the study group (Table 4). From this small sample size, however, it cannot be determined whether prophylactic TBA is more effective than TBA for symptomatic vasospasm, or if it ultimately leads to better patient outcome.

<table>
<thead>
<tr>
<th>Authors &amp; Year</th>
<th>Treatment/Type of Group</th>
<th>No. of Patients</th>
<th>Range of Fisher Grades</th>
<th>DIND (%)</th>
<th>Favorable Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>Haley, et al., 1983</td>
<td>nicardipine</td>
<td>457</td>
<td>all</td>
<td>46</td>
<td>56</td>
</tr>
<tr>
<td>Manno, et al., 1997</td>
<td>cyclosporine</td>
<td>9</td>
<td>3</td>
<td>56</td>
<td>96</td>
</tr>
<tr>
<td>Present study</td>
<td>TBA</td>
<td>12</td>
<td>3, 3 &amp; 4</td>
<td>0</td>
<td>75</td>
</tr>
<tr>
<td></td>
<td>exclusion</td>
<td>7</td>
<td>3, 3 &amp; 4</td>
<td>43</td>
<td>29</td>
</tr>
<tr>
<td></td>
<td>historical controls</td>
<td>12</td>
<td>3, 3 &amp; 4</td>
<td>33</td>
<td>67</td>
</tr>
</tbody>
</table>

The time window and best technique (degree and duration of dilation) for preventive TBA to be effective cannot be established from our study. In the experimental study by Megyesi et al.,[33] the vessels were dilated to a mean of 166% of baseline (range 148-200%) just before the placement of the blood clot, and on Day 7 the vessels were still at 164% of baseline. In our study TBA was performed much later, and because we used the same balloon for all vessels, we encountered difficulties in entering some of them. Significant dilation was performed in 40% of the A1 and A2 segments and 100% of the M1 and 35% of the P1 segments, whereas dilation in the ICAs and VBAs was hardly measurable. Moreover, in the experimental study each segment was dilated twice for 10 seconds, whereas we dilated only once for 5 seconds as we usually do for the treatment of clinical vasospasm.[14] Any of these technical differences, alone or in combination, could be responsible for our finding of a certain degree of TCD velocity increase occurring in most patients between Days 5 and 10 post-SAH, whereas in the experimental canine study of Megyesi, et al., dilation well over baseline was maintained. Species differences or the lesser severity of the experimental SAH compared with clinical aneurysmal SAH (none of the dogs with the untreated vessels with a diameter of 69% of baseline on Day 7 developed neurological deficits) could also account for the seemingly greater efficacy under experimental conditions.

We aimed at performing TBA in no fewer than 10 vessels (one VA, the BA, both P1 segments of the PCA, bilateral ICAs and M1 segments of the MCA’s and A1 segments of the ACAs), but this goal could not be achieved in a single case. With the flow-directed system we used it was often very difficult or impossible to get into the A1 segments because of the take-off angle from the carotid arteries. Using a guided wire system can solve this problem.

Another frequently encountered problem was severe atherosclerosis or vessel tortuosity in older patients that precluded navigating the balloon into either none or one of the three parts of the intracranial circulation (as specified by our protocol). In view of the preliminary nature of our study, we did not believe that overly aggressive attempts to dilate the intracranial vessels could be justified, but considering the encouraging results of this study and the high incidence of poor outcome due to vasospasm in the elderly,[26,34] a more persistent attitude seems warranted.

The most severe and clinically relevant vasospasm seems to occur in the system with the ruptured aneurysm.[41] Thus, it might be sufficient to dilate only the right ICA and right M1 (and perhaps M2) segment for a ruptured right-sided MCA aneurysm, or the right ICA A1 (and perhaps A2) segment for an ACoA aneurysm with a dominant right A1, or only the VBA system for posterior circulation aneurysms, and so on. As it happened, five of our study patients with ACoA aneurysms had both of their A2 segments filling from a single left A1 segment through the ACoA preoperatively. In some cases we succeeded in dilating the ACoA with the clip on the aneurysm in situ, despite observing a certain degree of clip movement during this maneuver. In these cases the operating surgeon believed that the clip was secure enough in place so as not to jeopardize the patient, but whether this is necessary can only be determined in a much larger study.

In our series of 12 patients, one suffered a fatal complication from TBA. Transluminal balloon angioplasty carries risks because of both the angiographic technique and the actual dilation. From a retrospective study of 597 diagnostic angiograms obtained...
after aneurysm surgery, it was concluded that postoperative angiography is safe and should be routinely performed.[27] The few complications encountered in this large series of patients included groin hematoma, catheter-related spasm, and vessel dissection. The highest reported mortality rate from TBA associated with vessel rupture, and derived from a large series of patients, is 4%.[8] In one of our patients the posterior inferior cerebellar artery ruptured with balloon inflation in what was presumed to be the BA. Most likely, the patient, who was awake, had shifted somewhat and made the road map no longer correspond with the actual position of the balloon. To ensure that the road map is corresponding to the actual position of the balloon at the time of inflation, we now perform TBA, as do most other centers, only in paralyzed, anesthetized patients.[5,6,8] Thus, an additional advantage of TBA immediately following surgery is that the patient does not need to be reintubated for this procedure, and additionally, the femoral sheath can be left in place from the preoperative angiography.

Despite the abovementioned complication, the results described here are encouraging. Whether prophylactic TBA is efficacious enough to justify the risks and whether the costs of this treatment in all patients are off-set by great savings in some patients can only be determined in a much larger randomized study in patients with Fisher Grades 2 and 3 SAH. Such a study is presently under design.

References


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