Diffuse intrinsic pontine glioma (DIPG) is an incurable malignant tumor of the brainstem that typically occurs in children. The majority of these tumors harbor the H3K27M mutation, which has led to a reclassification of mutant-positive tumors in the 2016 WHO classification of tumors of the CNS as diffuse midline gliomas, H3K27M-mutant.\textsuperscript{4,11} Besides radiation therapy, chemotherapeutic agents have largely been unsuccessful in impacting the overall survival of patients with DIPG.\textsuperscript{8,12,14} A major barrier to establishing effective chemotherapeutic agents is the blood-brain barrier (BBB).\textsuperscript{1,10,18} Numerous techniques have been developed to overcome this hurdle, including direct delivery of therapeutic agents via intraparenchymal cannulation and interstitial infusion.

\textbf{Evaluating infusate parameters for direct drug delivery to the brainstem: a comparative study of convection-enhanced delivery versus osmotic pump delivery}

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\textbf{OBJECTIVE} Convection-enhanced delivery (CED) and osmotic pump delivery both have been promoted as promising techniques to deliver drugs to pediatric diffuse intrinsic pontine gliomas (DIPGs). Correspondingly, the aim of this study was to understand how infusate molecular weight (MW), duration of delivery, and mechanism of delivery (CED or osmotic pump) affect volume of distribution (V\textsubscript{d}) in the brainstem, to better inform drug selection and delivery in future DIPG investigations.

\textbf{METHODS} A series of in vivo experiments were conducted using rat models. CED and osmotic pump delivery systems were surgically implanted in the brainstem, and different MW fluorescent dextran beads were infused either once (acute) or daily for 5 days (chronic) in a volume infused (V\textsubscript{i}). Brainstems were harvested after the last infusion, and V\textsubscript{d} was quantified using serial sectioning and fluorescence imaging.

\textbf{RESULTS} Fluorescence imaging showed infusate uptake within the brainstem for both systems without complication. A significant inverse relationship was observed between infusate MW and V\textsubscript{d} in all settings, which was distinctly exponential in nature in the setting of acute delivery across the 570-Da to 150-kDa range. Chronic duration and CED technique resulted in significantly greater V\textsubscript{d} compared to acute duration or osmotic pump delivery, respectively. When accounting for V\textsubscript{i}, acute infusion yielded significantly greater V\textsubscript{d}/V\textsubscript{i} than chronic infusion. The distribution in CED versus osmotic pump delivery was significantly affected by infusate MW at higher weights.

\textbf{CONCLUSIONS} Here the authors demonstrate that infusate MW, duration of infusion, and infusion mechanism all impact the V\textsubscript{d} of an infused agent and should be considered when selecting drugs and infusion parameters for novel investigations to treat DIPGs.

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\textbf{KEYWORDS} diffuse intrinsic pontine glioma; DIPG; brainstem; convection-enhanced delivery; CED; osmotic pump; molecular weight; volume of distribution
with the most common one being convection-enhanced delivery (CED).\textsuperscript{3,16}

CED is administered by convection-enhanced pressure gradients that promote a relatively homogeneous distribution of molecular agents in the target parenchyma.\textsuperscript{3} Interstitial infusion by CED to the brainstem has been proven safe and feasible in multiple animal models, and recently a phase I clinical trial in children with DIPG validated this as safe in human patients.\textsuperscript{9,17,19,23,28,31} Post hoc analyses after CED have demonstrated that therapeutic agents achieve high concentrations in the regional parenchyma and adjacent white matter tracts, with negligible efflux in the presence of an intact BBB.\textsuperscript{6,7,22,32} Other interstitial infusion approaches distribute infusate by other mechanisms, including osmotic pump delivery, which relies solely on osmotic gradients after catheter insertion to encourage distribution within the target tissue.\textsuperscript{24} Irrespective of mechanism, these approaches all provide direct access to the brainstem and DIPG site.

Intraparenchymal infusion therapy is a potentially useful strategy to treat DIPG, although there remain a number of questions that need to be answered for optimal clinical application.\textsuperscript{13,16} This includes infusate parameters such as molecular weight (MW), which can vary widely—from < 100 Da for simple drugs to > 150 kDa for antibody–drug conjugates. How the volume of distribution ($V_d$) in the brainstem varies based on this size parameter is unknown. Furthermore, there has yet to be firm evidence confirming how differences in infusion duration and delivery mechanism (i.e., CED vs osmotic pump) affect the distribution of drugs within the brainstem. Correspondingly, the aims of this study were to ascertain how 1) infusate MW, 2) duration of delivery, and 3) mechanism of delivery affect the $V_d$ within the brainstem, to better inform clinicians and scientists how therapeutic delivery to the brainstem can be maximized.

**Methods**

**Animal Preparation**

Female Sprague-Dawley rats (mean age 6 weeks, mean weight 140 g) were used in this study. All animal experiments were conducted in accordance with the NIH guidelines for the use of animals in research and approved by the Mayo Clinic Institutional Animal Care and Use Committee.

**Infusate Agent**

Different FDS-IG fluorescein isothiocyanate–dextran (FITC-dextran) beads of MWs 3–5, 10, 20, 70, and 150 kDa (Sigma-Aldrich), as well as free Alexa Fluor 488 of MW 570 Da (ThermoFisher Scientific), were used as infusate agents in this study. They were diluted in sterile isotonic saline in advance, and formulations were prepared on the day of administration.

**Experimental Design**

To model the distribution of infusate in the brainstem, all rats underwent stereotactic placement of either a CED or osmotic pump delivery system followed by infusions of different MW FITC-dextran beads at 100 mg FITC-dextran/ml saline concentration, and 1 mg/ml for free Alexa. For the CED system, infusions were performed either once (acute) or daily for 5 days (chronic). For the osmotic pump delivery system, infusions were performed continuously either over a 24-hour period (acute) or over 5 days (chronic) using the Alzet minipump system, which allows for drug delivery from an implanted subcutaneous reservoir at a continuous and constant rate. Clinical assessments were performed during setup, immediately after infusion, and again before the rats were euthanized.

**Infusion Setup and Delivery**

Animals were anesthetized with continuous inhalation of 2.5% isoflurane for all procedures. A 1-mm burr hole was drilled using a handheld drill (8050-N/18; Dremel) 1 mm posterior to the sagittal suture and 1 mm lateral to the left lambdoid suture, as previously described.\textsuperscript{30} The animal was then placed in a stereotactic frame (model 502650; World Precision Instruments).

**Convection-Enhanced Delivery**

Using the stereotactic insertion arm, a guide cannula (Plastics One, 6-mm projection below the pedestal) was inserted into the burr hole and affixed with Super Glue. Then, a 26-gauge dummy cannula (Plastics One) was placed inside the guide cannula, and skin was closed around the cannula with 4-0 absorbable Vicryl suture. For each infusion, animals were anesthetized and placed in the stereotactic frame. The dummy cannula was removed and replaced with a 33-gauge internal cannula (Plastics One, 7–8 mm below the pedestal) connected to a CED pump (model UMP3; World Precision Instruments). A Smartouch Controller (model Micro2T; World Precision Instruments) connected to the CED pump was used to configure infusion settings. The 1.5-hour infusions were performed with a total volume infused ($V_i$) of 90 μl at a constant flow rate of 60 μl/hr (1 μl/min). For animals that received a chronic 5-day (1.5 hr/day) infusion, a total $V_i$ of 450 μl at a constant flow rate of 60 μl/hr (1 μl/min) was used, with the cannula removed after and reinserted at each infusion. This flow rate was determined by considering recommended flow rates by Souweidane and colleagues\textsuperscript{9,32} in their DIPG models (0.1–10 μl/min), as well as our own experience in optimizing direct delivery in rat models.

**Osmotic Pump Delivery**

Using the stereotactic insertion arm, a 30-gauge cannula (7–8 mm projection below the pedestal) was inserted into the burr hole and affixed with Super Glue. The cannula was connected to the osmotic pump by a vinyl catheter (Alzet Brain Infusion Kit II; Durect Co.). The pump was primed per the manufacturer’s instructions and implanted in a subcutaneous pocket between the scapulae. The 24-hour infusions were performed with a mini-osmotic pump (model 2001D) with a mean fill volume of 215 μl and a mean pumping rate of 8 μl/hr. For 5-day infusions, osmotic pumps (model 2ML1) at a mean pumping rate of 10 μl/hr and with a mean fill volume of 2183 μl were used.
Feasibility in Orthotopic Xenograft Model

To extend our findings in tumor-bearing animals, we investigated acute CED in an orthotopic patient-derived xenograft (PDX) DIPG rodent model. Briefly, \(5 \times 10^5\) cells of a patient-derived, luciferase-expressing, SU-DIPG-XIIIp cell line were implanted into the pons region of an athymic rat by using previously defined coordinates. A hollow metal screw (Plastics One) was fixed to the burr hole during the procedure. Growth of the implanted tumor was measured weekly by bioluminescence imaging (BLI), using an IVIS Lumina imaging station (Caliper Life Sciences) coupled to Living Image data-acquisition software (PerkinElmer) at the following settings: 150 mg/kg luciferin injected intraperitoneally \(\leq 10\) minutes before imaging with an exposure time of 300 seconds. Once the tumor had reached sufficient size, the CED guide cannula was used to replace the metal screw. The position of the guide cannula in the tumor bed was visualized with CT (X-RAD SmART; Precision X-Ray). A single 90-\(\mu l\) infusion of 20,000 D FD20S-1G FITC-dextran was then delivered by CED at 20 \(\mu l/hr\). The animal was subsequently killed and the brain was harvested and processed in a similar fashion as the other animals in the study.

Tissue Preparation

Following animal euthanasia by carbon dioxide inhalation, brains were harvested, embedded in Tissue-Tek OCT (Sakura Fintek, USA), and flash-frozen in dry ice. Coronal sections (20 \(\mu m/section\)) were sliced with a microtome cryostat (CM1860 UV; Leica Biosystems) at \(-21^\circ C\), and every fifth section was mounted on Superfrost Plus Microscope Slides (Fisher Scientific). The slides were air-dried for 24 hours, then placed under a coverslip and stored in the dark at \(4^\circ C\) until analysis. Staining for histological examination was performed using H & E.

Infusate Imaging and Analysis

Section slides were imaged with a digital slide scanner (Axio Scan.Z1; Zeiss), and fluorescence imaging was done under ultraviolet illumination (HXP 120 V Compact Light Source; LEJ GmbH). The excitation and emission maximums for all infusates were 490 and 520 nm, respectively. Digital photographs obtained with slides under ultraviolet illumination were recorded by an Orca flash 4.0 camera (Hamamatsu Photonics) and ZEN 2.3 imaging software (Zeiss) was used to format the images. Image-Pro (Media Cybernetics) was used to quantify fluorescence per slide via a semiautomated process. \(V_f\) was calculated as the sum of fluorescent section areas multiplied by section height (0.1 mm). To standardize among different MWs and timings, a \(V_f/V_i\) ratio was calculated based on the total \(V_i\).

Statistical Analysis

Direct statistical comparisons between groups were conducted using Student t-tests or 2-way ANOVA with Tukey’s multiple comparisons test when appropriate. Linear and nonlinear regression analyses were used to explore the relationship between volume parameters and MW of infusate. Statistical tests were performed using Prism 6.0 (GraphPad Software), with statistical significance set at an \(\alpha\) threshold of 0.10, and \(p < 0.05\) marked by an asterisk in figures.

Results

Clinical Assessment

To establish the safety and tolerability of CED and osmotic pump systems in vivo, we performed clinical assessments at multiples stages of setup and delivery. All delivery systems (Fig. 1) were placed and tolerated without complication. There were no procedure-related deaths, and all animals tolerated anesthesia without difficulty. We performed clinical assessments of animals after all procedures and infusions, the results of which were all unremarkable with no signs of acute or delayed neurological deficits.

Histological Outcomes

To determine the impact of CED on the brain parenchyma and confirm that there were minimal disruptive effects to the brainstem at the microscopic level following CED administration, we analyzed the brainstem histologically after CED delivery. Preliminary histological analysis was performed using standard H & E staining of brainstems following saline-only CED (Supplementary Fig. 1). There were no obvious abnormalities in the brainstem after a single infusion (acute). After 5 daily infusions (chronic), there were histological signs of microtrauma along the cannula tract in the form of small cavitary lesions. The parenchyma surrounding the cannula tract and all nonadjacent brainstem tissue were histologically normal.

Infusion Outcomes

To better understand how infusate agents dispersed within the brainstem after direct delivery, we used fluorescence imaging of FITC-dextran beads to model distribution after infusion. We observed successful brainstem parenchymal distribution with both CED and osmotic pump delivery without visible evidence of reflux along the cannula tract (Fig. 2). In the acute CED setting fluorescence was distinct, with sharply defined borders (Fig. 2A). In comparison, acute osmotic pump delivery resulted in cavitary lesions. The parenchyma surrounding the cannula tract and all nonadjacent brainstem tissue were histologically normal.
in a more heterogeneous distribution with a large zone of low fluorescence surrounding a high-fluorescence region. Moreover, there was scattered penetration around penetrating vessels of the brainstem and including the ependymal and pial interfaces (Fig. 2B). In the chronic setting, fluorescence was detected beyond the concentrated central area of infusion in a similar distribution by using either CED or osmotic pump delivery systems in the acute setting (Fig. 2C and D). Most of this distant fluorescent signal localized to the perivascular spaces, with greater parenchymal distribution observed following osmotic pump delivery compared to CED.

**Effect of MW**

First, the impact of infusate MW on \( V_d \) was examined across infusion techniques. When the analysis was limited to 3–20 kDa MW, we observed an inverse linear relationship between infusate MW and the \( V_d \), irrespective of delivery system or timing: acute CED (slope \(-3.1, R^2 = 0.99\)); chronic CED (slope \(-2.4, R^2 = 0.99\)); acute osmotic pump...
(slope $-4.0$, $R^2 = 0.99$); and chronic osmotic pump (slope $-2.1$, $R^2 = 0.99$) (Fig. 3A). However, when we expanded the MW range to include 570 Da, 70 kDa, and 150 kDa in the acute setting, this relationship was better fitted by an inverse exponential model instead for both acute CED ($R^2 = 0.91$) and acute osmotic pump ($R^2 = 0.96$) (Fig. 3B). These $V_d$ trends were reflected in the $V_d/V_i$ parameter when accounting for total volume infused (Supplementary Fig. 2A).

Effect of Duration

The next parameter we explored was delivery duration, to determine the ability of infusate agents to accumulate over time. We found that chronic delivery yielded statistically greater $V_d$ than acute delivery by either CED or osmotic pump within a MW range of 3–20 kDa (Fig. 4). For example, at 20 kDa the mean $V_d$ for acute versus chronic delivery was 55 versus 95 mm$^3$ ($p < 0.01$) using CED, and 24 versus 78 mm$^3$ ($p < 0.01$) using an osmotic pump. However, to better account for differences in total volume being infused, we modeled the corresponding $V_d/V_i$ values. When accounting for the $V_i$, acute delivery yielded statistically greater $V_d/V_i$ than chronic delivery by both CED and osmotic pump at all corresponding MWs (Supplementary Fig. 2B). For example, at 20 kDa the mean $V_d/V_i$ for acute versus chronic was 0.6 versus 0.2 ($p < 0.01$) using CED, and 0.1 versus 0.03 ($p < 0.01$) using an osmotic pump.

Effect of Delivery Mechanism

Finally, the third parameter we sought to establish was delivery mechanism (CED vs osmotic pump). At corresponding MWs across 3–20 kDa following designated time periods, the $V_d$ following CED was statistically greater versus osmotic pump delivery at the MW of 20 kDa only, in both acute and chronic settings: mean $V_d$ 55 versus 25 mm$^3$ ($p < 0.01$) in the acute setting, and 77 versus 18 mm$^3$ ($p < 0.01$) in the chronic setting (Fig. 5). Similar trends were noted when evaluating the $V_d/V_i$ parameter, although a statistically significant difference was noted between CED and osmotic pump delivery at all tested MWs (all $p < 0.01$) (Supplementary Fig. 2C).

Feasibility in Orthotopic Xenograft Model

To extend our findings in tumor-bearing animals, we tested acute CED of a 20-kDa infusate in a DIPG orthotopic PDX rodent model (Fig. 6A). After development of an appropriately sized pontine tumor (confirmed by BLI)
the CED guide cannula was inserted and placement was confirmed by CT (Fig. 6B). Acute CED of a 20-kDa infusate led to a $V_d$ of 63 mm$^3$, which was largely congruent with our findings in normal brainstem delivery ($V_d$ 50.03–60.28 mm$^3$) when using the same set of infusion parameters (Fig. 6C).

Discussion

The search for effective treatment against DIPGs has not yielded much success. Factors that ultimately influence the effectiveness of drug therapy include intrinsic drug properties such as mechanism of action, effective delivery of the drug to the tumor, and residence time of the drug. Throughout history, one of the leading challenges in treating brain disorders is delivery of drugs across the BBB. For DIPGs this might be harder yet, because there is evidence that the blood-brainstem barrier is even more privileged. CED is one technique that has been proposed to optimize delivery of drugs to DIPGs. Most CED studies have concentrated on the technique itself or the efficacy of the drugs being tested. Rigorous studies evaluating the physical properties of drugs used in CED like MW, lipophilicity, and drug efflux pump liabilities have not been undertaken. The purpose of the current study was to understand the impact of infusate MW, duration of delivery, and mechanism of delivery to the brainstem on the $V_d$. Here, we demonstrate that infusates ranging from 570 Da to 150 kDa, as well as delivery duration and mechanism, all impact the intraparenchymal distribution of infusate agents in the brainstem.

The foundational in vivo efforts of Souweidane and colleagues have demonstrated the feasibility of interstitial infusion to the rat brainstem. In an early study, they validated the feasibility of delivering 20 kDa of FITC-dextran beads to the brainstem by means of acute CED and by chronic osmotic pump delivery. These earlier results mirror those observed in this study, in that both CED and osmotic pump delivery to the rat brainstem were safe. Furthermore, we were able to expand and substantiate these findings across multiple infusate MWs, as well as across different durations and delivery mechanisms. In the context of targeting DIPG, the primary promise of CED and direct delivery is supported by our independent results.

FIG. 5. Bar graphs showing comparison of $V_d$ after CED versus osmotic pump delivery infusion in either an acute setting (panel A, 1.5 hours CED, 24 hours of osmotic pump delivery) or a chronic setting (panel B, 1.5 hours CED daily for 5 days, 5 continuous days of osmotic pump delivery) grouped by infusate MW. *p < 0.05.

FIG. 6. Acute CED in an orthotopic PDX DIPG rodent model. A: Formation of DIPG was assessed with weekly 3D BLI. B: After the tumor had reached an appropriate size, a CED cannula was inserted and placement was confirmed by CT imaging superimposed on maximal BLI. C: Microscopic evaluation after acute CED administration of a 20-kDa infusate confirmed $V_d$ and distribution across the entire tumor region.
Defining how $V_{d}$ is influenced by infusate MW is crucial for optimizing direct delivery to the brain. In this study, there was an inverse relationship between infusate MW and final $V_{d}$ in the brainstem, irrespective of duration or delivery mechanism. Over a wide range of compounds from 570 Da to 150 kDa, we found an inverse exponential relationship between infusate MW and $V_{d}$, which has implications for drug selection when choosing drugs for direct delivery to the brainstem; this includes sizes for low-MW drugs through high-MW antibody–drug conjugates. For example, in previous studies of interstitial infusion to the brainstem, a broad range of drug sizes has been used, including carmustine$^{20}$ (215 Da), panobinostat$^{22}$ (350 Da), and doxorubicin$^{21}$ (543 Da) delivered to rats, as well as conjugated antibodies (> 150 kDa) in the only phase I CED clinical trial$^{23}$ to date targeting DIPG. Based on our findings, therapeutic agents of lower MWs would be expected to be distributed more widely in the brainstem with single injections and smaller infusion volumes.

Optimizing infusate MW was not the sole dependent variable we found in the setting of direct delivery to the brainstem. The question of duration remains a pertinent consideration in maximizing drug accumulation within the brainstem.$^{15}$ In a recent study, Tosi and colleagues$^{25}$ used a series of dasatinib-based drugs of varying MWs to show that infusates with lower MWs had a shorter tissue clearance half-life within the brainstem parenchyma of rats. Given the time infusates traverse across a parenchymal volume, the trends of $V_{d}$ being greater in either chronic delivery system may be better accounted for with the $V_{d}/V_{i}$ parameter, which is greater in the acute than in the chronic setting. The implications of this would be that larger proportions of infusate relative to total $V_{i}$ are expected in an acute setting compared to a chronic setting. The translational consequence of these trends is that the accumulation and maintenance of drug in the brainstem by direct delivery will probably require more infusions the lower the infusate MW.

A clear distinction in the literature between CED and osmotic pump delivery in the setting of the brainstem has been lacking. Although both delivery systems use infusates to infiltrate the parenchyma, they distribute within the parenchyma by means of pressure and osmotic gradients, respectively.$^{3,24}$ Although the exact differences in biomechanics are beyond the scope of this article, we demonstrated that there is a significant difference between delivery methods. In direct comparison, we observed that in the higher end of our tested infusate MWs, CED resulted in a significantly greater $V_{d}$ than osmotic pump delivery irrespective of duration. Taken collectively, prospective studies into optimizing the delivery of drugs, especially those conjugated drugs that typically exist in the higher-MW range, should carefully justify their choice of delivery mechanism to ensure maximal chance of therapeutic effect.$^{7}$

Current work in our laboratory to understand how these findings extend into DIPG models is ongoing. We found nearly similar $V_{d}$ in the setting of CED in an orthotopic DIPG PDX model when compared to our tumor-free rats when administering a 20-kDa infusate at a single dose. Although promising, how feasible our findings and trends are in the presence of tumor requires greater clarity. Particularly, whether or not intraparenchymal disruption in the presence of tumor affects biodistribution patterns of clinical drugs requires greater investigation in the future.$^{14}$ Our findings will assist in developing a robust investigation design for these anticipated studies.

There are several limitations in this report that will benefit from future studies. First, the range of infusate MWs investigated was not expansive. Although they were sufficient to establish an inverse proportional relationship between MW and final $V_{d}$, we speculate that this relationship is only linear within a certain weight range across all possible settings. This is because our exploratory studies involving higher MWs in the acute setting, which trended toward a plateau in $V_{d}$ but at lower MWs, continued to increase exponentially. When testing very low-MW infusates, one avenue to explore is the possibility that lower-weight compounds diffuse out of the parenchyma too fast for effective detection. Second, our rat model is not necessarily equivalent to a human brainstem with respect to anatomical size, and therefore optimal delivery parameters may be different. For example, whereas we used a delivery rate of 1 μl/min, clinically, delivery rates in patients with DIPG have been reported in the range of 5–10 μl/min.$^{9}$ Despite this, we believe the trends we report are likely to persist in patients with DIPG, although the most optimal delivery value can only truly be optimized prospectively in a clinical trial.

Another limitation for our studies is the comparability of 90 minutes of CED versus 24 hours of osmotic pump delivery to the brainstem for acute administrations, and 5 days of 90-minute injections/day for CED versus 5 days of osmotic minipump. It is difficult to directly equate CED and osmotic pump delivery biomechanics to the brainstem, and that is one reason we investigated the $V_{d}/V_{i}$ parameter in an attempt to control for any differences in volume delivered. Finally, there remain a number of other physicochemical infusate parameters to investigate to better contextualize our MW findings, including surface charge, lipophilicity, efflux pump substrates, and rates of infusion.$^{2,20,22}$ If we can establish the trends that influence infusion distribution parameters, we will be better positioned to select and design novel therapies for maximum parenchymal infiltration of the brainstem, which would be desirable. Additionally, studies investigating other adjuvant therapies that may enhance further the efficacy of direct delivery to the brainstem in the setting of DIPG of would be of great clinical utility.$^{26}$

Conclusions

Targeting DIPGs in the brainstem has historically been hindered by drug delivery issues. Direct infusion systems such as CED present one approach that overcomes this barrier. We have demonstrated that infusate MW, duration of infusion, and infusion mechanism all impact the $V_{d}$ of an infused agent. Translationally, these findings will assist in optimization of the design of clinical drug trials and selection of drugs to treat DIPG in the brainstem by means of direct delivery.
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References


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