Opioid-free anesthesia within an enhanced recovery after surgery pathway for minimally invasive lumbar spine surgery: a retrospective matched cohort study

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OBJECTIVE Enhanced recovery after surgery (ERAS) and multimodal analgesia are established care models that minimize perioperative opioid consumption and promote positive outcomes after spine surgery. Opioid-free anesthesia (OFA) is an emerging technique that may achieve similar goals. The purpose of this study was to evaluate an OFA regimen within an ERAS pathway for lumbar decompressive surgery and to compare perioperative opioid requirements in a matched cohort of patients managed with traditional opioid-containing anesthesia (OCA).

METHODS The authors performed a retrospective analysis of prospectively collected data. They included 36 patients who underwent lumbar decompression under their ERAS pathway for spinal decompression between February and August 2018. Eighteen patients who received OFA were matched in a 1:1 ratio to a cohort managed with a traditional OCA regimen. The primary outcome was total perioperative opioid consumption. Postoperative pain scores (measured using the numerical rating scale [NRS]), opioid consumption (total morphine equivalents), and length of stay (time to readiness for discharge) were compared in the postanesthesia care unit (PACU). The authors also assessed compliance with ERAS process measures and compared compliance during 3 phases of care: pre-, intra-, and postoperative.

RESULTS There was a significant reduction in total perioperative opioid consumption in patients who received OFA (2.43 ± 0.86 oral morphine equivalents [OMEs]; mean ± SEM), compared to patients who received OCA (38.125 ± 6.11 OMEs). There were no significant differences in worst postoperative pain scores (NRS scores 2.55 ± 0.70 vs 2.58 ± 0.73) or opioid consumption (5.28 ± 1.7 vs 4.86 ± 1.5 OMEs) in the PACU between OFA and OCA groups, respectively. There was a clinically significant decrease in time to readiness for discharge from the PACU associated with OFA (37 minutes), although this was not statistically significantly different. The authors found high overall compliance with ERAS process measures (91.4%) but variation in compliance according to phase of care. The highest compliance occurred during the preoperative phase (94.7% ± 2.8%), and the lowest compliance occurred during the postoperative phase of care (85.4% ± 5.7%).

CONCLUSIONS OFA within an ERAS pathway for lumbar spinal decompression represents an opportunity to minimize perioperative opioid exposure without adversely affecting pain control or recovery. This study reveals opportunities for patient and provider education to reinforce ERAS and highlights the postoperative phase of care as a time when resources should be focused to increase ERAS adherence.

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KEYWORDS enhanced recovery after surgery; ERAS; opioid-free anesthesia; spine surgery; lumbar decompression; opioid epidemic
Despite widespread attention to the hazards of opioid agents, opioid misuse remains a leading cause of accidental death in the US.\textsuperscript{27} For many patients with a long-term opioid misuse disorder, the first episode of opioid consumption can be traced to the perioperative period.\textsuperscript{9} These risks mandate strategies to minimize and eliminate perioperative opioid exposure wherever possible. There are multiple opportunities for the anesthesiologist, surgeon, and institution to reduce opioid exposure and minimize patient harm.\textsuperscript{16,31,33} The best-characterized clinical strategies include the use of multimodal analgesia (MMA) and enhanced recovery after surgery (ERAS) initiatives to standardize care and improve outcomes while providing satisfactory perioperative pain control. MMA has been consistently demonstrated to minimize opioid consumption and related side effects and is considered a vital component of ERAS pathways.\textsuperscript{39} Conversely, relatively little attention has been paid to multimodal anesthetic agents and whether multimodal anesthesia may also minimize opioid requirements and promote rapid recovery after surgery.

Opioid-free anesthesia (OFA) has recently been receiving interest as a potential strategy to fill this knowledge gap.\textsuperscript{22,35} OFA is a technique in which no intraoperative opioid is administered via any route, including systemic, neuraxial, or tissue infiltration. Initially pioneered for bariatric surgery, the technique relies on combinations of nonopioid agents and adjuncts, including propofol, dexmedetomidine, lidocaine, magnesium, and ketamine to produce anesthesia, sympatholysis, and analgesia.\textsuperscript{23} In contrast to OFA, traditional anesthetic protocols rely on intraoperative opioids to achieve these 3 important surgical conditions.

Results from case reports and prospective studies are accumulating to support OFA as a tool that offers the following: 1) equivalent intraoperative anesthetic conditions compared to opioid-containing regimens,\textsuperscript{3,19} 2) improved postoperative analgesia with opioid-sparing effects,\textsuperscript{17,18} 3) shorter duration of postanesthesia care unit (PACU) length of stay (LOS),\textsuperscript{4} 4) reduced postoperative nausea and vomiting (PONV),\textsuperscript{40} and 5) higher patient satisfaction.\textsuperscript{8} OFA has further been proposed to be especially valuable in patients at high risk of opioid-related complications, including those with chronic pain conditions, opioid misuse disorder, and obstructive sleep apnea.\textsuperscript{35}

To date, there are no comprehensive reports of OFA for spine surgery. However, 2 case reports in multilevel spine fusion suggest the technique may be feasible.\textsuperscript{7,14} We performed a prospective, nested comparison of OFA to our standard (opioid-containing) anesthetic regimen within an ERAS pathway for minimally invasive lumbar decompression.\textsuperscript{32}

Thirty-six patients underwent lumbar decompression/microdiscectomy using a minimally invasive surgical (MIS) approach according to our institutional ERAS pathway. Half of the cohort received OFA. We report a significant opioid-sparing effect when using OFA without differences in pain control or postoperative opioid consumption. When used with comprehensive multimodal analgesia within an ERAS pathway of care for lumbar decompression, OFA is a feasible method for protecting patients from potential unnecessary opioid exposure.

Methods

The study was carried out with institutional IRB approval. We retrospectively analyzed data from a prospectively maintained database of patients undergoing elective lumbar decompression (laminectomy, laminotomy, and/or microdiscectomy). We included 36 patients presenting for surgery between February 2018 and August 2018. Eighteen patients underwent surgery in which an OFA technique was used. A matched cohort of 18 patients underwent surgery in which an opioid-containing anesthesia (OCA) technique was used. Patients who received intra-operative opioids were matched with patients who did not, based on age, sex, type and extent of surgery, smoking status, American Society of Anesthesiologists (ASA) class, comorbid conditions (including hypertension, diabetes mellitus, and body mass index), and baseline opioid use (opioid naïve or tolerant; Table 1).

Anesthetic Technique

All patients were cared for under an ERAS pathway for lumbar decompression, as described previously.\textsuperscript{22} In brief, preoperatively, patients were educated on the use of the numeric rating scale (NRS) to report pain and how pain scores translated to opioid administration in the PACU (see Postoperative Care). Patients were given oral acetaminophen (1000 mg) and gabapentin (300 mg) in the preoperative holding area, provided they had no contraindications.

General anesthesia was administered via endotracheal intubation. On arrival to the operating room, standard ASA monitors were applied (electrocardiography, noninvasive blood pressure monitor, and pulse oximetry), and premedication was provided (midazolam 0.05 mg/kg). A balanced intravenous crystalloid solution (lactated Ring-er’s solution) was administered (8–12 ml/kg/hr), and anesthetic induction was performed while providing 100% oxygen (10 L/min). All patients received propofol (1.5–2 mg/kg, titrated to apnea) lidocaine (1.5 mg/kg), and vecuronium (0.1 mg/kg) for induction. Patients in the OCA cohort additionally received fentanyl (2 µg/kg) as part of the induction regimen.

After endotracheal intubation and prone positioning, patients in the OCA cohort were given boluses of fentanyl (1–2 µg/kg, titrated to effect) or Dilaudid (up to 2 mg total), according to the judgment of the anesthesiologist to achieve optimal hemodynamic and anesthetic conditions for surgery. All patients received infusions of the following: 1) propofol (50–150 µg/kg/hr, adjusted to maintain the mean arterial pressure within ± 20% of each patient’s baseline value), 2) ketamine (0.1–0.5 mg/min), and 3) lidocaine (2 mg/kg/hr until closure of the surgical incision). Inhaled halogenated agents (isoflurane or sevoflurane) were permitted, up to 0.5 minimum alveolar concentration (MAC), as needed. Mechanical ventilation was achieved with 1:1 mixture of oxygen:air (FIO\textsubscript{2} 50%) with a tidal volume of 6–8 ml/kg and respiratory rate of 8–14 titrated to an end-tidal carbon dioxide between 30–35 mm
Hg. Dual antiemetic therapy with dexamethasone (4 or 8 mg) and ondansetron (4 mg) were provided. Ketorolac (15 or 30 mg, according to age and weight) was given during surgical closure. Residual neuromuscular blockade was reversed with glycopyrrolate and neostigmine after assessment of neuromuscular function with train-of-four monitoring.

Blood pressure was assessed noninvasively every 5 minutes throughout the procedure, and heart rate was recorded continuously. In all patients, elevations in mean arterial pressure (> 100) and/or heart rate 15% above baseline (or higher) could be treated with labetalol (10 mg intravenously) and/or propofol (up to a 50-mg bolus), and/or increased MAC inhaled anesthetics. For the OCA patients, opioids (fentanyl 1–2 μg/kg, or Dilaudid up to 2 mg total) were permitted.

**Surgical Protocol**

All procedures were performed by a single surgeon (S.A.Q.) with extensive experience in MIS technique. After induction of general anesthesia, patients were positioned prone on a radiolucent table with Wilson frame. After surgical preparation with betadine and ChloraPrep, the SpineMask (Stryker Navigation), a noninvasive, adhesive stereotactic tracker was applied to the skin overlying the operative site, and an intraoperative 3D fluoroscopic spin was performed using a Ziehm Vision RFD 3D C-arm (Ziehm Imaging Inc.). In the event that there were concerns regarding the accuracy of the 3D navigation intraoperatively, traditional fluoroscopic MIS techniques were utilized.

A paravertebral incision was made, extending between the cephalad and caudad pedicles on the symptomatic side. Working through the paravertebral incision, soft-tissue dilators were sequentially introduced to allow an 18-mm tubular retractor to be docked at the lamina overlying the pathological disc level. A laminotomy was performed under microscopic visualization using a high-speed burr, exposing the ligamentum flavum, which was removed with Kerrison rongeurs and providing access to the disc space in cases in which a microdiscectomy was required. The tubular retractor was then adjusted to allow for medial access, and decompression of lamina and ligamentum flavum was performed on the contralateral facet via a single-sided approach. Thrombin-impregnated matrix was used to obtain hemostasis, and the retractor was removed deliberately to ensure hemostasis of the paraspinal soft tissues at the conclusion of the procedures. All patients received subcutaneous infiltration of 10 ml of 0.25% Marcaine after fascial closure and immediately prior to skin closure.

**Postoperative Care**

Pain control, level of alertness, and vital signs were monitored in the PACU. Patients were permitted to drink and eat after recovery from anesthesia. According to our ERAS pathway, patients with reported NRS scores ≤ 4 should be treated with nonopioid analgesics (acetaminophen, ketorolac, gabapentin, and/or nonpharmacothepies, including ice, distraction, and position changes); for those with NRS scores 5–7, patients may receive two 50-mg doses of tramadol if needed; and for those with NRS scores 8–10, patients may receive a 5-mg oxycodone. Further escalation of opioids requires assessment by the anesthesiologist. PONV are treated with metoclopramide (10 mg intravenously) or ondansetron (4 mg). Patients with refractory PONV are prescribed scopolamine (1.5 mg transdermally). Readiness for discharge from the PACU is determined when patients achieved a modified Aldrete score ≥ 9.20

<table>
<thead>
<tr>
<th>TABLE 1. Summary of demographic data</th>
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<tr>
<td>Variable</td>
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<tr>
<td>Patients, no.</td>
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<tr>
<td>Mean age ± SEM, yrs</td>
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<td>Mean BMI ± SD, kg/m²</td>
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<tr>
<td>Smoking status, no.</td>
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<td>Current (at time of op)</td>
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<td>Former</td>
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<tr>
<td>Never</td>
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<tr>
<td>2</td>
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<td>3</td>
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<tr>
<td>Mean duration of op ± SEM, mins</td>
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<td>Mean time to recov from anesthesia ± SEM, mins</td>
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BMI = body mass index; DM = diabetes mellitus; NS = not significant; OSA = obstructive sleep apnea; recov = recovery.
Data Collection

Data on demographics, intraoperative metrics, and recovery were collected and included age, sex, ASA class, smoking status, preoperative opioid status (naïve or tolerant), duration of surgery, time to recovery from anesthesia (defined as the interval from the end of surgery to the transition of care from the anesthesiologist to the PACU nurse), NRS scores, opioid consumption, and LOS (defined as the time between the end of surgery until readiness for discharge from the PACU). Opioid consumption was converted to morphine milliequivalents (mEq).

Statistical Analysis

The primary outcome variable was total opioid consumption during the admission. Secondary outcomes were PACU opioid consumption, NRS scores (initial scores on arrival to PACU and worst scores), time to anesthetic recovery, and LOS. As a pragmatic study, we did not calculate a sample size requirement to show a significant difference in the primary outcome. Results are presented as mean ± SEM for normally distributed data, and median and IQR for skewed data. A Mann-Whitney U-test was used to compare discreet data (NRS score and opioid consumption). A Pearson product-moment correlation coefficient was computed for associative relationships between pain scores and opioid use in the PACU. For patient demographics, a paired t-test was used to compare continuous numeric data, McNemar test for nominal data, and the Wilcoxon signed-rank test for ordinal data.

Results

Patient characteristics and perioperative data are detailed in Table 1. Baseline characteristics and intraoperative metrics were not significantly different between groups.

Total mean perioperative opioid consumption was significantly higher in the OCA (opioid anesthesia) group (38.13 ± 6.11 OMEs) compared to the OFA group (2.43 ± 0.86 OMEs; t(70) = 5.8, p < 0.001; Fig. 1). Total opioid consumption in the PACU was not significantly different between the two cohorts (OCA 4.86 ± 1.5; OFA 5.28 ± 1.7; t(34) = -0.18, p > 0.05, not significant).

Initial mean NRS scores were slightly higher in the OFA cohort (1.0 ± 0.46) than the OCA cohort (1.6 ± 0.69); however, these results were not statistically significantly different (t(34) = 0.80, p > 0.05, not significant; Fig. 2). The worst mean NRS scores in the PACU (OCA 2.58 ± 0.73; OFA 2.55 ± 0.70) were also not statistically significantly different (t(34) = 0.16, p > 0.05) between the two groups (Fig. 2).

A frequency distribution of NRS scores in the PACU was remarkable for 64% (23/36) of the total cohort rating their worst pain as <= 3 and 13% (3/23) of patients with reported NRS scores < 4 receiving an opioid analgesic (Fig. 3). Five patients received intravenous opioids in the PACU: 4 patients were given 0.5 mg hydromorphone (for worst reported NRS scores of 6, 8, 8, and 9), and 1 patient was given 1 mg hydromorphone (for worst reported NRS score of 5). Overall, there was a strongly positive correlation between higher NRS scores and opioid administration/consumption in the PACU for both OFA (r = 0.82) and OCA (r = 0.77; Fig. 3) groups.

The median LOS was 37 minutes shorter for patients who received OFA (237 minutes [IQR 174–312 minutes]) compared to OCA (274 minutes [IQR 204–403 minutes]; Fig. 4). However, this was not a statistically significant difference (U(34) = 123, z = 1.22, p > 0.05).

The ERAS pathway included 19 standard elements divided into pre- (5 elements), intra- (10 elements), and postoperative (4 elements) care (Fig. 5). Overall pathway compliance was 91.4%. Use of intraoperative opioid agents was tracked separately and, as expected, was administered.
to 50% of patients. Items with the lowest compliance (< 80%) were maintenance of intraoperative normothermia (72.2%), early physical therapy (within 90 minutes of PACU arrival; 75%), and PACU opioid administration according to pathway and NRS criteria (77.7%). Components with the highest compliance were MIS approach (100% of cases, with no conversion to open surgery), and use of multimodal anesthetic (100%) and analgesic (100%) agents. An analysis of compliance by phase of care revealed the highest compliance with ERAS process elements was achieved intraoperatively (mean 94.71% ± 2.88%), and the lowest compliance was during the postoperative phase of care (mean 85.4% ± 5.7%) (Fig. 5).

Discussion

MMA and ERAS pathways of care have become standard tools for minimizing opioid use and related side effects and improving outcomes after orthopedic surgery.28,29,30,34 In contrast, relatively little attention has been paid to the contribution that OFA may make to achieving the same goals. Although gaining prominence in other surgical subtypes, procedure-specific data for the efficacy of OFA is not yet in evidence for spine surgery. Kim et al. described the use of OFA in a patient undergoing a 2-level posterior lumbar fusion; they used dexmedetomidine and lidocaine infusions and concluded possible improved analgesia in the first 24 hours after surgery.14 A second report described a fully opioid-free anesthetic and analgesic regimen in a patient undergoing multilevel thoracolumbar spine fusion, featuring erector spinae plane block and comprehensive MMA.7

Given the successes of MMA within ERAS pathways, we asked if an OFA technique could provide adequate anesthesia and analgesia while minimizing total opioid con-
sumption for patients undergoing lumbar decompression. The results of this study suggest that OFA with comprehensive MMA is associated with lower opioid consumption in the perioperative period, without adverse effects on postoperative pain scores, opioid requirements, or recovery. Although not statistically significant, we also showed a clinically significant faster time to readiness for discharge from the PACU in the OFA cohort. We suggest the use of OFA represents a valuable opportunity to limit unnecessary opioid exposure for patients undergoing lumbar decompression within a comprehensive ERAS pathway.

There are many compelling reasons to avoid opioids in any surgical patient. Recognized important side effects include respiratory depression and/or obstruction, nausea, vomiting, constipation and ileus, urinary retention, sedation, and cognitive dysfunction. Recently, data has also linked opioids to increased wound complications and resource consumption after cervical spine surgery\(^\text{11}\) and delayed healing after spinal fusion, in an animal model.\(^\text{12}\) Specific populations of surgical patients that may be even more likely to benefit from OFA include patients with obesity and/or obstructive sleep apnea, or chronic pain.\(^\text{23,35}\) Benefits in the latter population are suggested by the association between opioid exposure and opioid-induced hyperalgesia (OIH). OIH is a paradoxical increase in pain sensitivity and decrease in pain tolerance following the administration of opioids.\(^\text{18,38}\) Although the existence of and diagnostic criteria for OIH are controversial, intraoperative remifentanil infusion has been particularly associated as a risk factor for its development.\(^\text{15}\) Accordingly, OFA has been proposed as a strategy to prevent OIH after multiple surgical subtypes, including spinal fusion,\(^\text{14}\) laparoscopic cholecystectomy,\(^\text{3}\) and colorectal surgery.\(^\text{5}\)

The appropriateness of OFA as a tool to minimize total opioid consumption is supported by our finding that postoperative pain scores were not negatively affected by withholding intraoperative opioids. On the contrary, the majority of the cohort had no or minimal pain in the PACU. We attribute this finding to high compliance with the ERAS pathway, which emphasizes comprehensive MMA and an MIS approach.\(^\text{32}\) High compliance has been associated with shorter LOS and superior outcomes in large trials of ERAS in other surgical disciplines.\(^\text{2,24}\) In light of this, our findings that 13% of the cohort received an opioid analgesic in the PACU for an NRS score ≤ 4 and a further 13% received intravenous opioid were unexpected. Our ERAS pathway stratifies pain scores and opioid prescribing, and as structured, an NRS score ≤ 4 is treated with nonopioid analgesics, and escalation to intravenous opioid is contingent on assessment by the anesthesiologist. We do not know the reasons underlying PACU opioid use in these subsets of patients; however, patient and care factors are likely to contribute. Consistent with our findings, recent data suggest noncompliance with ERAS process measures is most commonly observed in the postoperative period.\(^\text{1,25}\) The reasons for noncompliance are complex and have been mainly attributed to medical decision-making in response to changes in patient condition.\(^\text{25}\) However, up to 25% of postoperative deviations from ERAS interventions are attributed to systems, nursing, and patient preferences for care.\(^\text{3}\) Although difficult to achieve, high compliance with postoperative opioid prescribing and pain control may be an important predictor of optimal recovery. A recent retrospective study of an ERAS for colorectal surgery pathway specifically linked high adherence to postoperative opioid prescribing parameters with shorter LOS.\(^\text{40}\) Barriers to decreasing postoperative opioid at the time of and after discharge persist despite other positive ERAS benefits on opioid consumption: A prospective historical study of prescribing practices before and after implementation of an ERAS protocol for colorectal surgery found little effect on the amount of opioids prescribed at discharge regardless of decreased in-hospital narcotic requirement.\(^\text{5}\) Identifying and organizational barriers to ERAS interventions has long been recognized as vital for pathway success and our results highlight the importance of continual pathway evaluation and education of patients and providers.\(^\text{4}\)
the majority of studies were of mixed quality and most compared dexmedetomidine to placebo. A meta-analysis of dexmedetomidine as an adjunct in spine surgery confirmed an opioid-sparing effect—both intra- and postoperatively—but failed to find additional benefits, including PONV. At the time of our investigation, dexmedetomidine was not available in our institution. Although omitted for pragmatic reasons, our results suggest that dexmedetomidine may not be necessary for successful OFA for all surgical subtypes. Future studies should be directed toward clarifying the role of dexmedetomidine as an analgesic adjunct in spine surgery and to defining procedure specific OFA regimens.

This study has several limitations. It was a small study that was not powered to detect significant differences in postoperative pain states between OCA and OFA. The primary aim of this study was to demonstrate the feasibility of OFA within an ERAS MIS lumbar decompression pathway. The pathway has already been implemented at a large, tertiary care orthopedic hospital. As a result, the processes and findings of this study may not be generalizable to nonacademic community settings, locales with a lower volume of procedures, or an institution where minimally invasive techniques are not available. Despite inclusion of a matched cohort, the retrospective study design, small sample size, and absence of power calculation limit the strength of our conclusions regarding the benefits of OFA on recovery. Furthermore, the majority of our patients were classified as ASA class I or II, which limits the application of this protocol in practice settings with a higher patient comorbid burden. Additionally, some important outcomes of interest, such as opiate consumption following discharge, incidence of PONV, and the need for antiemetic medication in the PACU, were not collected and included in this analysis. Despite this limitation, we believe that our study addresses the primary objective of this study, which was to demonstrate the feasibility of OFA as part of an ERAS protocol in minimally invasive spine surgery.

Conclusions

Here we report the first cohort study of OFA for spine surgery. The results suggest that OFA for MIS lumbar decompression is feasible. Within a comprehensive ERAS pathway emphasizing MMA, OFA did not adversely affect surgical duration, postoperative (rebound) pain, recovery, or LOS. On the contrary, our results suggest the possibility that OFA may be associated with more rapid time to meeting discharge criteria. As a novel paradigm, further studies are required to evaluate OFA and its applicability to other spine surgeries. Additional questions to be answered include how best to monitor intraoperative nociception (particularly for surgeries with higher expected pain burden); which anesthetic and analgesic adjuvants should be included in the regimen; what the indications and contraindications are to OFA; and what the effects of OFA are on long-term outcomes, such as total (postdischarge) opioid use and duration, return to function, and influence on the development of chronic pain. In the case of MIS lumbar decompression, we believe a prospective randomized controlled trial with an OFA regimen including dexmedetomidine would answer many of these questions. Despite these unknowns, in the current setting of the opioid crisis, OFA may represent an additional tool to protect patients from the harms of opioid exposure.

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Disclosures
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Author Contributions
Conception and design: Soffin, Wetmore, Vaishnav, Albert, Qureshi. Acquisition of data: Soffin, Wetmore, Beckman, Vaishnav, Albert, Gang. Analysis and interpretation of data: Soffin, Vaishnav. Drafting the article: Soffin, Wetmore, Beckman, Sheha, Qureshi. Critically revising the article: Soffin, Sheha, Albert, Qureshi. Reviewed submitted version of manuscript: all authors. Statistical analysis: Soffin. Administrative/technical/material support: Soffin, Beckman, Sheha, Vaishnav, Albert, Gang, Qureshi. Study supervision: Soffin, Vaishnav, Albert, Qureshi.

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