The neurosurgical treatment of addiction

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Addiction or substance dependence is a psychiatric disorder that affects many individuals in the general population. Different theories concerning the neurobiological aspects of addiction have been proposed. Special attention has been paid to models concerning dysregulation of the reward circuit and the inhibitory control system within the cortico-basal ganglia-thalamocortical pathways. In the past, attempts have been made to treat patients suffering from addiction by performing psychosurgery. Lesions were created in specific brain regions that were believed to be dysfunctional in addiction. Procedures such as cingulotomy, hypothalamotomy, and resection of the substantia innominata and the nucleus accumbens have been described as a treatment for severe addictive disorders. Deep brain stimulation, a neurosurgical treatment that has been proven to be a safe alternative for lesions in the treatment of movement disorders, has more recently been proposed as treatments for severe psychiatric conditions such as treatment-refractory obsessive-compulsive disorder and depression. With the expanding knowledge of the neurobiology of addiction, deep brain stimulation could be a future option in the treatment arsenal of addiction. (DOI: 10.3171/FOC/2008/25/7/E5)

KEY WORDS • addiction • cingulotomy • deep brain stimulation • hypothalamotomy • nucleus accumbens • psychosurgery

ADDICTION or substance dependence is a chronic relapsing disease characterized by a strong psychological and physical dependence, resulting in a withdrawal syndrome when use of the drug is stopped.²⁷ Both the Diagnostic and Statistical Manual of Mental Disorders, 4th edition¹ and the International Classification of Diseases, 10th edition⁴⁵ define substance dependence as a psychiatric condition. Their definitions are quite similar, with criteria for tolerance, withdrawal, continued use despite problems, and indicators of impaired control.¹⁶

Addiction affects many people in the general population. Smoking is globally reported in 1 of 3 individuals.² The 1-year prevalence of alcohol dependence is 7%¹⁰ in the general population. The United Nations World Drug Report⁴⁰ shows abuse of cannabis by 0.1-17.7% of the population in the world. Other drugs are abused by 0.1-3% of the global population.

During the past decades, many theories have been proposed concerning the origin of addiction and dependence behavior.⁴³ Addiction begins in most cases with social sub-

stance taking, then moves to compulsive use and finally to dependence and withdrawal.²⁷ Positron emission tomography studies have shown that, when an individual takes a psychoactive substance, dopamine is released in the nucleus accumbens, which causes “the high.”¹⁹ By an increased dopamine release in the nucleus accumbens, the inhibition of the output neurons is decreased, which causes activation of the reward system. This circuit consists of dopaminergic neurons that project from the ventral tegmental area to the ventral striatum (including nucleus accumbens), the amygdala and septal nuclei, and prefrontal and cingulate cortices.^{24,30} The feeling of well-being produced by activation of the reward system can be seen as positive reinforcement. Negative reinforcement involves escaping from or avoiding withdrawal symptoms, which occur after cessation of the substance use.^{23,43} Koob²³ suggested that in addicted individuals both positive and negative reinforcement involve disruption of the reward system by repeated administration of the substance.

Besides activation of the reward system, substance self-administration causes increased dopamine release in the amygdala, which in turn strengthens associations between the rewarding qualities of the substance and exteroceptive stimuli. This could explain why an environment with substance-associated stimuli causes the craving reported by addicted individuals.^{18,24}

Abbreviations used in this paper: DBS = deep brain stimulation; DDS = dopamine dysregulation syndrome; OCD = obsessive-compulsive disorder; PD = Parkinson disease.

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On top of these reinforcing actions of the abused substance, impairment of inhibitory control and decision making is reported; these are caused by a dysfunction of the dopaminergic neurotransmission system in the prefrontal cortex and the anterior cingulate gyrus.^{13,19,24,30} This leads to a diminished inhibition of unconditioned and conditioned responses, probably also by dopaminergic activation of the nucleus accumbens.^{18,24} This may cause an inability to resist substance-abusing behavior in addicted individuals, even though they are explicitly aware of the negative consequences.^{13,19,24,30}

In the literature, addiction is often compared to OCD, and OCD is thought to be caused by a dysfunction in this inhibitory system as well. In OCD, however, an overactivation of the orbitofrontal cortex and the anterior cingulate cortex is reported, in contrast to addiction. This could cause the excessive concerns in patients with OCD about the future consequences of their actions.³⁰

The reported models for the neurobiological changes in addiction are the modern basis for extended research concerning agents that possibly act on these circuits. Over the last century, though, before the constitution of these models, research has also been conducted into the possible effects of brain surgery, or psychosurgery as it is called, for addiction. In psychosurgery, a specific region of the brain is ablated to treat several (intractable) psychiatric conditions, including mood disorders, schizophrenia, OCD, and other anxiety disorders. The rationale for this kind of treatment was based on the assumption that a psychiatric condition was the effect of a malfunction of a specific anatomical region.^{6,9,17,31,41}

With the expanding knowledge of the neurobiological theories of addiction, however, a new surgical treatment option, DBS, may arise. The DBS procedure was originally introduced for the treatment of movement disorders,⁵ but nowadays it is being studied as a possible treatment option for intractable states of neuropsychiatric conditions such as Tourette syndrome,^{38,42} OCD,^{14,29} and depression.^{33,37} With DBS, an electrical imbalance at the level of the cortico-basal ganglia-thalamocortical loops is thought to be modulated, so that symptoms are reduced.

The purpose of this review is to describe the different psychosurgical procedures used for the treatment of addiction and to discuss the first reports on the treatment of substance-related addictive disorders by using DBS.

Methods

We searched MEDLINE for all articles concerning surgery for addiction, using the following search terms: "addiction" (in all its dimensions), "dependence behavior," "psychiatric disorders," "surgery," "ablative surgery," "lesions," "psychosurgery," "cingulotomy," "cingulumotomy," "hypothalamotomy," "nucleus accumbens," and "deep brain stimulation." The articles obtained in this way were searched for relevant references. Only articles concerning original research on human subjects and articles written in English and German were included.

Results

Thirteen articles on surgery for treatment of addiction

met the inclusion criteria: 11 articles reported on the results of psychosurgery in addiction, and 2 case reports were published on DBS in patients with comorbid addictive disorders.

Cingulotomy Studies

In the past, cingulotomy, or "cingulumotomy" as it is described by some authors, was used for the treatment of various psychiatric illnesses, such as major affective disorders, chronic anxiety disorders, chronic pain, and OCD, with different results.^{4,6,26,32,41} In case of addiction, the aim of the procedure was to interrupt obsessional thoughts about drug use, by creating a lesion in the cingulate gyrus.³⁴

In 1962, Foltz and White¹¹ published the results of unilateral or bilateral stereotactic lesions in the anterior cingulum created by electrocoagulation to treat patients with chronic intractable pain. Over a period of 7 years, 16 patients with psychogenic pain and pain due to organic or neoplastic disease were included. Fourteen patients in this group were also addicted to narcotics. In 12 patients, excellent or good results were reported for pain relief. None of the addicted patients required narcotics after the operation, and only 5 showed mild signs of withdrawal in the first 48 hours postoperatively. In the remaining 9 patients, no signs of withdrawal were observed. No difference was seen between unilateral and bilateral cingulotomy. No details are given about the long-term effects of this operation in these patients.

In 1973, Balasubramaniam et al.³ analyzed the results of 28 addicted patients who were treated using bilateral stereotactic cingulotomy. It is one of the first studies in which addiction was the primary indication for cingulotomy. Most of these patients were addicted to pethidine or morphine, and the mean age was 41 years. All patients had an obsessive-compulsive desire to take drugs. Twenty-one patients had undergone previous psychiatric therapy without any effect. Treatment was considered a failure if the patients took at least 1 tablet, got at least 1 injection, or consumed alcohol at least 1 time postoperatively. None of the 28 treated patients reported any discomfort, and in 22 individuals the treatment was successful, with an immediate effect. The follow-up period ranged from 4 months to 2 years. Extreme self-confidence and euphoria were reported immediately after surgery in all but 2 patients. No long-term complications were described.

In 1974, Sharma³⁹ reported a total and permanent abolition of opiate hunger following bilateral anterior cingulotomy for intractable pain. The 3 patients reviewed suffered from severe "obsessive-compulsive neuroses" and were addicted to opiates for 3-15 years. Surgery was performed by open craniotomy. After surgery, 1 patient showed flattening of affect, which resolved after 3 weeks. There was no opiate craving, and the patients showed no evidence of abstinence syndrome. No description was given about the patient characteristics and severity of addiction.

Four years later, Kanaka and Balasubramaniam²⁰ analyzed the results in 73 addicted patients who were treated by lesions in the anterior cingulum. The operations took place between 1970 and 1976, and the patient age varied from 25 to 52 years. Of all the included patients, 75% had undergone psychotherapy preoperatively. Immediately after surgery none of the patients craved drugs, and in most

of the patients withdrawal symptoms were absent. If the patient ingested drugs or alcohol at least once, the surgery was considered a failure. This treatment was successful in 80% of morphine addicts, 90% of meperidine addicts, and in 68% of alcohol addicts, with a follow-up duration varying from 1 to 6 years. Relapse occurred only within 6 months of surgery. This study showed no psychological or neurological deficits after surgery. Kanaka and Balasubramaniam proposed that the procedure could be a promising cure for drug addiction.

In 2003, Medvedev et al.³⁴ investigated the long-term effects of bilateral cryocingulotomy in 348 patients with strong heroin dependence. Their dependence behavior was characterized by an obsessive-compulsive component. The duration of drug addiction ranged from 2 to 15 years. All patients had been repeatedly treated in "a medical institute with non-invasive methods" without effect. Examination of the patient's mental state was performed before and after surgery. In 4 patients, postoperative complications consisted of intracerebral and subdural hematoma or postoperative infections. At the moment of discharge, all patients reported absence of craving for drugs. After 2 years, 45% of the 187 patients interviewed had completely abstained from drugs and 17% were in remission for ≥ 2 years after 1 or 2 instances of drug taking in the past. In 13% there was a partial improvement, and 12% showed no change. In 13% of the cases no data were available. Medvedev et al. proposed that the full effect of the surgery would not manifest itself immediately and concluded that the positive outcome in this study was 62%, including the 17% of the patients who showed a single instance of drug taking postoperatively. Medvedev et al. also concluded that psychological dependence in drug addiction could be a manifestation of OCD. Effective treatment, though, should not just include treatment for OCD, but also for drug addiction.

The aforementioned studies have not reported any long-term behavioral changes. Lenhard et al.²⁸ though, described the case of a 67-year-old woman who had developed disturbed oral impulse control and impaired, divided attention and executive function after unilateral anterior cingulotomy for therapy-resistant alcohol dependence. Nevertheless, her drinking behavior changed from chronic to episodic. Cohen et al.⁷ also described attention and executive impairments after cingulotomy performed for intractable pain. These impairments partially resolved after 12 months. The anterior cingulate cortex is involved in reward-based decision making, and it mediates motivational and attentional responses to drug cues, so cingulotomy could cause impairments in these functions.^{7,28}

Hypothalamotomy Studies

In 1973, Müller et al.³⁵ reported a case of alcohol addiction treated with unilateral ventromedial hypothalamotomy. The patient was a 30-year-old man, for whom previous treatments had been unsuccessful. The early effect seemed promising, but the patient showed a relapse into alcoholism during the follow-up period of 11 months. The authors suggested that this procedure could be successful if performed bilaterally.

Five years later, Dieckmann and Schneider⁸ published the results of hypothalamotomy in 15 patients with alcohol and drug addiction. The case report by Müller et al.³⁵ and

the animal experiments by Kerr and Pozuelo²¹ led to this study on stereotactic hypothalamotomy as a treatment for addiction in patients. Most of the patients suffered from polyvalent drug addiction and alcoholism, and all patients had been treated previously with abstinence in combination with other therapies. The follow-up duration ranged from 2 to 3 years. High-frequency lesions were placed in the ventromedial nucleus of the hypothalamus, unilaterally or bilaterally. Stereotactic hypothalamotomy seemed to help the patients regain their self-control. All patients showed a reduction in sexual drive. In those who underwent bilateral hypothalamotomy, 4 of 6 patients suffered from severe side effects: lack of impulse, amnesic syndrome, vision disorder, and vegetative crisis were reported. Because of the severe side effects, Dieckmann and Schneider concluded that the use of this surgical target in treating addiction should be limited until more research is done.

Resection of the Substantia Innominata

In 1969, Knight²² published the results of stereotactic surgery of the substantia innominata in drug addiction. He successfully treated a patient suffering from chronic anxiety, who was addicted to barbiturates and alcohol. Knight also used this procedure in 5 heroin addicts. Postoperative observations showed alleviation of withdrawal symptoms, which made it easier to wean the patients from drugs. Knight did not explain why he chose the substantia innominata as a target for treatment of addiction. No information was given about patient characteristics and no details were provided about the outcome.

Ablation of the Nucleus Accumbens

In 2003, Gao et al.¹² reported on ablation of the nucleus accumbens to treat addiction, based on several animal experiments and recent studies that had revealed the relationship between psychological dependence induced by drug addiction and the mesocorticolimbic dopamine circuit. Ablation of the nucleus accumbens would lead to blockage of the mesocorticolimbic dopamine circuit. This would prevent craving for drugs after detoxification and in this way cause reduction in the relapse rate. Stereotactic surgery was performed in 28 patients who had been drug addicts for at least 3 years. Gao et al. created bilateral lesions in the core of the nucleus accumbens with electrodes. The mean follow-up period was 15 months. Complete remission was reported in 7 patients, whereas 10 patients relapsed within 6 months, but experienced alleviation of withdrawal symptoms. Two individuals had a poor outcome, meaning relapse within 6 months, without alleviation of withdrawal symptoms. Seven patients were not included in the analysis and 2 were lost to follow-up. In 2 cases personality was slightly changed, and 4 patients suffered from temporary memory loss, so the incidence rate of complications was 19.2%. All patients recovered within 1 month, though. Gao et al. concluded that bilateral ablation of the nucleus accumbens is a safe procedure for treatment of addiction.

Deep Brain Stimulation

Deep brain stimulation as we know it today was introduced in 1987 as a treatment for tremor.⁵ Today it is widely used for movement disorders such as PD. Over the last 2

decades it has also been investigated as a treatment for refractory psychiatric disorders such as Tourette syndrome^{38,42} and OCD.^{14,29}

Two case reports have been published about patients with comorbid addiction problems who underwent DBS as a treatment for other conditions. In 2005, Witjas et al.⁴⁴ reported on 2 patients suffering from PD with severe DDS who underwent bilateral subthalamic nucleus DBS for dyskinesias and motor fluctuations. The DDS is characterized by severe dopamine addiction, which is caused by misregulation of the brain reward system. This results in loss of control over drug taking by the patient with PD.

The first patient in the report of Witjas et al.⁴⁴ was a 38-year-old man with an 8-year history of PD, with excessive alcohol intake and severe DDS. After surgery, dopaminergic treatment was stopped, and 2 years later he was still completely drug free. The patient had only 1 episode of compulsive alcohol intake, which occurred 6 months after surgery. The second patient was a 53-year-old man with a 5-year history of PD who had severe DDS. Prior attempts to decrease his dopaminergic intake were unsuccessful. After surgery, dopamine treatment was reduced by 75%. After 18 months, the patient only needed a low dose of dopaminergic medication. In both cases there was a good effect on motor disability, but also on DDS and related behavior characteristics. Motor improvement after surgery made it possible to stop or decrease the dopaminergic treatment, thereby causing an improvement in behavioral disorders. The authors suggested, though, that addiction could have been stopped because of a direct effect of DBS on the reward-seeking circuit in the brain.

Two years later, Kuhn et al.²⁵ published a case report of a 54-year-old patient with severe agoraphobia with panic attacks, secondary depressive disorder, and alcohol dependency. He was treated by bilateral DBS of the nucleus accumbens. After surgery the patient showed only a slight reduction of his anxiety disorder and depression, but he showed a remarkable change in his substance abuse. One year after surgery, the patient consumed alcohol only occasionally. The authors concluded that investigation of this incidental finding in future studies can confirm the role of the nucleus accumbens in addiction.

Discussion

Addiction is a psychiatric condition with many individual, social, and financial implications. Over the past century, different surgical procedures have been performed to relieve addiction. In this review we describe studies in which cingulotomy, hypothalamotomy, and ablation of the substantia innominata and the nucleus accumbens are performed.

The studies on cingulotomy show good results, but the authors differ in their definitions of success and failure and the conclusions concerning the clinical outcome. Medvedev et al.³⁴ conclude that full treatment effects become visible only after a while, in contrast to Kanaka and Balasubramaniam²⁰ and Balasubramaniam et al.,³ who believe in an immediate effect. In these articles, the side effects occurring after cingulotomy are scarcely described. Lenhard et al.²⁸ and Cohen et al.⁷ report on attention and executive impairments after cingulotomy, though.

Although hypothalamotomy has shown some effect on

the addicted patient's ability to regain self-control, the severe side effects—especially in the bilateral procedure—are reason not to use this technique in the treatment for substance addiction.^{8,35} The only article on ablation of the substantia innominata for addiction is not clear in its clinical outcome.²²

The nucleus accumbens seems to be a more promising target for the relief of addiction. Nevertheless, besides the article of Gao et al.,¹² which shows a complete remission of the addictive symptomatology in more than a quarter of the patients, no other reports of ablation of the nucleus accumbens for drug addiction in patients have been published yet.

Caution is needed when interpreting the results of the articles on psychosurgery for the treatment of addiction. In a recent editorial¹⁵ and letter,³⁶ concern is expressed about the Russian³⁴ and Chinese articles¹² reviewed here. There were no randomized control groups during research, and it is not clear whether the surgically treated patients were able to give free and informed consent for the surgery because of the punitive policies in these countries toward drug abuse.

Another concern in psychosurgical treatment of addicted patients is the irreversibility of the lesion caused by the procedure. Deep brain stimulation, a neurosurgical technique used as a treatment for movement disorders, only causes a microlesion in the target structure, which disappears in the weeks following surgery. The influence of the local current by the stimulator is completely reversible, just by turning off the device. This reversibility creates the unique possibility for conducting a randomized, double-blind trial, because the patient is generally not able to discriminate the difference between stimulation on or off.

So far, only reports on the use of DBS in patients with comorbid addiction disorders have been published,^{25,44} so extended research has to be conducted to create more insight into the optimal target, the mechanism of action, and the procedure's safety regarding treatment in a psychiatric condition such as addiction. With the expanding knowledge of the neuronal circuits responsible for the behavioral disorders associated with addiction, though, DBS could become a future treatment option for patients suffering from addiction.

Conclusions

Addiction is a severe psychiatric disorder with a high individual and socioeconomic impact. In the past, several neurosurgical procedures have been described, with lesions being made in specific brain regions thought to be dysfunctional in addiction. Deep brain stimulation might be a safe alternative. More research is needed to understand better the underlying mechanism of addiction, and to define selection criteria for the patients who might benefit from this surgery.

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