Detection and overlooked cervical spine injury among comatose trauma patients: from the Pennsylvania trauma outcomes study

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Object. A rule for identifying patients with traumatic brain injury (TBI) who are at exceptionally low risk of cervical spine injury might be clinically useful. The goal in this study was to research case records to determine whether such a rule would be practicable.

Methods. The Pennsylvania Trauma Outcomes Study database was used to find patients with TBI in whom Glasgow Coma Scale (GCS) scores at admission were 8 or less. Cases of cervical spine injury were identified from diagnostic codes. Associations between these injuries and a variety of clinical variables were tested using chi-square analysis. The probability of a cervical spine injury in these patients was modeled by logistic regression. Decision tree models were constructed and statistical determinants of overlooked cervical spine injury were examined.

The prevalence of cervical spine injury among 41,142 cases of TBI was 8%. The mechanism of injury, presence of thoracolumbosacral (TLS) spinal, limb and/or facial fracture, patient age, GCS score at admission, and the presence of hypotension were all factors associated with cervical spine injury. These were incorporated into the following logistic regression model: probability of cervical spine injury = 1/(1 + exp[4.030 – 0.417*mechanism – 0.264*age – 0.678*TLS – 0.299*limb + 0.218*GCS score – 0.231*hypotension – 0.157*facial]).

This model yielded a rule for clearance of 28% of cases, with a negative predictive value (NPV) of 97%. Decision tree analysis yielded an easily stated rule for clearance of 24% of cases, with an NPV of 98.2%. The prevalence of overlooked cervical spine injury among all patients with severe TBI was 0.3%; the prevalence of overlooked cervical spine injury among patients in whom it was later diagnosed was 3.9%. Overlooked cervical spine injury was less common among patients with associated TLS fractures (odds ratio 0.453, 95% confidence interval 0.245–0.837).

Conclusions. No acceptable rule for relaxation of vigilance in the search for cervical spine injury among patients with severe TBI has been identified. Levels of provider vigilance and consequent rates of overlooked cervical spine injury can be affected by environmental cues and presumably by other behavioral and organizational factors.

KEY WORDS • cervical spine injury • coma • decision tree • logistic regression • receiver operating characteristic curve • traumatic brain injury

Detection and stabilization of cervical spine injury is a major priority in the initial care of trauma victims because of the potentially catastrophic consequences of mismanagement. Patients with concurrent severe TBI are a particular challenge, because they cannot report neck pain and cannot cooperate with neurological examination. Furthermore, mechanisms of injury that transmit sufficient energy to the brain to cause coma necessarily transmit energy to the cervical spine; thus the prevalence of cervical spine injury among patients with traumatic coma is not insignificant. Estimates of the prevalence of this type of injury among patients with traumatic impairment of responsiveness range from 1.8 to 26%, depending on inclusion criteria.1–5,8,11,15–18

Diagnostic investigation of the cervical spine in the comatose patient is a vexing problem. Mere radiography is not sufficiently sensitive to stand alone as the definitive investigation, and radiographs obtained in the setting of acute trauma are often technically unsatisfactory. Computerized tomography scanning of the cervical spine can be performed conveniently along with the initial head scan, but concerns remain about the detection of purely ligamentous injuries and about the possibility of spinal cord injury without a radiographically identified abnormality.6 Magnetic resonance imaging can demonstrate ligamentous injuries and intrinsic spinal cord injury,7 but the attendant magnetic fields create obstacles to clinical and physiological monitoring. If the initial evaluation of the cervical spine is incomplete, subsequent investigations will necessarily entail

Abbreviations used in this paper: CI = confidence interval; GCS = Glasgow Coma Scale; ICD.9.CM = International Classification of Diseases, Ninth Revision; NPV = negative predictive value; OR = odds ratio; PTOS = Pennsylvania Trauma Outcomes Study; ROC = receiver operating characteristic; TBI = traumatic brain injury; TLS = thoracolumbosacral.
the inconvenience and risk associated with transporting patients with potentially unstable TBIs between the radiology suite and the intensive care unit. Despite the imperative that every cervical spine injury be detected and managed appropriately, judgment must be exercised, and compromises must be made. Refinement of statistical rules for the assessment of the risk of cervical spine injury among patients with TBI might be useful to the clinician who must set treatment priorities. Specifically, a rule or test with a high NPV might permit deferral or elimination of diagnostic investigations in patients in whom the risk of such an injury is very low.

**CLINICAL MATERIAL AND METHODS**

The PTOS is a database incorporating demographic and clinical data for all patients hospitalized at trauma centers accredited by the Pennsylvania Trauma System Foundation. The study group was defined as all patients with admission GCS scores of 8 or less. Selected data pertaining to this study group were requested by the investigator and prepared without identifiers by PTOS staff. The primary dependent variable was cervical spine injury, as defined by ICD-9-CM codes 805.00-805.18; 806.00-806.19; 839.00-839.18; and 952.00-952.09, which include cervical spine fracture with or without spinal cord injury, cervical spinal dislocation, and cervical spinal cord injury without fracture or dislocation (open spine injuries were excluded). A secondary dependent variable, overlooked cervical spine injury, was coded separately in the database as a field labeled “discharge diagnosis of cervical spine injury with fracture, subluxation, or neuro deficit not addressed on admission.”

Potentially useful independent variables were recoded as necessary in either nominal or ordinal formats: patient age, sex, race, mechanism of injury, place where injury occurred (that is, home/other/road), admission GCS score, associated TLS, limb, and/or facial fractures, the presence of hypotension on admission, level of alcohol intoxication, and payor. Dichotomous nominal variables were assigned values of 0 or 1 if the variable factor was absent or present, respectively. Continuous variables were recoded either as dichotomous nominal variables or as ordinal ones, and nondichotomous nominal variables were recoded as ordinal variables with increasing or decreasing associated prevalences of cervical spinal injury (Table 1). Associations between this type of injury and these independent variables were analyzed using cross-tabulation and chi-square tests. For associations between cervical spine injury and dichotomous nominal variables, the ORs were calculated.

The study data set was divided randomly into two equal subsets, a development data set and a validation data set. Backward stepwise likelihood ratio logistic regression was used with the development data set to model the probability of cervical spine injury as a function of independent variables that had exhibited a significant association with this type of injury in the preliminary univariate analysis. The power of the model was then analyzed with the validation data set by using ROC methodology. The utility of the logistic regression model for identification of patients at low risk for cervical spine injury was then analyzed by calculating NPVs at various test probability thresholds, again using the validation data set.

Decision tree models were also created, once again using the nominal and ordinal independent variables associated with cervical spine injury in the preliminary univariate analysis. The Classification and Regression Tree; Quick, Unbiased, Efficient Statistical Tree; and exhaustive Chi-Square Automatic Interaction Detection algorithms were explored in search of simple trees that could be used to sort patients into groups at very low risk for cervical spine injury. The exhaustive Chi-Square Automatic Interaction Detection algorithm allows a node to give rise to more than two branches, so it was judged to mimic clinical thought processes better than the other algorithms. The probability threshold for branching was set at 0.05, and the Bonferroni correction was used. As for the logistic regression model, trees were created using randomly generated development data sets, and the validity of each tree was checked by analyzing its performance in the complementary validation data set.

**Statistical Analysis**

Organization, recoding, and analysis of the data were performed using commercially available software (SPSS Version 11.5 for Windows; SPSS, Inc., Chicago, IL). Decision trees were created and analyzed using AnswerTree Version 3.1 (SPSS, Inc.). This research was approved and supervised by the Institutional Review Board of Drexel University College of Medicine.

**RESULTS**

Between October 1986 and August 2004, 41,142 patients with TBI and admission GCS scores less than or equal to 8 were registered in the PTOS. There were 3281 patients whose diagnosis at discharge was cervical spine fracture or dislocation, or cervical spinal cord injury, for a prevalence of 8% (95% CI 7.8–8.2%).

**Univariate Associations**

With the exception of alcohol intoxication, all of the independent variables examined had significant associations with cervical spine injury (Table 2). The prevalence of this type of injury increased with age; was higher among female patients; was higher among Caucasians than among African Americans and Asian Americans; was higher among victims of road accidents than among patients with injuries sustained elsewhere; was higher among occupants of motor vehicles, motorcyclists, and pedestrians struck by moving vehicles than among victims of other injury mechanisms; was higher among patients with GCS scores of 3; was higher among patients with associated TLS fractures, limb fractures, or facial fractures; was slightly higher among patients with hypotension; was insignificantly lower among patients with alcohol intoxication; and was higher among patients with “other commercial” insurance coverage.

Because the sample size was so large, several associations of minor or uncertain clinical significance reached high levels of statistical significance. The association of payor with cervical spine injury was particularly dubious. This variable was examined as a surrogate for socioeconomic status, other data reflecting this status having been expunged in the deidentification process. For purposes of analysis the original 12 payor categories were sorted according to associated prevalences of cervical spine injury.
Statistical modeling of cervical spine injury

TABLE 1
Coding of independent variables in patients who were comatose after trauma*

<table>
<thead>
<tr>
<th>Category &amp; Value</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
</tr>
</thead>
<tbody>
<tr>
<td>injury mechanism</td>
<td>other</td>
<td>bicycle accident</td>
<td>fall</td>
<td>motor vehicle (driver or passenger), motorcycle, or pedestrian accident</td>
<td>—</td>
</tr>
<tr>
<td>age group (yrs)</td>
<td>0–15</td>
<td>16–30</td>
<td>31–50</td>
<td>51–69</td>
<td>70+</td>
</tr>
<tr>
<td>place injury occurred</td>
<td>home</td>
<td>other</td>
<td>road</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>race</td>
<td>African American</td>
<td>Asian American</td>
<td>Caucasian</td>
<td>other</td>
<td>—</td>
</tr>
<tr>
<td>race insurer</td>
<td>HMO, PPO, Champus, Champva, all Medicaid, self-pay</td>
<td>BC/BS</td>
<td>government, workers’ comp, all Medicare</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>hypotension (SBP on admission)</td>
<td>no hypotension</td>
<td>&lt;70 mm Hg, ages 0–15 yrs; &lt;80 mm Hg, 16–69 yrs; &lt;90 mm Hg, 70 yrs+</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>alcohol intoxication (g/dl)</td>
<td>&lt;100</td>
<td>≥100</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
</tbody>
</table>

* BC/BS = Blue Cross/Blue Shield; comp = compensation; HMO = Health Maintenance Organization; PPO = preferred provider organization; SBP = systolic blood pressure; — = coding did not extend to the number designated.

and lumped into four larger categories, but the larger categories had unintuitive compositions: “self-pay” fell into the same category as “PPO” (preferred provider organization), and “Blue Cross/Blue Shield” was associated with a much lower prevalence of cervical spine injury than “other commercial” insurers (7.8% compared with 11.5%). Interestingly, the same associations between payer categories and prevalence of cervical spine injury persisted after random partition of the entire data set into development and validation data sets, as described earlier. Even though payer seemed robust as a predictor of the risk of cervical spine injury, its clinical meaning and usefulness were unclear. The payer variable was therefore suppressed in subsequent model development.

**Logistic Regression**

The entire data set was divided randomly into development and validation data sets of equal size. Logistic regression was performed on the development data set to create a model for identifying cervical spine injury by using a backward stepwise likelihood ratio method and the following independent variables: age, sex, race, injury mechanism, place where injury occurred, GCS score, TLS fracture, limb fracture, facial fracture, hypotension, and intoxication. Sex, race, place, and intoxication were rejected from the model, leaving the following formula: probability = 1/(1 + exp[4.030 – 0.417*mechanism – 0.264*age – 0.678*TLS – 0.299*limb + 0.218*GCS score – 0.231*hypotension – 0.157*facial]).

The logistic regression model was tested in the validation data set by using ROC methodology (Fig. 1). The area under the curve of the ROC (that is, the probability that a randomly selected patient with cervical spine injury has a higher model probability of this type of injury than a randomly selected patient without the injury) was 0.673 (95% CI 0.657–0.688).

Table 3 shows the performance of the model in the validation data set if it was used as a rule for dismissing the possibility of cervical spine injury, that is, for “clearance” of the cervical spine. Patients in whom the model predicted a probability of this type of injury below an arbitrary threshold might be considered out of danger. As the probability threshold for clearance of the cervical spine is lowered, the NPV, which is the assurance of the absence of cervical spine injury, increases. As the threshold is lowered, however, the fraction of the total population that can be cleared decreases, and the rule becomes less useful.

**Decision Trees**

Decision trees were constructed to sort cases according to the presence or absence of cervical spine injury, based on the following variables: age, sex, race, injury mechanism, place where injury occurred (home/other/road), GCS score, TLS fracture, limb fracture, facial fracture, hypotension, and alcohol intoxication. With respect to the goal of finding a simple rule to identify patients at very low risk of cervical spine injury, trees of five, six, and seven layers did not perform any better than the four-layer tree in Fig. 2. A
Table 3: Performance of the logistic regression model as a rule for “clearance” of the cervical spine*

<table>
<thead>
<tr>
<th>Threshold for Predicting CSI NPV</th>
<th>Patients “Cleared” (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Probability of CSI</td>
<td></td>
</tr>
<tr>
<td>≥0.5</td>
<td>0.913</td>
</tr>
<tr>
<td>≥0.25</td>
<td>0.915</td>
</tr>
<tr>
<td>≥0.1</td>
<td>0.939</td>
</tr>
<tr>
<td>≥0.05</td>
<td>0.970</td>
</tr>
<tr>
<td>≥0.025</td>
<td>0.975</td>
</tr>
</tbody>
</table>

*CSI = cervical spine injury.

Overlooked Cervical Spine Injury

Systematic recording of overlooked cervical spine injury did not begin until 1991, and there were entries in this field for 26,936 cases. Consistency between recording of overlooked cervical spine injury and recording of ICD.9.CM diagnoses was not perfect. Of 159 cases coded as overlooked cervical spine injury, there were no corresponding ICD.9.CM diagnoses for 66 (42%). The nature of the injuries that were overlooked in these instances could not be determined. Inspection of the ICD.9.CM diagnoses in the discrepant cases yielded no consistent patterns. Only cases of overlooked cervical spine injury with corresponding ICD.9.CM diagnoses were analyzed further.

The overall rate of overlooked cervical spine injury among all patients with severe TBI was 0.3%. Among 2414 patients with injury to the cervical spine for whom data were available, the rate of overlooked injury was 3.9%. Overlooked injuries were compared with other cases of cervical spine injury by cross-tabulation and chi-square testing with respect to the following independent variables: age, sex, race, injury mechanism, place where injury occurred, GCS score, TLS fracture, limb fracture, facial fracture, hypotension, alcohol intoxication, and insurance carrier. The only association that attained significance was TLS fracture (p = 0.009, Fisher’s exact test); a TLS fracture was associated with a reduced risk of overlooked cervical spine injury (OR 0.453, 95% CI 0.245–0.837).

Detection of cervical spine injury at the time of admission seemed to improve during the years covered by the registry. The rates of overlooked occurrences as a fraction of all cervical spine injuries were 10.5, 10.2, and 8.4% in 1991, 1992, and 1993, respectively, but in subsequent years the fraction of cervical spine injuries that were overlooked stabilized at much lower levels (Fig. 3). From 1994 through 2003, the mean rate of overlooked cervical spine injury was only 2.3%. Logistic regression of overlooked injuries of this type as a function of registry year was highly significant over the 1991 through 2003 epoch (p < 0.0005), but it did not achieve significance over the 1994 through 2003 epoch (p = 0.053). (Linear regression was not used for this analysis because the assumptions required for calculation of statistical significance were not valid.) A robust protective effect of concomitant TLS fracture against overlooked cervical spine injury persisted in the 1994 through 2003 epoch, however (p = 0.028, Fisher exact test; OR 0.412, 95% CI 0.186–0.916).

Discussion

Both the strengths and the weaknesses of this study derive from the source of its data, a statewide trauma registry. The data set was collected prospectively, and it reflects actual practices at centers dedicated to trauma care that were nonetheless presumably not devoting more attention to cervical spine assessment than to other urgent clinical matters of comparable importance. The data set was also quite large. The 8% prevalence of cervical spine injury among patients with severe TBI reported here is based on a denominator that is larger, by more than an order of magnitude, than any other comparable study group previously reported. On the other hand, although the data were collected by trained program coordinators, there was no auditing, so no quantitative judgment can be made about accuracy, nor can inconsistencies in the recording of data be clarified retrospectively.

The goal of this investigation was to formulate a simple, practical rule for identifying patients with severe TBI at sufficiently low risk of cervical spine injury that definitive imaging investigations might be safely deferred or dismissed. Because the prevalence of cervical spine injury among the patients with TBI was only 8%, a rule with a sufficiently high NPV might offer great savings in effort and
resources if it were applicable to a large enough portion of the 92% of patients without this type of injury. As expected, in this study there was an inverse relationship between the NPVs of the rules examined and their range of applicability, and therefore compromises were necessary. With the cervical spine injury probability threshold set at 0.05, the logistic regression rule was applicable to 28% of cases and yielded an NPV of 97%. Lowering the threshold further yielded a higher NPV at the expense of a narrower range of applicability (Table 3). The decision tree rule was similarly applicable to 24% of cases and yielded an NPV of 98.2%. Unlike logistic regression, decision trees yield rules that are relatively simple to state, in this case as follows: “comatose trauma victims who were not injured by a motor vehicle, in a fall, or on a bicycle, and who do not have facial, limb, or other spinal fractures are at low risk of CSI [cervical spine injury].” (This general statement is consistent with the widely held perception that victims of isolated cranial penetrating trauma are at negligible risk of cervical spine injury.9,10,12)

Rational definition of “sufficiently low risk” is a task that requires a formal cost/benefit analysis, which was outside the scope of this undertaking.2 Indeed, the contemporary practice environment does not tolerate any risk of overlooked cervical spine injury. One logical quantitative benchmark, however, is the rate of this type of injury that is over-

Fig. 2. Chart showing a decision tree generated by the exhaustive Chi-Square Automatic Interaction Detection algorithm leading in four branches to a node (highlighted) representing 24% of all patients with severe TBI, with a prevalence of cervical spine injury of only 1.8%. This finding can be summarized clinically as follows: “comatose trauma victims who were not injured by a motor vehicle, in a fall, or on a bicycle and who do not have facial, limb, or other spinal fractures are at low risk of CSI [cervical spine injury].” Adj. = adjusted; MCH = mechanism.

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looked by customary diagnostic vigilance at the time of admission. In this study, cervical spine injury was overlooked in 3.9% of patients in whom it was later found, or in 0.3% of all patients with severe TBI; thus, customary diagnostic vigilance might be said to have an NPV of 99.7%. A clinical decision rule for clearance of the cervical spine that performs as well on a defined subset of cases as the customary diagnostic investigation performs on unselected patients would by definition save resources without diminishing safety. Neither the logistic regression methodology (NPV 97%) nor the decision tree methodology (NPV 98.2%) reached this benchmark. Although its simplicity may be attractive, implementation of the decision tree rule theoretically subjects patients with TBI to a sixfold greater risk of overlooked cervical spine injury than do customary diagnostic practices. Few clinicians would judge such a rule to be suitable for incorporation into clinical guidelines or routine practice.

Injury at one level of the spine increases the likelihood of injury at other levels. The prevalence of cervical spine injury among patients with severe TBI was 8% in this study, but its prevalence among patients with TBI who also had suffered TLS fractures was 23%. Physicians who provide trauma care are evidently aware of this association, and awareness affects behavior. In this study, the presence of TLS spinal fractures significantly lowered the risk of overlooked cervical spine injury, from 4.4 to 2.1%. Once providers identified an injury at one level, they seemed to look harder for injuries at other levels. This observation indicates that the overall rate of overlooked injury in this study, 3.9%, is not an irreducible product of the inadequacy of imaging technology and the distractions of the trauma bay. It is a function of the motivational state of the provider and can be affected by environmental cues such as TLS spine injury, and presumably by organizational improvements in the system of care delivery.

Detection of cervical spine injury did indeed improve during the years recorded in the PTOS registry. After 3 early years of high rates of overlooked injuries of this type, the rates fell rather dramatically in 1994 and remained stable and low thereafter. The explanation for this favorable development is purely a matter of speculation. Increasing standardization of care, more liberal use of computerized tomography scanning of the cervical spine, and a systematic change in the data entry practices of the local program coordinators are all possibilities. Even if deliberate quality improvement efforts deserve credit, the data regarding associated TLS spinal fractures show that room for additional improvement remains. The protective effect of associated TLS fracture persisted unchanged in 1994 and in subsequent years, demonstrating that enhanced provider vigilance, whether achieved by recognition of related injuries or by other means, can lower rates of overlooked cervical spine injury still further.

CONCLUSIONS
In contemporary standards of care, overlooked cervical
spine injury is not tolerated. Among comatose patients with TBI in the large data set presented here, the overall risk of overlooked cervical spine injuries in the face of customary diagnostic vigilance was 0.3%. This exercise in statistical modeling failed to produce a simple rule that permits deferral or dismissal of diagnostic investigations for any significant fraction of patients with TBI in whom the risk of overlooked cervical spine injuries is comparably low. The protective effect of associated TLS fractures on the risk of overlooked cervical spine injury indicates that certain environmental cues serve to enhance provider vigilance. Engineered modifications of the clinical environment that similarly enhance vigilance may further reduce the risk of overlooked cervical spine injury.

References


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