Improvement in cognitive function after surgery for low-grade glioma

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OBJECTIVE Cognition is a key component in health-related quality of life (HRQoL) and is currently incorporated as a major parameter of outcome assessment in patients treated for brain tumors. The effect of surgery on cognition and HRQoL remains debatable. The authors investigated the impact of resection of low-grade gliomas (LGGs) on cognition and the correlation with various histopathological markers.

METHODS A retrospective analysis of patients with LGG who underwent craniotomy for tumor resection at a single institution between 2010 and 2014 was conducted. Of 192 who underwent resective surgery for LGG during this period, 49 had complete pre- and postoperative neurocognitive evaluations and were included in the analysis. These patients completed a full battery of neurocognitive tests (memory, language, attention and working memory, visuomotor organization, and executive functions) pre- and postoperatively. Tumor and surgical characteristics were analyzed, including volumetric measurements and histopathological markers (IDH, p53, GFAP).

RESULTS Postoperatively, significant improvement was found in memory and executive functions. A subgroup analysis of patients with dominant-side tumors, most of whom underwent intraoperative awake mapping, revealed significant improvement in the same domains. Patients whose tumors were on the nondominant side displayed significant improvement only in memory functions. Positive staining for p53 testing was associated with improved language function and greater extent of resection in dominant-side tumors. GFAP positivity was associated with improved memory in patients whose tumors were on the nondominant side. No correlation was found between cognitive outcome and preoperative tumor volume, residual volume, extent of resection, or IDH1 status.

CONCLUSIONS Resection of LGG significantly improves memory and executive function and thus is likely to improve functional outcome in addition to providing oncological benefit. GFAP and p53 positivity could possibly be associated with improved cognitive outcome. These data support early, aggressive, surgical treatment of LGG.

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KEY WORDS cognition; HRQoL; tumor; craniotomy; p53; GFAP; IDH; oncology
comes in this patient population. Further, there is currently a growing interest in the role of various molecular markers in the treatment paradigm to our knowledge, however, the specific question of whether a better cognitive outcome is correlated to a favorable genetic profile has not been previously addressed.

Approximately one-quarter of patients with LGGs report serious problems with their neurocognitive functioning, particularly memory concentration, language, and executive functions including at presentation. Previous studies have suggested that many patients with LGG suffer from neurocognitive deficits and compromised health-related quality of life (HRQoL). These deficits are historically considered to be location dependent, as it is known that frontal lobe tumors cause deficits including executive function, decision making, memory, and emotion, whereas dominant temporal lesions affect language and occipital lesions cause visuospatial deficits. Previously, only basic evaluations of cognitive skills were performed in brain tumor patients (i.e., the Mini–Mental State Examination or related tools). A comprehensive neuropsychological evaluation using a battery of tests is a far more sensitive method for detecting subtle cognitive changes and has become the standard protocol in many neuro-oncological centers.

The role of resection in LGG and its impact on cognition has not been thoroughly established. Further, the best surgical approach for maximal safe tumor resections, preoperative evaluation, and intraoperative techniques, including awake mapping and neuromonitoring, are still not common practice worldwide. This study addresses the long-term neurocognitive effects and outcome of surgery, with intraoperative awake mapping when appropriate, for LGG.

Methods

Study Population

We conducted a retrospective analysis of prospectively collected data from patients with LGG who underwent craniotomy for tumor removal at Tel Aviv Sourasky Medical Center between 2010 and 2014. A total of 192 patients were initially identified. Patients with infratentorial and intraventricular tumors, as well as those who only underwent needle or open biopsies and those who did not have complete pre- and postoperative cognitive evaluations, were excluded, leaving 49 patients with supratentorial, low-grade glial tumors and complete pre- and postoperative neurocognitive assessments, who were included in the study. The cohort is of nonconsecutive patient data due to patients’ noncompliance with postoperative assessment or up-front refusal to consent. All patients included in the study underwent a comprehensive preoperative neurocognitive evaluation and follow-up evaluation at 3–28 months (mean 6.06 months) after surgery. We also performed a subgroup analysis of only those patients whose follow-up examinations were performed less than 12 months after surgery (n = 43, mean follow-up 4.1 months, range 3–10 months). The 6 patients who were excluded from this subgroup analysis had a mean time to follow-up of 17.8 months. Side dominance was typically determined by handedness, with support from functional MRI (fMRI) data for patients undergoing awake craniotomies.

Patient-related and tumor-related variables are summarized in Table 1. Molecular and histopathological data were gathered from routine pathology reports within patient files.

Volumetric Analysis

Tumor volumetrics were obtained from preoperative MRI as well as a follow-up MRI study that was performed within 4 weeks of the follow-up cognitive evaluation (either before or after) for all but 2 patients. For each patient, tumor volume was measured with T2-weighted FLAIR sequences; preoperative and follow-up images were coregistered to ensure maximal accuracy. The tumor volume was then segmented across all image slices and the region of interest was measured and computed using Brainlab navigation software (iPlan). Results are displayed in cubic centimeters. All measurements were manually performed by a single investigator (O.B.).

Neurocognitive Tests

Neurocognition was assessed utilizing a standardized test battery. The evaluation protocol included tests for estimated premorbid intelligence, attention and working memory, memory, learning, language, visuomotor organization, and executive functions. When available, alternate forms were used to minimize practice effects (Table 2). Cognitive functions and domains were grouped and analyzed similarly to previously published data by other groups. An expert neurosurgical staff neuropsychologist performed all tests.

Scores on each test were transformed into z-scores according to the mean and standard deviation of normative healthy control data for each specific test. For verbal tasks, Hebrew versions of tests and norms were used. A mean z-score of tests included in a particular cognitive domain was calculated to represent the overall function level in that domain.

Surgery

All surgeries were conducted in a single institution by a single surgeon (Z.R.). Twenty-three of 26 operations in the dominant hemisphere were performed with intraoperative mapping for language, motor, and cognitive function in an awake setting. Our methods of awake craniotomy and mapping have been described elsewhere. Our method of intraoperative multisite direct cortical stimulation (i.e., applying simultaneous cortical stimulation at multiple locations) reveals additional language dysfunction when performed at sites that did not produce disruption when stimulation was applied at a single point. Functional regions identified by this technique are presumed to be significant to language circuitry and are spared during surgery.

Pathology

Immunohistochemistry for IDH1-R132H was done on 5-μm-thick formalin-fixed, paraffin-embedded tumor sections. Antigen retrieval was performed in citrate buf-
fer (pH 6.0) in a microwave oven. An antibody specific for the mutant IDH1-R132H protein (H09, 1:100 dilution; Dianova) was used. A secondary antibody labeled with streptavidin conjugate (Dako/Agilent) was used as a detection system. A senior pathologist evaluated the results of the immunostaining.

Immunohistochemistry for p53 and GFAP was done on 5-μm-thick formalin-fixed, paraffin-embedded tumor sections. Antibodies specific for p53 (DO7, 453M96, 1:100 dilution; Cellmark) and GFAP (258R/16, 1:50 dilution; Cellmark) were used. A secondary antibody labeled with the streptavidin biotin kit (Universal) was used as a detection system (Dako). A senior pathologist evaluated the results of the immunostaining as part of the routine pathological report.

Statistical Analysis

Statistical analysis was performed using SPSS, version 17.0 (SPSS, Inc.). To examine the effect of surgery on a specific neurocognitive ability and on tumor volumetrics, we used a paired t-test. To identify the effect of a molecular marker on neurocognitive outcome, we used the Student t-test. We also present the p values obtained after correction for multiple comparisons (correction for family-wise error [FWE]). To identify the effect of resection on overall cognitive outcome, we used repeated-measures ANOVA. To examine the relationship between change in

<table>
<thead>
<tr>
<th>TABLE 1. Patient and tumor characteristics</th>
<th>Value</th>
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<tbody>
<tr>
<td><strong>Patient characteristics</strong></td>
<td></td>
</tr>
<tr>
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<tr>
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</tr>
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<td>DNET</td>
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<td>Dominance</td>
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<td>Negative</td>
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<td>GFAP*</td>
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DNET = dysembryoplastic neuroepithelial tumor; PXA = pleomorphic xanthoastrocytoma.

Values are numbers of patients or tumors unless otherwise indicated.

* Data were available for 48 patients.
† Data were available for 41 patients.

<table>
<thead>
<tr>
<th>TABLE 2. Neuropsychological testing protocol</th>
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<tr>
<td><strong>Cognitive Domain &amp; Abilities Tested</strong></td>
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<tr>
<td>Attention &amp; working memory</td>
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<td>Auditory attention &amp; working memory</td>
<td>WAIS-III Hebrew Digit Span (forward &amp; backward)</td>
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<tr>
<td>Spatial attention &amp; working memory</td>
<td>WMS-III Spatial Span (forward &amp; backward)</td>
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<td>Postop visuomotor organization</td>
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<td>COWAT: semantic fluency</td>
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<td>Executive function</td>
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<td>Phonemic fluency</td>
<td>COWAT: phonemic fluency</td>
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<td>Stroop test—interference score</td>
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<td>IQ predictor</td>
<td></td>
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<tr>
<td>Abstract thinking</td>
<td>WAIS-III Hebrew Similarities</td>
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</table>

cognitive ability following surgery and extent of resection or residual tumor volume, we used Pearson’s correlation. In all tests, a p value of < 0.05 was considered statistically significant.

Our institutional review board approved this study, and informed consent was obtained from participants.

Results

A total of 49 adult patients (28 male and 21 female) were included in this study. Their mean age at the time of surgery was 35.4 years (range 22–66 years). Patient and tumor variables are summarized in Table 1. Tumor locations are shown in Fig. 1. The mean extent of resection was 79.4%.

In all patients, the premorbid estimated intelligence and abstract thinking were within the normal range (i.e., less than 1 SD from those of the population mean). However, the baseline performance on cognitive tests showed the presence of cognitive deficits of varying severity at presentation. Memory was severely impaired in this population ($z = -4.03$). Near-significant impairments were noted in learning ($z = 0.089$), language ($z = 0.72$), naming ($z = 0.7$), and delayed recall ($z = 0.9$), and the performance in these areas was considered “low average.” Subgroup analysis showed that patients who harbored tumors in the dominant hemisphere had lower scores (Rey Auditory Verbal Learning Test: total learning mean $z = -1.0$, delayed recall mean $z = -1.2$, naming mean $z = -0.9$, phonemic fluency mean $z = -0.8$). Thus, the mean performance of all patients as a group showed subtle impairment and was at the level of average and low average, whereas patients with dominant-side tumors showed significant cognitive impairment at presentation.

Comparison of Preoperative Versus Follow-Up Cognitive Function

Overall Cohort

Significant improvement following surgery was observed in memory ($p < 0.001$, uncorrected; $p < 0.001$, corrected for FWE) and executive functions ($p = 0.031$, uncorrected; $p = 0.124$, corrected for FWE). No significant improvement was noted regarding language, attention and working memory, or visuomotor organization (Fig. 2).

Although not all domains improved significantly, there was a trend of improvement across all domains. In a repeated-measures ANOVA model, postresection testing resulted in a significant beneficial effect for memory ($F[1,26] = 19.254$, $p = 0.000$), while a trend was noted for executive functions ($F[1,26] = 3.311$, $p = 0.08$).

No correlations were noted between cognitive changes and IDH1 status, p53 or GFAP staining, or proliferation indices (Ki-67/MIB). Similarly, surgical parameters (i.e., extent of tumor resection [EOR] and residual tumor volume) were not significantly correlated with cognitive changes, although a trend of improvement was found with greater EOR and lower residual tumor volume.

The mean EOR for patients with p53-positive tumors was $84\% \pm 16\%$. There was a marginal correlation between p53 positivity and greater EOR ($p = 0.064$) and a significant correlation between p53 positivity and better postoperative language function ($p = 0.005$).

The subgroup analysis excluding patients who had a follow-up test more than 12 months after surgery did not alter the significance of the reported results.

Patients With Dominant-Side Tumors

Twenty-six patients harbored dominant-side tumors. The mean EOR in these cases was 76%. Patients with dominant-side tumors experienced significant improvement in memory ($p = 0.001$, uncorrected; $p = 0.004$, corrected for FWE), as well as in executive functions ($p = 0.016$, uncorrected; $p = 0.064$, corrected for FWE), with no significant changes in other cognitive domains (Fig. 2). In a repeated-measures ANOVA model, postresection testing showed a significant beneficial effect on memory ($F[1,14] = 9.13$, $p = 0.009$) and executive functions ($F[1,14] = 6.23$, $p = 0.026$).

Extent of resection correlated nearly significantly ($p = 0.06$) with improvement in attention and working memory.

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**FIG. 1.** Representation of tumor locations. Tumors are grouped according to their anatomical location and are presented on a generic brain (fsaverage5) surface representation imported from FreeSurfer (https://surfer.nmr.mgh.harvard.edu). LH = left hemisphere; RH = right hemisphere.
The mean EOR for patients with p53-positive tumors in this subgroup was 83% ± 19%; p53 positivity predisposed to a larger EOR (p = 0.044) and was correlated with better postoperative language function (p = 0.016). No other correlations were found between histopathological markers or surgical parameters (i.e., EOR, residual volume) and cognitive changes.

Patients With Tumors on the Nondominant Side

Twenty-three patients harbored nondominant-side tumors. Tumors varied significantly in size (1.84–141.45 cm³). The mean EOR for this subgroup was 83%. After surgery, this group experienced significant improvement in the memory domain (p = 0.001, uncorrected; p = 0.001, corrected for FWE). A repeated-measures ANOVA model showed a significant beneficial effect only on memory (F[1, 11] = 2.27, p = 0.010) (Fig. 2).

IDH1 positivity was found in 13 of these 23 patients. IDH1 did not affect neurocognition but was associated with larger residual volume at follow-up (9.42 vs 2.41 cm³, p = 0.029).

GFAP-positive tumors were found in 18 cases. GFAP positivity was associated with higher follow-up scores in attention and working memory tests (p = 0.05). No correlations were found between p53 status or proliferation indices (Ki-67/MIB) and cognitive changes or surgical parameters.

Patients With Left Frontal Tumors

Fifteen patients presented with left frontal tumors. The extent of resection was 76.4%. No statistically significant changes were found in any of the cognitive domains. A near-significant improvement was found in executive functions (p = 0.059) (Fig. 2).

Due to the small sample size in this group, histopathological and surgery-related correlations were not performed.

Patients With Diffuse Versus Patients With Focal Tumors

To evaluate the difference between “focal” tumors and more “diffuse” pathologies, the cognitive changes in patients with oligodendrogliomas (n = 8) and patients with astrocytomas (n = 23) were evaluated. A significant beneficial effect of surgery on memory performance was observed in the oligodendroglioma group (p = 0.021). No
other significant difference was evident between the 2 pathological groups.

Discussion

This study shows improvement in cognitive skills following resection of low-grade glial tumors as assessed by a battery of objective neurocognitive tests. Our data demonstrate improvement in memory and executive functions in a mixed patient cohort, as well as in a subpopulation of patients harboring dominant-side tumors. It should be noted that of 26 operations for dominant-side tumor resection, 23 were performed in an awake setting. The significant cognitive improvement in this subpopulation of patients emphasizes the importance of intraoperative mapping, when appropriate, for cognitive function preservation. We further attempted to associate cognitive changes after surgery with various markers, although more studies will be necessary to establish meaningful associations.

Cognition in Brain Tumor Surgery

Studies on the cognitive assessment of patients undergoing brain tumor surgery are limited. For patients with noninfiltrative tumors, cognitive improvement has been demonstrated after removal of meningiomas and arachnoid cysts. In patients with high-grade gliomas, poorer performance on cognitive tests is associated with shorter survival from the time of initial diagnosis, as well as from the time of tumor progression.

LGG treatment is uniquely complex as the course of these lesions is usually indolent, and multiple treatment options are available. Through the long course of the disease, cognition is commonly impaired in this patient population. Cognitive changes can be attributed to the effects of both disease and treatment and may be caused by radiation, chemotherapy, seizures, medications, and surgery. A recent, comprehensive review described the scarcity of data available regarding the impact of surgery on cognition in glioma patients.

Sato et al. demonstrated that glioma surgery is possible without long-term damage to cognitive functions. Noll et al. showed that neurocognitive decline following resection of temporal lobe glioma is common, particularly in the domains of verbal memory and executive functioning, regardless of the hemisphere involved and occurring mostly in the subacute postsurgical period. In small patient series, several studies showed that surgery improved various functions and caused worsening of others, leaving the overall cognitive burden unchanged. Verbal working memory has been shown to deteriorate immediately after surgery but then improve 3 months postoperatively to the baseline level (in 5 patients) or improve significantly above the preoperative status (in 3 other patients).

Racine et al. conducted a retrospective analysis of 22 cases in which patients underwent awake craniotomies. Most of the patients presented with a decline in baseline cognitive functions, and 12 of them underwent follow-up cognitive examinations, which demonstrated no postoperative language decline; a decline in memory capabilities was, however, detected in follow-up assessment.

In our current study, 23 of 26 operations on dominant-side tumors were performed in an awake setting, and postoperative assessment showed improvement in memory and executive functions in these patients. Our study and that of Racine et al. both demonstrate the feasibility of cognitive function preservation in eloquent areas with use of awake mapping techniques when appropriate.

Preoperative Cognitive Evaluation

Our current study population consisted of well-educated patients with normal intelligence. Upon complete neurocognitive evaluation, subtle deficits that were overlooked in the regular neurological evaluation often surfaced. These subtle changes are important to recognize for both the patient and the treating physician, as cognitive changes assume a key role in treatment planning and decision making. Our data, along with other previously published data, emphasize the need for standardized neurocognitive assessment in all patients harboring intraaxial brain tumors.

Memory

Anterograde verbal memory decline is a common and potentially debilitating morbidity associated with temporal lobectomy (typically of the language-dominant side). Although memory has traditionally been attributed to the hippocampal region, fMRI experiments in normal subjects suggest that specific regions within the parietal cortex may also participate in episodic memory along with the hippocampal formation.

Additional fMRI data emphasize the importance of neural networks, such as involvement of frontal networks in verbal learning, that may even appear useful in predicting postsurgical memory change in epilepsy surgery.

When discussing memory as a global capability, we combined both visual and auditory memory, along with learning capabilities (Table 2), demonstrating significant improvement in the postoperative cognitive assessment. Moreover, subgroup analysis of data from patients with dominant-side tumors, as well as those with gliomas with less involvement of the left hemisphere, showed a more significant improvement in both memory and executive function.

Of note, a beneficial effect of surgery on memory performance was observed in the oligodendroglioma group when compared with the astrocytoma group (p = 0.021). This could reflect a resectability advantage with the more focal pathology compared with the more “diffuse” one. As the number of patients in this analysis is small (n = 8), this result should be validated in larger studies.

Executive Functions

Impairments of motor and executive function are the hallmark deficits associated with frontal lobe resection. Postoperative executive function decline following frontal lobe resection may include changes in attention and multitasking, fluency, response inhibition, concept formation, or problem solving. Executive dysfunction has also been described after anterior temporal resection for epilepsy,
and in these cases, it is hypothesized to result from a disconnection between frontal and temporal lobe networks.2 Predictors of new or increased executive impairments after frontal lobe resection include intact presurgical executive functioning,13 continued seizures after surgery,14 and presurgical depressed mood.8 Our cohort included 27 patients with frontal lobe tumors, 15 of which were on the dominant side. Data from the entire cohort as well as from the subgroup with dominant-side tumors showed significant improvement in executive function following surgery. This improvement could be a direct result of reducing mass effect, or it could be due to subsequent resolution of peritumoral edema. Moreover, as LGGs are slow-growing tumors, the tumor-infiltrated areas may undergo plasticity and, possibly, are not functionally significant to the large-scale neural network responsible for cognitive functioning.

Cognition and Histopathological Markers

**IDH1 Status.** Isocitrate dehydrogenase 1 (IDH1) mutations are associated with improved survival in patients with LGG,8,9 as well as with possible better response to treatment in these patients.13,16 The role of IDH1 as a marker has recently been emphasized as new data demonstrate that most LGGs without an IDH mutation are molecularly and clinically similar to glioblastoma.7 Tumors in 29 patients in our cohort showed IDH1 positivity. Wefel et al. recently found that in malignant gliomas, patients with wild-type IDH1 show reduced neurocognitive function compared with those with mutant IDH1.26 A single association was made between IDH positivity and larger residual volume in a small subgroup of LGG patients whose tumors were in their nondominant hemisphere. The significance of this association could be a result of the sample size, but it could possibly point to a difference in resectability between IDH1-positive and IDH1-negative tumors.

**p53.** Loss of p53 tumor suppressor activity has been described as an early event in LGG formation and progression,8,9 and its prognostic role has remained controversial.24 In the present study, we found that in dominant-side tumors p53 positivity correlated with better postoperative language capabilities, as well as with greater extent of resection. Previously, p53 and other histological markers had not been shown to correlate with tumor resectability,7 and further studies are necessary to determine the significance of our current findings.

**GFAP and Proliferation Indices.** GFAP positivity was associated with higher follow-up scores in attention and working memory tests in patients with nondominant-side tumors. This association is likely a statistical observation, and its clinical significance remains to be determined.

No correlations were made between proliferation indices and cognitive change.

Special Considerations

All patients underwent a complete follow-up evaluation following surgery, and the postoperative data presented here are from the first postoperative follow-up visit. All but 6 patients were evaluated 3–10 months after surgery. This allows for adequate recovery time from surgery and reflects routine follow-up visits. There is some variability with the length of follow-up as 6 patients were evaluated more than a year after surgery, reflecting technical and compliance difficulties with these strenuous tests. These patients were kept in the analysis to avoid selection bias. As shown in a subgroup analysis, exclusion of the patients with delayed evaluation did not alter the reported results.

Our protocol for steroid treatment is quite standard, and in patients undergoing LGG resection, it includes a single intraoperative dose of 20 mg dexamethasone and a rapid tapering schedule of postoperative steroid therapy, which is usually discontinued within 7–10 days of surgery. LGG patients were rarely considered for adjuvant therapies. None of the patients presented in this analysis had received adjuvant whole-brain radiation therapy between evaluations, and only 4 patients were treated with temozolomide prior to follow-up evaluation. Doses of other medications, such as antiepileptic drugs, could not be fully accounted for.

**Limitations**

We recognize the inherent flaws of this study associated with its retrospective nature. The lack of a control group was inevitable in this analysis. A potential control group of patients who underwent biopsy only was excluded due to the fact that tumors not amenable to aggressive resection may represent a completely different and distinct disease entity. The nonconsecutive nature of this cohort represents a potential selection bias. Patients undergoing resection of intraaxial brain tumors at our institution routinely undergo full neuropsychological evaluations. A few patients were excluded due to their refusal to consent, and others did not have complete pre- and postoperative evaluations due to technical limitations.

Other potential confounding factors associated with cognitive impairment are vast, and accounting for all of them is nearly impossible.

**Conclusions**

Our study shows that surgery for removal of low-grade glial tumors is associated with cognitive improvement in memory and executive functions with no apparent evidence for deterioration in other cognitive domains. Preoperative evaluation, teamwork, patient selection, and liberal use of awake mapping techniques when appropriate are imperative in maintaining cognitive function in patients undergoing these challenging operations. New observations were made herein regarding p53 and GFAP positivity and their possible association with improved neurocognition and tumor resectability.

Evidence has been accumulating to support the notion that cognition results from the dynamic interactions of distributed brain areas operating in large-scale networks.4 The mechanisms by which cognitive improvement following tumor resection is possible remain unclear.

Early, aggressive resection of LGG has recently been advocated for improved survival. The improvement of cognitive outcome after surgery is another advantage that favors early resection in low-grade glial tumors.
References


**Disclosures**

The authors report no conflict of interest concerning the materials or methods used in this study or the findings specified in this paper.

**Author Contributions**

Conception and design: Ram, Barzilai. Acquisition of data: all authors. Analysis and interpretation of data: Ram, Barzilai, Ben Moshe, Shofty. Drafting the article: Barzilai. Critically revising the article: Ram, Barzilai. Reviewed submitted version of manuscript: all authors. Statistical analysis: Shofty. Administrative/technical/material support: Ram, Barzilai. Study supervision: Ram.

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