Cerebral autoregulation following minor head injury

ELISABETH C. JÜNGER, M.D., DAVID W. NEWELL, M.D., GERALD A. GRANT, M.D., ANTHONY M. AVELLINO, M.D., SAADI GHATAN, M.D., COLLEEN M. DOUVILLE, B.A., ARTHUR M. LAM, M.D., RUNE AASLID, Ph.D., AND H. RICHARD WINN, M.D.

Department of Neurological Surgery, School of Medicine, University of Washington, Seattle, Washington

The purpose of this study was to determine whether patients with minor head injury experience impairments in cerebral autoregulation. Twenty-nine patients with minor head injuries defined by Glasgow Coma Scale (GCS) scores of 13 to 15 underwent testing of dynamic cerebral autoregulation within 48 hours of their injury using continuous transcranial Doppler velocity recordings and blood pressure recordings. Twenty-nine age-matched normal volunteers underwent autoregulation testing in the same manner to establish comparison values. The function of the autoregulatory response was assessed by the cerebral blood flow velocity response to induced rapid brief changes in arterial blood pressure and measured as the autoregulation index (ARI).

Eight (28%) of the 29 patients with minor head injury demonstrated poorly functioning or absent cerebral autoregulation versus none of the controls, and this difference was highly significant (p = 0.008). A significant correlation between lower blood pressure and worse autoregulation was found by regression analysis in head-injured patients (r = 0.6, p < 0.001); however, lower blood pressure did not account for the autoregulatory impairment in all patients. Within this group of head-injured patients there was no correlation between ARI and initial GCS or 1-month Glasgow Outcome Scale scores. This study indicates that a significant number of patients with minor head injury may have impaired cerebral autoregulation and may be at increased risk for secondary ischemic neuronal damage.

KEY WORDS • autoregulation • minor head injury • transcranial Doppler ultrasonography

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Clinical Material and Methods

Study Participants

This study was approved by the University of Washington Human Subject Review Committee, and written informed consent was obtained from each participant or from the patient’s family when appropriate. Twenty-nine unselected patients who had sustained minor head injury, defined by GCS scores of 13 to 15, and who were admitted to Harborview Medical Center over a 5-month period for acute care of their head injuries were included in the study. Computerized tomography (CT) scans were obtained in all patients within hours of their arrival. Patients were treated according to a standard minor head injury treatment regimen that included bed rest, analgesic med-
was also measured using nasal prongs connected to a CO$_2$ monitor (Datex 223; Puritan Bennett Corp., Tewksbury, MA). The output data were recorded using the transducer.

Testing was performed while participants were supine with an approximately 10 to 20° inclination of the upper body. The reference point for the blood pressure recordings was 5 to 10 cm below the external auditory meatus in all patients and normal volunteers. Large blood pressure cuffs were placed around both thighs and inflated to 20 to 40 mm Hg above the systolic blood pressure for 3 minutes. Releasing the cuffs caused a sharp but moderate transient drop in systemic blood pressure. Drops in MABP of more than 12 mm Hg were considered a sufficient autoregulatory stimulus. The test was repeated several times (three–eight) in each participant, and three to four responses were averaged to give the final response for each MCA perfusion territory.

Calculations were performed on-line to assure the acquisition of at least three technically perfect measurements and off-line for the final evaluation using the time-averaged mean velocities of the maximum velocity outlines of the Doppler spectrum and MABP. Values for each MCA were analyzed separately, and an overall autoregulatory index (ARI) was calculated by averaging both sides.

Methods for Calculating Dynamic Autoregulatory Response

A detailed description of the methodology used to calculate the dynamic autoregulatory response has been published elsewhere, including the equations describing the computer model used to obtain the ARI.$^{13}$ Briefly, to calculate the dynamic autoregulatory response, a disturbance or error signal is introduced into the system. This disturbance is the rapid drop in CBF caused by the sudden drop in blood pressure induced by deflating the leg cuffs. The autoregulatory response is activated, and the normal response is to cause a rapid reduction in the overall cerebrovascular resistance (CVR) to restore blood flow to the resting level. The ARI is calculated from a computer model that considers the latency and overall effectiveness

<table>
<thead>
<tr>
<th>TABLE 1</th>
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<tr>
<td><strong>Diagnosis on CT scan in 29 patients with minor head injury</strong>*</td>
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<table>
<thead>
<tr>
<th>Diagnosis on CT</th>
<th>No. of Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>normal (includes facial &amp; skull fractures, scalp hematomas)</td>
<td>9</td>
</tr>
<tr>
<td>traumatic SAH</td>
<td>3</td>
</tr>
<tr>
<td>contusions</td>
<td>7</td>
</tr>
<tr>
<td>SDH</td>
<td>3</td>
</tr>
<tr>
<td>EDH</td>
<td>1</td>
</tr>
<tr>
<td>multiple lesions</td>
<td>6</td>
</tr>
<tr>
<td>traumatic SAH, contusion</td>
<td>1</td>
</tr>
<tr>
<td>traumatic SAH, contusions, IVH</td>
<td>1</td>
</tr>
<tr>
<td>shear injury, traumatic SAH, intracranial air pocket</td>
<td>1</td>
</tr>
<tr>
<td>SDH, contusions</td>
<td>3</td>
</tr>
<tr>
<td>bilat shear injuries, IVH</td>
<td>1</td>
</tr>
<tr>
<td>total</td>
<td>29</td>
</tr>
</tbody>
</table>

* EDH = epidural hematoma; IVH = intraventricular hemorrhage; SAH = subarachnoid hemorrhage; SDH = subdural hematoma.

<table>
<thead>
<tr>
<th>TABLE 2</th>
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<tbody>
<tr>
<td><strong>Demographics in normal volunteers and patients with minor head injury</strong></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Volunteers</th>
<th>Head-Injured Patients</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>no. of participants</td>
<td>29</td>
<td>29</td>
<td></td>
</tr>
<tr>
<td>sex</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>male</td>
<td>18</td>
<td>23</td>
<td></td>
</tr>
<tr>
<td>female</td>
<td>11</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>age (yrs)</td>
<td>38 ± 16</td>
<td>38 ± 16</td>
<td>0.92</td>
</tr>
<tr>
<td>GCS score</td>
<td>15</td>
<td>14.7 ± 0.5</td>
<td></td>
</tr>
<tr>
<td>MABP at rest</td>
<td>85 ± 10</td>
<td>89 ± 18</td>
<td>0.33</td>
</tr>
<tr>
<td>heart rate at rest*</td>
<td>66 ± 9</td>
<td>78 ± 15</td>
<td>0.0005</td>
</tr>
<tr>
<td>rt CBF velocity</td>
<td>61 ± 14</td>
<td>61 ± 16</td>
<td>0.96</td>
</tr>
<tr>
<td>lt CBF velocity</td>
<td>60 ± 13</td>
<td>60 ± 17</td>
<td>0.97</td>
</tr>
<tr>
<td>end-tidal CO$_2$</td>
<td>33 ± 5</td>
<td>33 ± 6</td>
<td>0.98</td>
</tr>
</tbody>
</table>

* Statistically significant. Probability values are calculated using Student’s t-test.
of this response for any given change in blood pressure. The changes in blood pressure and CBF velocity values in the proximal MCAs immediately before and after the cuff release are used to calculate an ARI that reflects relative changes in blood flow through the MCA caused by the change in distal CVR per second, relative to the change in MABP. The TCD ultrasonography therefore monitors the relative change in blood flow through the inflow vessels (bilateral MCAs) during the autoregulatory response to a transient drop in blood pressure. A hypothetical curve of the CBF velocity values is created based on the MABP immediately prior to the drop and during the 30 seconds thereafter. If there were no autoregulation, the relative changes in CBF velocity would parallel the changes in MABP and the ARI would be zero. The computer calculates nine other possible responses to the given change in MABP based on a stepwise improvement in the autoregulatory response.34 The actual response is examined and the “best fit” with the model (the lowest standard error of the mean of the difference between the model and the actual response) is taken as the ARI. The higher the ARI, the more rapid and efficient is the autoregulatory response.

Statistical Analysis

Results were analyzed using the following statistical methods: chi-square and McNemar’s test for age-matched chi-square values, Fisher’s exact test, Student’s t-test, the Mann–Whitney U-test, and regression analysis. Differences were considered significant at the $p < 0.05$ level. Values are expressed as means ± standard deviation (SD).

Results

The diagnoses of patients based on CT scans are shown in Table 1. Nine of 29 patients had normal intracranial CT scans. The mean GCS score following stabilization of the patients in the emergency room was 14.7. With the exception of heart rate, which was higher in patients, demographic data in both groups were not significantly different, as shown in Table 2.

The MABP at rest was not significantly different between patients and normal volunteers. The magnitude of the blood pressure drop was slightly less in the patients than in the volunteers; however, this should not affect the results significantly because the ARI calculation takes into account the magnitude of the blood pressure drop. The MABP immediately after the release of the blood pressure cuffs was slightly higher in patients than in normal volunteers (Table 3).

There was no significant difference in autoregulation values between the right and left MCAs within either patient ($p = 0.15$) or control groups ($p = 0.12$) by two-tailed paired t-test. Regression analysis also indicated close correlations between the ARI values in the right and left hemispheres in normal volunteers ($y = 0.83 + 0.87x$, $r = 0.88$, $p < 0.0001$) and in patients ($y = -0.15 + 1.08x$, $r = 0.96$, $p < 0.0001$). We therefore assigned one ARI value to each participant.

The mean ARI in the head-injured patients as a group was lower than in controls, but not significantly different by two-tailed t-test or the Mann–Whitney U-test (Table 3). Using a one-tailed t-test, however, the group ARI values observed in the head-injured patients were significantly lower compared to controls ($p = 0.048$). The main reason for the nonsignificant difference between mean ARI values in volunteers and head-injured patients according to the other tests is the fact that the head-injured patient population behaved as two groups, one with normal values and a subgroup with significantly lower ARI values, as shown in Fig. 1. The SD was therefore two times as high in the patients as in normal volunteers, thus making the group comparisons less significant. However, when the volunteers and patients were divided into two groups, those with intact and impaired autoregulation, significantly more head-injured patients had impaired autoregulation than did volunteers (Table 4).

Plotted as a histogram, the ARI values in normal volunteers formed a nearly perfect normal distribution with all but one value within 2 SDs from the mean (4.7 ± 1 [Fig. 1]). None of the volunteers displayed an ARI below 2.5, which equals a static, or steady-state, autoregulation value of 40%.34 This value would imply that if the blood pressure were changed pharmacologically, the CBF would only show a 40% correction toward the resting value. However, patients with minor head injuries constituted two groups: 21 patients with normal autoregulation (ARI ≥ 2.5) and eight with impaired or exhausted autoregulation (ARI < 2.5). Using an ARI of 2.5 as the dividing point, the difference of ARI distribution in normal volunteers and in patients with minor head injuries was highly significant on the chi-square test ($p = 0.002$; $p = 0.008$ using McNemar’s test for age-matched comparisons) (Table 4).
We also examined the two groups of patients (those with intact and those with impaired autoregulation) to detect possible differences in the test conditions or physiological variables and to determine if a difference in these variables could account for the differences in ARI values found (Table 5). Resting MABP in the subgroup of patients with impaired autoregulation was lower than that seen in normal volunteers and also significantly lower than in patients with normal ARI values (p = 0.0003). As Fig. 2 illustrates, a regression analysis in normal volunteers revealed no correlation between MABP and ARI, whereas we observed a significant correlation between MABP and ARI (p = 0.0008) in head-injured patients. The blood pressure drop from the test was similar in subgroups of patients with impaired (17 ± 3 mm Hg) and those patients with normal autoregulation (17 ± 6 mm Hg, p = 0.58) and therefore should not have influenced the results. The MABP during the autoregulation test dropped to 57 mm Hg in patients with impaired autoregulation and to 78 mm Hg in patients with normal autoregulation (p = 0.0001). The main cause of this difference was a lower resting MABP before the test in patients with impaired autoregulation.

This analysis suggests that lower blood pressure contributes to impaired autoregulation in some patients; however, lower MABP does not account for the most severely impaired ARI values (labeled with asterisk in Fig. 2). Because we did not obtain CPP values in these patients with apparently adequate MABP at the time of testing, we cannot be sure that CPP was not low. In one patient with severely impaired autoregulation (ARI = 1) and normal blood pressure (MABP = 76), the ICP was monitored during testing and was not significantly elevated (ICP = 10). In another patient with an ARI of less than 1, the MABP was 78 mm Hg and the ICP was monitored during the 24-hour interval prior to testing and was not elevated (ICP 10–12 mm Hg). This patient was readmitted to the hospital for eye surgery, and we were able to follow changes in the ARI within 2 weeks. Whereas autoregulation was severely impaired on Days 2 and 4 postinjury, it was in the normal range on Day 14. However, MABP was identical on Days 4 and 14 (Fig. 3). These results indicate that in some of these patients the low ARI cannot be entirely accounted for by low CPP.

We also examined the relationship in the patient group between ARI and clinical parameters including admission GCS scores, surgery (Table 6), and 1-month GOS scores. The relationship between the initial GCS score and ARI is shown in Fig. 4. There was no correlation between the two; however, all patients had GCS scores of 14 or 15. Differences in medications between the patients with intact and impaired autoregulation also did not appear to account for the differences in the ARI. The only apparent medication difference in the two groups was the more frequent administration of morphine in patients with impaired ARI (seven of eight) than in those with intact ARI (11 of 21); however, the difference was not statistically significant (p = 0.9 by chi-square test).

Clinical outcome after 1 month assessed by GOS also did not correlate with ARI values assessed within the first 48 hours. As expected, all patients had a favorable outcome (good recovery or moderate disability) (Table 5).

### Discussion

The results of this study indicate that a significant number of patients (eight [28%] of 29) can have severely impaired or absent cerebral autoregulation following minor head injury compared to age-matched controls. This group of patients, who have poorly functioning autoregulation, may be uniquely vulnerable to ischemic brain damage if...
reductions in CPP occur after their head injury, during the time in which their autoregulation is impaired. They may be at increased risk of neurological deterioration from brain ischemia during surgical procedures, when blood pressure fluctuations are known to occur, especially if hypotension is present.

The method used to assess autoregulation in this study was the dynamic autoregulatory response of the relative blood flow changes in both MCAs measured using TCD sonography. This response was elicited by a rapid but moderate drop in blood pressure induced by the release of the large leg cuffs. This method was first described by Aaslid, et al., and its validity has subsequently been confirmed by multiple studies. It has been demonstrated that during short recording periods relative changes in MCA velocity are a valid estimation of relative volume flow changes in response to both rapid and slow changes in MABP. To measure the effectiveness of the autoregulatory response the change in CVR can be estimated in response to a slow change in MABP (the static or steady-state autoregulatory response) or to rapid changes in blood pressure (the dynamic autoregulatory response). We have previously demonstrated that impairment of autoregulation induced by high-dose anesthetic agents causes a similar decrease in the static and dynamic autoregulatory responses in normal volunteers. We assumed therefore that the changes observed in the dynamic autoregulatory response in these head-injured patients also reflect impairment in the static or steady-state autoregulatory response.

Fig. 2. Scatterplots showing regression analysis between resting MABP and ARI. Left: Analysis in normal volunteers indicating no significant relationship between the two variables ($r = -0.05$, $p = 0.78$). Right: Analysis in head-injured patients indicating a significant association between lower blood pressure and impaired autoregulation ($y = -1.8 + 0.06x$, $r = 0.59$, $p < 0.001$). Several patients (asterisks) did not follow the trend and had low ARI values despite a seemingly adequate blood pressure. MHI = minor head injury.

Fig. 3. Left: Computerized tomography scan demonstrating a frontal contusion in a patient with severely impaired autoregulation. Right: Graph depicting the ARI and blood pressure values over time in the same patient. The ARI values remained low on Days 2 and 4 postinjury and returned to the normal range on Day 14 despite minimal changes in blood pressure between the three evaluations. This patient’s ICP was also monitored until just before the initial autoregulation testing on Day 2; the ICP was not elevated (ICP 10–12 mm Hg during a 24-hour interval prior to testing).
There has been no standardization of the definitions of “intact” or “absent” cerebral autoregulation after head injury. Muzелаar and colleagues evaluated autoregulation in head-injured patients by obtaining CBF values and either raising or lowering MABP to a new steady state and repeating the CBF measurement. They defined intact autoregulation as the Δ% CPP/Δ CVR ≤ 2 following the change in MABP, where CVR = CPP/CBF. This would correspond to a 50% response or 50% CBF correction in response to the blood pressure change in our static (steady-state) method or an ARI value approximately equal to 2.8. Our cutoff between intact and impaired autoregulation is therefore slightly lower than these previously reported values.

The precise cause of the impairment of autoregulation in our patients is uncertain; however, a correlation between MABP and autoregulation grade was found, suggesting that low CPP may have contributed to the autoregulatory impairment in some patients. However, there were also patients who experienced reduced autoregulation despite an adequate blood pressure (Fig. 2) and also some with reduced autoregulation who had a documented CPP that was not lower than that normally required for autoregulation (see Results). Another possible cause of impaired autoregulation after head injury is vasospasm. However, these patients were evaluated within 48 hours of injury, usually before the onset of vasospasm, and none displayed elevated MCA velocities.

It is well known that the autoregulatory response becomes less effective at lower CPP levels. The initial work by Lassen demonstrated that as MABP decreased, CBF decreased passively below an MABP of 50 to 60 mm Hg (referred to as the lower limit of autoregulation). Therefore, even patients who have an intact autoregulatory response above this limit will lose autoregulation if the ICP, the MABP, or a combination of the two factors reduces the CPP below the level at which autoregulation functions normally. Miller, et al., demonstrated that increasing ICP by inflation of a microballoon in the intracranial space of dogs abolished the autoregulatory response when the CPP was reduced below 40 mm Hg. However, after one or two balloon inflations, or preceding arterial hypotension, autoregulation was impaired despite a restoration in CPP, indicating that ischemia or other processes damaged the autoregulatory response. Lewell, et al., demonstrated that the autoregulatory response could also be impaired after fluid percussion injury, even though CPP was in the normal range. These results also indicate that a mechanism other than CPP reduction can be responsible for impaired autoregulation after head injury.

One phenomenon that may occur after head injury is that the “autoregulatory curve” could be shifted to the right, which would imply that a higher CPP is needed for the autoregulatory response to function properly. It is of interest to note that in our study there was no difference in the resting MABP values between control individuals and patients. However, although there was no correlation between MABP and ARI in volunteers, there was a strong correlation between MABP and ARI in the head-injured patients. These data indicate that despite the fact that the resting MABP was the same in both groups, autoregulation was worse in the head-injured patients than in the volunteers at the lower blood pressure values. We did not increase the CPP in our patients to determine if this would improve impaired autoregulation after head injury, but studies of the effect of increased CPP and other methods to improve impaired autoregulation in humans after head injury are needed.

One of the important implications of these results is that even patients with minor head injuries may be at increased risk for secondary ischemic neuronal damage during an as yet undefined period following their head injury. Studies using experimental models of head injury have demonstrated that even after minor and moderate injury levels the brain appears to have an increased sensitivity to subsequent ischemic damage. The exact mechanisms are not fully understood, but impaired autoregulation as well as enhanced vulnerability at the tissue level are thought to play a role. The patients with absent or severely impaired autoregulation may be at high risk of ischemic brain damage if hypotension or increases in ICP occur. If, for example, patients lacking autoregulation undergo secondary surgical procedures for their injuries, even moderate hypotension in the perioperative period could cause critical reductions in CBF.

The association between hypotension and poor outcome in head-injured patients has been well documented. Information from the Traumatic Coma Data Bank indicates that lowered CPP resulting from hypotension or increased ICP is associated with a poor outcome following head injury. Pietropaoli, et al., also found that a mechanism other than CPP reduction can be responsible for impaired autoregulation after head injury.

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that intraoperative hypotension occurring in severely head injured patients undergoing surgical procedures within 72 hours of admission to the hospital caused a significant increase in mortality rates and also a significant decrease in GOS scores compared to those seen in head-injured patients who did not become hypotensive during surgery. Moreover, this same study found that intraoperative hypotension occurred frequently (32% incidence) in this group of head-injured patients. Winchell, et al., recently reported that transient hypotension occurring in hospitalized head-injured patients was associated with an increased mortality rate and a decreased rate of discharge home. The effects of hypotension on outcome were most dramatic in those patients with minor and moderate head injuries (GCS > 8). Thus, both clinical and experimental studies indicate that the injured brain is more vulnerable to ischemic insults.

In our patients severe impairment in autoregulation did not appear to be associated with a poor outcome or complications following any surgical procedures. It is likely that more sensitive outcome measures and neuropsychological testing are required to detect many of the subtle deficits that could occur following secondary ischemic damage after minor head injury.29,36

Strategies to prevent secondary ischemic damage in head-injured patients with impaired autoregulation could include several approaches. Because low MABP in our patients was clearly associated with worse autoregulation, it seems logical to avoid hypotension and increase CPP, especially during secondary surgical procedures. However, hypertension may also be detrimental to patients lacking autoregulation.32 Rosner, et al., have recently shown that maintenance of CPP is associated with improved outcome after severe head injury. Despite the fact that the association between hypotension and worse outcome following head injury is well known, intraoperative hypotension remains relatively common in head-injured patients undergoing surgery. Other options for avoiding secondary ischemic damage in these patients include preoperative or intraoperative autoregulation testing to identify those patients with poor autoregulation and monitoring jugular venous O2 saturation in affected patients to optimize CPP.31 Further studies are needed to determine the precise mechanisms responsible for impaired autoregulation after head injury, the time course of the impairment, and to evaluate possible strategies for improvement of the autoregulatory response.

Acknowledgments

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References