Application of a 980-nanometer diode laser in neuroendoscopy: a case series

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OBJECTIVE Ventricular neuroendoscopy represents an important advance in the treatment of hydrocephalus. High-power (surgical) Nd:YAG laser and low-level laser therapy (using 685-nm-wavelength diode laser) have been used in conjunction with neuroendoscopy with favorable results. This study evaluated the use of surgical 980-nm-wavelength diode laser for the neuroendoscopic treatment of ventricular diseases.

METHODS Nine patients underwent a neuroendoscopic procedure with 980-nm diode laser. Complications and follow-up were recorded.

RESULTS Three in-hospital postoperative complications were recorded (1 intraventricular hemorrhage and 2 meningitis cases). The remaining 6 patients had symptom improvement after endoscopic surgery and were discharged from the hospital within 24–48 hours after surgery. Patients were followed for an average of 14 months: 1 patient developed meningitis and another died suddenly at home. The other patients did well and were asymptomatic until the last follow-up consultation.

CONCLUSIONS The 980-nm diode laser is considered an important therapeutic tool for endoscopic neurological surgeries. This study showed its application in different ventricular diseases.


KEY WORDS laser; neuroendoscopy; hydrocephalus; surgical technique

Ventricular neuroendoscopy represents an important advance in the treatment of hydrocephalus. About 30% of patients with hydrocephalus meet the criteria for this technique, which may prevent the need for a ventriculoperitoneal shunt, resulting in reduced costs and low incidence of late complications.4,5,6,7,12,15,17

Nonetheless, because a ventricular endoscope is used through a single bur hole, additional resources to enable cutting, coagulation, and ablation of injuries are extremely relevant to reduce surgical time and hospital length of stay, and increase the safety of the procedure and the patient’s postoperative comfort.8,10,18 Increasing experience in several centers has proven that laser-assisted endoscopic procedures are useful options, because they are safe, effective, and advantageous in cases of distorted anatomy.3,18

We have previously shown favorable results of surgical Nd:YAG and therapeutic laser (diode 685 nm) in neuroendoscopic procedures, such as excision of colloid cysts and myelomeningocele repair.10,11 Furthermore, the use of 980-nm diode laser in brain tissue of Wistar rats has been tested in recent studies and was associated with a remarkable ablating capacity, minimal thermal damage to surrounding tissue, and lower recovery time than other lasers with different wavelengths or electrocoagulators.1

The 980-nm-wavelength diode laser provides proper absorption in both water and hemoglobin, which is an ad-
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vantage compared with Nd:YAG and lower-wavelength diode lasers.5

We propose the use of the 980-nm-wavelength diode laser for the neuroendoscopic treatment of ventricular dis-

eases and describe its use in 9 cases. This technique will

de be described herein.

Methods

A consecutive series of 9 patients with congenital and acquired obstructive hydrocephalus was referred to Hos-
pital das Clínicas, University of São Paulo, Brazil, from January 2012 to March 2013. All patients or their parents signed a consent form. This clinical study was approved by the Ethics Committee of our institution.

All surgeries were performed under general anesthesia. An 18-mm trephination was done and a rigid neuroendo-
scope with a 0° or 30° objective lens (Aesculap, 25-cm working length and 6-mm diameter) was introduced. An optical fiber coupled to a 980-nm diode laser (InGaAs/GaAs) equipment (MediLaser 980 nm; DMC Equipa-

mentos LTDA) was used in all surgeries through the neuroendoscope’s working channel (Fig. 1), with maximum continuous wave (CW) output power of 25 W, operating in CW mode, pulsed (modulated) mode with frequency operation interval ranging from 0.16 to 1.0 kHz and pulse widths varying from 0.5 msec to 6 seconds, as well as single-pulse mode. The laser was used with optical power ranging from 5 to 15 W, except in 1 patient, in whom we used 20 W for the opening of the third ventricle floor. The laser was applied in CW and single-pulse mode operations set up with 1-second pulse width, for opening of the ven-

tricle floor, delivered through biocompatible silica optical fibers with 400- and 600-μm core diameters (DMC Equipa-

mentos LTDA) (Fig. 2) inserted through the neuroen-
doscope working channel. The laser energy was always applied in contact mode to avoid large optical penetration by direct radioactive transfer.

Postoperative complications were registered, and clini-
cal and radiological follow-up was performed.

Results

Patient Population

Nine patients (5 males and 4 females), 14–71 years of age, with obstructive hydrocephalus, were treated with di-
ode laser at Hospital das Clínicas, University of São Paulo, Brazil, between January 2012 and March 2013. Table 1 shows the patients’ age, sex, symptoms and CT and/or MRI findings at admission, operative procedures, postop-
erative morbidity, follow-up period, current clinical status, and CT and/or MRI postoperative images.

Headache was the main complaint in our series (5 pa-
tients), whereas 2 patients presented with gait disturbance and 3 with papilledema, which were the most common findings on physical examination. One patient was asympto-
matic with normal neurological examination. Three pa-

tients had undergone previous surgeries: 2 ventriculoperito-

neal shunts and 1 craniotomy for suprasellar cyst resection.

The most frequent finding on CT and/or MRI, in addition to hydrocephalus, was aqueductal stenosis in 3 patients.

Technical Details

Endoscopic laser surgeries lasted an average of 60 minutes (range 30–120 minutes). In all 9 cases, the pho-

tothermal properties of 980-nm diode laser were used to promote tissue desiccation, shrinkage, and hemostasis. In endoscopic third ventriculostomy (ETV), diode la-
sar made an initial opening in the third ventricular floor (premammillary membrane), which was then enlarged by Fogarty catheter. In Case 1 (neurocysticercosis), tra-

ditional endoscopic-assisted removal of cysticercal cysts was followed by laser application to synechiae and mem-

branes, promoting reestablishment of ventricular anatomy and hydrodynamic patterns, while also treating remaining cysts with thermal action (Fig. 3).

In cases of cystic fenestrations, pineal tumor biopsy, and third ventricular tumor resection (Case 9), the same

FIG. 1. Illustration showing the 980-nm diode laser equipment, 25-W maximum output power (DMC). Figure is available in color online only.

FIG. 2. Biocompatible silica optical fiber with 400-μm core used for endoscopic delivery of the 980-nm diode laser. Figure is available in color online only.
vaporization properties were applied as an option to perform endoscope-assisted desication, resection, and hemostasis.

All patients underwent a postoperative CT and/or MRI within 24 hours (Fig. 4). Results were abnormal in only 2 examinations: one revealed an acute subdural hematoma in a patient who underwent a third ventriculostomy and external ventricular drain posteriorly, and the other showed a small tumor fragment inside the lateral ventricle following a third ventricle tumor resection. These cases had clinical complications, as described below.

### Complications

Three patients had postoperative complications before hospital discharge. A 61-year-old man underwent an ETV. Due to the proximity of the basilar artery to the third ventricle floor, the laser was only used to make a minimal

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**TABLE 1. Age, sex, clinical status, and CT/MRI findings (besides hydrocephalus) at admission and during follow-up in 9 patients with ventricular diseases**

<table>
<thead>
<tr>
<th>Case No.</th>
<th>Age (yrs), Sex</th>
<th>Symptoms/Signs at Admission</th>
<th>CT and/or MRI Findings at Admission</th>
<th>Surgical Procedure</th>
<th>Postop Morbidity</th>
<th>Follow-Up (mos)</th>
<th>Current Clinical Status</th>
<th>CT and/or MRI Control</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>28, M</td>
<td>Headache, seizures, papilledema</td>
<td>Cysticercosis + ventricular synechiae</td>
<td>Synechiae fenestration + removal of Cysticercus</td>
<td>None</td>
<td>13</td>
<td>Asymptomatic, shunt dependence</td>
<td>No lesion, no hydrocephalus</td>
</tr>
<tr>
<td>2</td>
<td>61, M</td>
<td>Gait disturbance</td>
<td>Aqueductal stenosis</td>
<td>ETV</td>
<td>EVD + acute SDH, death 24 hrs later</td>
<td>*</td>
<td>*</td>
<td>*</td>
</tr>
<tr>
<td>3</td>
<td>71, F</td>
<td>Gait disturbance</td>
<td>Aqueductal stenosis</td>
<td>ETV</td>
<td>None</td>
<td>13</td>
<td>Asymptomatic</td>
<td>No hydrocephalus</td>
</tr>
<tr>
<td>4</td>
<td>27, M</td>
<td>Asymptomatic, shunt dependence</td>
<td>Pineal tumor</td>
<td>Pineal tumor biopsy</td>
<td>None</td>
<td>22</td>
<td>Asymptomatic (patient underwent tumor resection during follow-up)</td>
<td>No lesion, no hydrocephalus</td>
</tr>
<tr>
<td>5</td>
<td>19, F</td>
<td>Cognitive impairment, right VI nerve palsy</td>
<td>Aqueductal stenosis</td>
<td>ETV</td>
<td>Meningitis and shunt dependence</td>
<td>19</td>
<td>No neurological deterioration</td>
<td>Shunt dependence + hydrocephalus</td>
</tr>
<tr>
<td>6</td>
<td>19, M</td>
<td>Headache, eyesight blurring, papilledema</td>
<td>Third ventricle colloid cyst</td>
<td>Cyst wall opening</td>
<td>None</td>
<td>16</td>
<td>Asymptomatic</td>
<td>No lesion, no hydrocephalus</td>
</tr>
<tr>
<td>7</td>
<td>20, F</td>
<td>Headache, seizures</td>
<td>Posterior fossa arachnoid cyst</td>
<td>Cyst wall fenestration</td>
<td>None</td>
<td>9</td>
<td>Asymptomatic</td>
<td>Posterior fossa cyst w/ no mass effect</td>
</tr>
<tr>
<td>8</td>
<td>26, F</td>
<td>Headache</td>
<td>Suprasellar cyst</td>
<td>Cyst wall fenestration &amp; ETV</td>
<td>None</td>
<td>†</td>
<td>†</td>
<td>†</td>
</tr>
<tr>
<td>9</td>
<td>14, M</td>
<td>Headache, papilledema</td>
<td>Third ventricular tumor</td>
<td>Tumor partial resection</td>
<td>EVD + meningitis</td>
<td>8</td>
<td>†</td>
<td>†</td>
</tr>
</tbody>
</table>

EVD = external ventricular drain; SDH = subdural hematoma.
* Patient died 1 day after surgery (due to cause unrelated to the laser procedure).
† Patient lost to follow-up.
‡ Patient suffered sudden death 8 months after surgery.
opening in the premammillary membrane, with no visible hemorrhage. Next, a Fogarty catheter was used to enlarge the perforation, which was when bleeding started. This complication required an external ventricular drain, which led to iatrogenic overdrainage. This patient then presented with an acute subdural hematoma and uncal herniation, which was evacuated by craniotomy. He died 1 day later.

A 14-year-old boy was admitted with an external ventricular drain, and he had a third ventricle tumor that needed a ventriculoperitoneal shunt after partial tumor resection with diode laser. He later contracted meningitis, but was treated and discharged from the hospital without a shunt 4 months after the laser surgery.

A 19-year-old woman with previous cognitive impairment and aqueductal stenosis developed postoperative CSF fistula and meningitis, which required an external ventricular drain and intravenous antibiotics. She needed a ventriculoperitoneal shunt afterward and developed a chronic subdural hematoma due to overdrainage. She underwent surgery and was discharged without a shunt and no additional neurological deficits 4 months after the laser procedure.

The remaining 6 patients had symptom improvement after endoscopic surgery and were discharged from the hospital 24–48 hours after surgery.

Follow-Up

Eight patients were discharged from the hospital and 1 was lost to follow-up. The remaining 7 were followed up for an average of 14 months (range, 8–22 months). They attended the clinical consultations and underwent CT and/or MRI scans (Fig. 5). One patient, who underwent Cysticercus removal and synchiae fenestration, had meningitis 1 month after the procedure and became shunt dependent. He remained asymptomatic afterward. Another patient died at home 8 months after the surgery and the cause of death was not identified. The other 6 patients showed good resolution and were asymptomatic at the last follow-up consultation.

Discussion

Rosomoff and Carroll pioneered the use of laser for the treatment of neurosurgical conditions in the 1960s, showing its applicability in glioma resection. Since then, many laser systems have been applied in neurosurgery procedures, such as CO₂, argon, Nd:YAG, and diode lasers, each with remarkably different effects on tissues. Likewise, the use of laser has been extended to almost all fields in neurosurgery, such as functional neurosurgery, peripheral nerves, pediatrics, neuro-oncology, and endoscopic neurosurgery.

The laser-tissue interaction regarding all types of most often used surgical lasers in brain tissues is the photothermal interaction. Briefly, the photothermal interaction relies on the heat release that is followed by local absorption of the laser photons by neurological tissue. Absorption events induce vibrational molecular movements and inelastic collisions, which in turn release energy in the form of heat (thermal energy) to the adjacent region. The corresponding local temperature enhancement acts by promoting coagulation and photothermal ablative effects. Together these actions make the procedure safer.
when compared with the single mechanical effect of non-laser devices, such as Fogarty catheter. Among the main current applications of lasers in neurosurgery are minimally invasive procedures based on laser-assisted endoscopic interventions. Devaux et al. described 40 cases of laser-assisted (Nd:YAG and 805-nm diode laser) ETV and concluded that the technique is safe and efficient for the treatment of obstructive hydrocephalus. Four years later, van Beijnum et al. reported results from a series of 202 patients treated with laser-assisted ETV, confirming its effectiveness, especially in patients 1 year of age and older, as well as in patients with aqueductal stenosis and tumors. These authors reported technically successful procedures in 97% of patients, with a 2-year follow-up success rate of 68% and complication rate of 10.3%, with only 1 (0.5%) major complication. These results are similar to the overall success rates (60%–84% at 2 years) of most of the studies published in this field.

In this series, we proposed, for the first time, the clinical use of 980-nm-wavelength diode laser in endoscopic surgeries based on its physical advantages in comparison with 1064-nm Nd:YAG and 805- to 810-nm diode lasers. In laser-assisted neurosurgery involving soft tissues, their water content appears as the main chromophore due to its large amount (77%–78%) in a composition that includes water, lipids, proteins, carbohydrates, organic substances, and inorganic salts. Thus, the absorption coefficient of water, \( \mu_a(H_2O) \), is one of the main parameters to be considered regarding the election of a surgical laser system. The higher the absorption coefficient, the lower the energy necessary for the procedure, as well as the lower the optical penetration depth in tissue, with milder thermal side effects and less severe coagulation necrosis zones (an important safety aspect). At the 980-nm wavelength, \( \mu_a(H_2O) = 0.45 \text{ cm}^{-1} \), and hence this laser is favorably compared with the 1064-nm Nd:YAG, for which \( \mu_a(H_2O) = 0.12 \text{ cm}^{-1} \), and with the 805- to 810-nm diode laser, for which \( \mu_a(H_2O) = 0.02 \text{ cm}^{-1} \). Although the CO\(_2\) absorption coefficient of water is higher—\( \mu_a(H_2O) = 792.00 \text{ cm}^{-1} \)—it has several technical and cost disadvantages, which prevent it from being broadly used (Table 2).

### Table 2. Comparative absorption coefficient of water, \( \mu_a(H_2O) \), as function of laser wavelength and advantages/disadvantages of laser systems

<table>
<thead>
<tr>
<th>Main Laser Technology Used in Neurosurgery/ Wavelength</th>
<th>( \mu_a(H_2O) ) (cm(^{-1}))*</th>
<th>Advantages</th>
<th>Disadvantages</th>
</tr>
</thead>
<tbody>
<tr>
<td>CO(_2) gas laser/10,600 nm† (10.6 ( \mu \text{m} ))</td>
<td>792.00</td>
<td>Very low penetration depths (corresponding to very high surgical precision for ablation).</td>
<td>a) Poor coagulation effect; b) conventionally articulated arm delivery (optical fiber delivery is required for neuroendoscopy); c) microscope assembly is required; d) requires frequent maintenance regarding gas laser-medium substitution and articulated arm/aiming beam alignments; e) high acquisition and maintenance costs.</td>
</tr>
<tr>
<td>Nd:YAG solid state/1064 nm (1.06 ( \mu \text{m} ))</td>
<td>0.12</td>
<td>a) Coagulation and ablation simultaneously; b) noncontact and contact modes optical energy delivery.</td>
<td>a) Large penetration depths in poorly pigmented tissues (very broad coagulation zones); b) high-priced equipment; c) needs constant changing of the pumping mechanism and laser medium (bulk Nd:YAG crystal).</td>
</tr>
<tr>
<td>Semiconductor diode (AlGaAs)/805–810 nm</td>
<td>0.02</td>
<td>a) Coagulation and ablation effects; b) noncontact and contact modes optical energy delivery; c) convenient cost-effectiveness regarding equipment and fibers; d) long laser-emitter lifetime.</td>
<td>Very large penetration depths in poorly pigmented tissues (very broad coagulation zones).</td>
</tr>
<tr>
<td>Semiconductor diode (InGaAs)/980 nm</td>
<td>0.45</td>
<td>a) Coagulation and ablation effects; b) noncontact and contact modes optical energy delivery; c) lower penetration depths in poorly pigmented tissues than previous diode lasers (805–810 nm); d) convenient cost-effectiveness regarding equipment and fibers; e) long laser-emitter lifetime.</td>
<td>No appreciable disadvantages.</td>
</tr>
</tbody>
</table>

* The \( \mu_a(H_2O) \) is inversely proportional to penetration depths of laser light into tissue.
† A nontoxic optical fiber for CO\(_2\) laser delivery has recently become available.
These theoretical advantages of the 980-nm diode laser have previously been studied in vivo. Gülsoy et al. studied histological specimens of brain from Wistar rats, which had been irradiated with 980-nm diode laser in a stereotaxic neurosurgical procedure. They concluded that, with proper selection of power level and exposure duration, target tissues could be evaporated and/or coagulated. Therefore, they found that the 980-nm diode laser is a promising tool for neurosurgical applications.

Bozkulak et al. assessed the 980-nm diode laser in an in vivo experimental study on the depth of tissue thermal changes and the recovery process of bilaterally induced cerebral lesions by the 980-nm diode laser and a monopolar probe of an electrocoagulator. Both equipment parameters were adjusted to produce the same lesion sizes. The animals were killed after 0, 2, and 7 days for evaluation of the necrotic tissue areas. These authors concluded that the 980-nm diode laser had excellent ablation capacity with minimal thermal side effects on nearby tissues.

Although application of the 980-nm diode laser in the neurosurgical field has been demonstrated in intracranial neoplasms and lumbar discogenic pain,2,8,9 we demonstrate its use with endoscopy for the first time. Our intention is to reduce the invasiveness of intracranial procedures while preventing brain tissue trauma, to decrease the risk of neurological and mental deficits. The intracranial endoscopy proved to be a minimally invasive technique that provides rapid access to the target via a small bur hole without the need for brain retraction. It also allows coagulation and dissection with the use of laser through direct visualization of all treated structures.

We described 980-nm diode laser application in the endoscopic management of intraventricular neurosurgical pathologies in 9 cases, in which it was used mainly as a therapeutic tool. Its potential for cutting and coagulating simultaneously was used to perform a pineal tumor biopsy, which also showed its importance in collecting tissue to provide a diagnosis.

There were 3 perioperative complications in this study. One patient had bleeding after Fogarty catheter use in a previous opening made by diode laser. Because significant bleeding caused by Fogarty catheter is very unusual and laser use could lead to some superficial vessel injury, we cannot rule out the possibility that the laser may have been partly responsible for the bleed. The patient needed an external ventricular drain, which overdrained, and he developed an acute subdural hematoma that was evacuated. Nonetheless, the patient died 1 day after the procedure. The other 2 complications were meningitis, 1 of these cases secondary to a shunting device and the other due to CSF fistula. The patients were treated with intravenous antibiotics and discharged free of symptoms.

One patient did not come to follow-up consultations. We followed the remaining 7 patients for an average of 14 months. One patient died 8 months after surgery and the cause of death was not identified. He did not have any neurological symptoms before he died. The other 6 patients showed good resolution and were asymptomatic during follow-up.

Our group previously studied the role of Nd:YAG laser in the removal of third ventricular colloid cysts using a stereotactic neuroendoscopy-guided procedure in 7 cases. Two patients had postoperative complications: diabetes insipidus and chemical aseptic meningitis. They were treated and discharged from the hospital without sequelae.10 It is difficult to compare these results with our present study because we used a 980-nm diode laser to treat ventricular pathologies other than colloid cysts. However, we did not find any difference relative to their intraoperative use and had good results using both devices.

Our results are encouraging, but are still in development. They propose another option when using neuroendoscopy with high precision and quality. However, we need to be cautious until studies that have larger samples and compare 980-nm diode laser with other techniques are developed to confirm the theoretical advantages of this device. Furthermore, newer diode laser systems based on longer wavelengths (1470 nm and 1910–1940 nm), corresponding to more intense peaks of the water absorption spectrum, should also be experimentally evaluated. These longer-wavelength diode lasers have potential as precise tools concerning minimally invasive neurosurgical applications and a favorable cost-benefit analysis.

Conclusions

The high precision and hemostatic effects of the 980-nm diode laser are highly convenient. In addition to its role in collecting tissue to provide a diagnosis, we consider the 980-nm diode laser to be an important therapeutic tool for endoscopic neurological surgeries. In this report we showed its application in different diseases such as aque ductal stenosis, neurocysticercosis, arachnoid cysts, and intraventricular tumors.

References


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Author Contributions
Conception and design: Teixeira, Mancini, Almeida-Lopes, Pinto. Acquisition of data: Reis, Teixeira, Pinto. Analysis and interpretation of data: Reis, Teixeira, Almeida-Lopes, Pinto. Drafting the article: Reis, Teixeira, Mancini, Almeida-Lopes, Pinto. Critically revising the article: Reis, Mancini, Almeida-Lopes, de Oliveira, Pinto. Reviewed submitted version of manuscript: Reis, Mancini, Almeida-Lopes, de Oliveira, Pinto. Approved the final version of the manuscript on behalf of all authors: Reis. Administrative/technical/material support: Pinto. Study supervision: Reis, Mancini, Almeida-Lopes, Pinto.

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