Lack of efficacy of an intradural somatic-to-autonomic nerve anastomosis (Xiao procedure) for bladder control in children with myelomeningocele and lipomyelomeningocele: results of a prospective, randomized, double-blind study

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OBJECTIVE Xiao et al. and other investigators have studied an intradural somatic-to-autonomic (e.g., L-5 to S3–4) nerve transfer as a method to allow bladder emptying in response to cutaneous stimulation (the Xiao procedure). In previous clinical studies of patients with spinal dysraphism who underwent the Xiao procedure, high success rates (70%–85%) were reported for the establishment of a “skin-CNS-bladder” reflex arc that allows spontaneous, controlled voiding in children with neurogenic bladder dysfunction. However, many of these studies did not use blinded observers, did not have control groups, and/or featured only limited follow-up durations.

METHODS A randomized, prospective, double-blind trial was initiated in March 2009, enrolling children with myelomeningocele (MM), lipomyelomeningocele (LMM), and neurogenic bladder dysfunction who were scheduled for spinal cord detethering (DT) for the usual indications. At the time of DT, patients were randomized between 2 arms of the study: half of the patients underwent a standard spinal cord DT procedure alone (DT group) and half underwent DT as well as the Xiao procedure (DT+X group). Patients, families, and study investigators, all of whom were blinded to the surgical details, analyzed the patients’ strength, sensory function, mobility, voiding, and urodynamic bladder function before surgery and at regular intervals during the 3-year follow-up.

RESULTS Twenty patients were enrolled in the study: 10 underwent only DT and the other 10 underwent DT+X. The addition of the Xiao procedure to spinal cord DT resulted in longer operative times (p = 0.024) and a greater chance of wound infection (p = 0.03). Patients in both treatment arms could intermittently void or dribble small amounts of urine (< 20% total bladder capacity) in response to scratching in dermatomes T-9 through S-2 using a standardized protocol, but the voiding was not reproducible and the volume voided was not clinically useful in any patient. Voiding in response to scratching was not more frequent in patients who underwent DT+X compared with those who underwent only DT. Bladder contractions in response to scratching occurred in both treatment arms at various intervals after surgery, but they were not more reproducible or more frequent in the patients who underwent the Xiao procedure than in the patients who did not. No patient in either treatment arm was continent of urine before, during, or after the study.

CONCLUSIONS Patients with MM and LMM who underwent the Xiao procedure during spinal cord DT were no more likely to be able to void, to control their urination, to achieve continence, or to have a demonstrable urodynamic bladder.
**Xiao procedure in MM and LMM: a randomized, double-blind trial**

**NEUROGENIC** bladder dysfunction related to spinal dysraphism leads to urinary incontinence and an inability to empty the bladder, with a propensity for urinary tract infections and renal dysfunction. Clean intermittent catheterization (CIC), the use of bladder-active medications (BAMs), and close urological follow-up can mitigate many of the potentially life-threatening complications of neurogenic bladder dysfunction, but patients’ quality of life (QOL) is considerably affected by the life-long burden of these interventions, the lack of control of their ability to empty their bladders, and by incontinence and sexual dysfunction.

For more than 100 years, researchers and clinicians have pursued a myriad of surgical remedies to allow reinnervation of the urinary bladder, attempting a variety of nerve repair and transfer techniques in experimental animals and in humans. While there has been great success in the clinical use of nerve transfer techniques to reinnervate peripheral nerves of the extremities, there is no surgical nerve transfer or repair procedure that is widely used in patients with neurogenic bladder dysfunction.

A recent review outlines many of the surgical techniques that have been pursued, including the “skin-CNS-bladder” reflex pathway that was developed by Xiao et al. in a rat model more than 20 years ago. The Xiao procedure aims to establish an “artificial somatic–central nervous system–autonomic reflex” pathway for controlled micturition by modifying spinal cord–mediated reflex arcs, which may be preserved in patients with spina bifida and spinal cord injury. By transferring the ventral (motor) root from a reflex arc of a somatic nerve (typically L-5 or S-1) to the ventral root of a nerve root that normally controls autonomic functions (typically S-2, S-3, or S-4), Xiao et al. reported that patients were able to initiate reproducible bladder contractions and voiding by supplying a sensory stimulus in the distribution of the intact dorsal (sensory) root, at the same level as the somatic donor root (Fig. 1).

Dr. Chuan-Guo Xiao, a practicing urologist in China, has performed this intradural procedure extensively on patients with neurogenic bladder dysfunction related to spinal cord injury and spina bifida. In 2003, Xiao et al. reported that 12 (67%) of 18 adults with spinal cord injury who underwent the Xiao procedure regained “satisfactory bladder control” within 12–18 months of the procedure, associated with a marked reduction in postvoid residual urine and elimination of overflow incontinence and urinary tract infections. A similar study performed by different surgeons in China, using technical variations of the Xiao procedure, reported similarly encouraging results in patients with spinal cord injury.

Buoyed by the success of the Xiao procedure in patients with spinal cord injury, Xiao et al. also applied the technique to children with neurogenic bladder dysfunction related to spina bifida. In 2005, Xiao et al. reported that 17 (85%) of 20 children with spina bifida who underwent the procedure had “satisfactory bladder control and continence within 8 to 12 months.” Xiao et al. described these improvements in bladder function as “remarkable,” noting that all but 1 of the patients who voided voluntarily did not even need to initiate the reflex by scratching themselves in the dermatomal distribution of the donor nerve root to empty their bladders. As of 2012, Xiao reported that he and his group had performed the Xiao procedure on 1890 patients with spina bifida in China. Of the 506 (27%) patients available for follow-up, 435 (86%) were reported to have gained significant improvement in bladder control.

Xiao collaborated with the urology group at Beaumont Hospital for the first North American trial of the Xiao procedure in patients with spina bifida. This trial resulted in encouraging but less uniformly beneficial results. Peters et al. found that 7 (78%) of 9 patients had a reproducible increase in bladder pressure with stimulation of the donor nerve root dermatome 1 year after surgery. By 3 years after undergoing the procedure, 7 (70%) of 10 patients who returned for follow-up were considered to be treatment responders, defined as having a voiding efficiency of > 50%, use of CIC less than once a day, stable renal function, and no worsening of motor function. However, the results published by Peters et al. were not as compelling as those reported by Xiao et al., as all the patients in the Peters et al. study developed transient leg weakness and most patients still leaked urine.

Our multidisciplinary group became aware of the dramatic results claimed for the Xiao procedure approximately 10 years ago as a result of the publication and presentation of the aforementioned studies, and also through our own patients, who had begun requesting that they undergo the procedure based on what they had learned through various media and internet sources. We designed the study we report here to specifically address concerns about the lack of control groups, blinded and unbiased observers, and detailed follow-up, which had been raised in previous publications regarding the Xiao procedure.

We chose to study the Xiao procedure only in patients who were undergoing spinal cord detethering (DT) to minimize the risk to our patients, to allow the performance of a randomized double-blind trial, and to more clearly differentiate the effects of the Xiao procedure from the urological consequences of spinal cord DT. We report our study design, surgical findings, complications, and

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**KEY WORDS** spina bifida; tethered spinal cord; bladder; Xiao procedure; nerve transfer; voiding; spinal dysraphism; congenital

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outcomes related to voiding, continence, and urodynamic bladder contractions in response to scratching. A separate publication will report QOL measures and more detailed urological and urodynamic outcomes.

Methods
Members of our research team (L.F.R. and G.F.T.) traveled to China in 2008 to observe Dr. C. G. Xiao and his team perform the Xiao procedure in children with spinal dysraphism. The nuances of patient selection, surgical technique, and postoperative evaluation and management were discussed in the process of designing a protocol at our institution. Approval to conduct the study was obtained from the All Children’s Hospital Institutional Review Board in early 2009.

Primary Outcome Measures, Patient Inclusion and Exclusion Criteria, and Preoperative Evaluation
The primary outcome measure was the ability of children to void voluntarily and/or in response to cutaneous stimulation. Secondary outcome criteria included muscle strength and sensation, continence, and bladder contractions in response to cutaneous stimulation. Our null hypothesis stated that the addition of the Xiao procedure (X) would not improve these outcome measures in children with myelomeningocele (MM) and lipomyelomeningocele (LMM) undergoing spinal cord DT.

Children younger than 21 years who had neurogenic bladder dysfunction related to MM or LMM, with typical clinical signs and symptoms of tethered cord syndrome, and who required spinal cord DT were eligible for enrollment in the study. Patients and their families were thoroughly educated about the procedure and the study design, with emphasis on the fact that they and their evaluators would be unaware of which procedure they had undergone, DT alone (DT group) or DT plus the Xiao procedure (DT+X group). There were no important changes to the methods of the study after commencement, except for those mentioned in the text below.

All operations and evaluations were performed by study personnel. Strength was assessed by manual muscle testing (MMT) and ambulation was evaluated using the Pediatric Evaluation of Disability Inventory (PEDI). CIC and the use of BAMs were terminated 2 weeks prior to preoperative evaluations, and most patients were able to refrain from both of these modalities for the entire 3-year follow-up. The details of these methodological issues are addressed in the online Appendix and will be further explored in future publications.

Patients were enrolled in the study between March 2009 and March 2012, a period of time predetermined at the inception of the study. We chose this time period for practical reasons and because we estimated that a sufficient number of patients could be enrolled within that time period to observe an effect in some patients. Our a priori sample size calculation determined that a minimum sample size of 13 participants would provide 95% power to detect an increase of 50% in voiding after dermatome scratching (stimulated urination reflex) for the DT+X group compared with the DT group at a 2-sided significance level ($\alpha$) of 0.05. A 3-member independent data safety and monitoring board (DSMB) reviewed patient outcomes semiannually for the first 3 years, then annually for the final 3 years. Our intent was for the DSMB to terminate the study early if there were concerning complications in the experimental group and/or to extend the study period if there were promising results that might require a longer study period.

Description of the Surgical Procedure, Randomization Protocol, and Mechanism for Double Blinding
Each patient underwent a standard lumbosacral spinal cord DT performed by at least 2 neurosurgeons participating in the study (C.M.C., L.F.R., S.J.G., and/or B.B.S.). If lumbosacral roots were not identifiable at the end of the DT, the patients were excluded from the study. If the lumbosacral nerve roots were present, the patients were assigned to 1 of 2 treatment arms: the control group underwent only the DT procedure (DT group) and the experimental group underwent the Xiao procedure in addition...
to a standard DT procedure (DT+X group). Randomization was achieved when an operating room nurse chose a sealed envelope from a collection of 40 envelopes that had been prepared before the study began. Twenty envelopes assigned the patient to the control group (DT) and 20 to the experimental group (DT+X).

The Xiao anastomosis was performed using the same technique described by Xiao et al. and others in previous studies (Fig. 1).23,33,36 Xiao was present in the operating room and supervised the surgery for the first 7 patients enrolled in the study. Under his guidance, we used the same protocols for electromyography (EMG) stimulation, donor and recipient root selection, nerve sectioning, and anastomosis that he had used in China. We also followed his recommended postoperative management instructions, which were later published in a paper in 2012.33

Patients who underwent the Xiao anastomosis were informed which donor root was used so that they could subsequently stimulate in the proper dermatomal distribution. Patients in the DT group, in whom the Xiao anastomosis was not performed, were also assigned a donor root based on a randomly chosen side and root, so that they too could scratch their leg in a dermatomal distribution and therefore maintain the double-blinded principles of the experimental design. Patients, their families, and all the evaluators were blinded to which treatment arm each patient was assigned. Further details concerning the surgical procedure, the randomization protocol, and the mechanisms for double blinding can be found in the Appendix.

Evaluations of Voiding, Urodynamic Studies, and Neurological Compromise

All patients underwent extensive evaluations of voiding in response to cutaneous stimulation, with and without a urodynamic catheter in place, following a strict stimulation protocol that was followed throughout the study. Secondary outcomes of neurological function, mobility, and continence were also evaluated at regular intervals. The methodological details of these evaluations can be found in the Appendix.

Patient and Family Instruction for Cutaneous Stimulation

All patients in the control (DT) and experimental groups (DT+X) were instructed to scratch and rub their skin in their assigned donor root sensory distribution whenever they felt the urge to urinate or when they expected to empty their bladder (see Appendix for additional details). Patients and their caregivers were instructed to continue this scratching regimen from the time they were discharged following their surgery all the way through the 3-year study period.

Timing of Evaluations and Limitations of Reporting

Spinal MR images and neurosurgical data sheets, which delineated the indications for spinal cord DT, were completed before surgery. Patients were examined 2 weeks after discharge following surgery for evaluation of postoperative complications and to have MMT performed to determine postoperative muscle strength.

The remainder of the evaluations, including evaluations of voiding, PEDI questionnaires, and MMT, were performed before surgery and at 3, 6, 9, 12, 18, 24, and 36 months after surgery. Urodynamic studies were performed at the same intervals, with the exception of 3 months after surgery. After careful data review, we have chosen to report the voiding outcome at 12, 24, and 36 months because we found that our results at the other time periods (3, 6, 9, and 18 months) were very similar to those reported in this paper. Muscle strength and sensory function are reported for outcomes at 2 weeks, 6 months, and 12 months.

Discontinued Involvement in the Study

Patients were excluded from follow-up if they required surgery or developed a condition that would affect the assessment of their bladder function (e.g., bladder augmentation). Patients who required subsequent DT of the spinal cord during the 3-year study period were not eliminated from follow-up unless lumbosacral nerve roots were sectioned or a significant injury occurred to the spinal cord during the subsequent DT operation.

Statistical Methods

Statistical analysis was performed using SPSS (version 22, IBM Inc.). Descriptive statistics are presented as counts (percentages) for categorical data and mean (standard deviation) or median (range) for continuous data. Comparisons of baseline characteristics and outcomes between groups were performed using the independent t-test or the Mann-Whitney U-test for continuous variables and Fisher’s exact test or chi-square test for categorical variables. Comparisons of outcomes within each group from baseline until the end of the study follow-up were performed using the paired t-test or the Wilcoxon signed-rank test. All statistical tests were 2-sided, with p < 0.05 considered statistically significant.

Results

Enrollment, Study Duration, and DSMB Termination Decisions

Twenty of 22 eligible patients scheduled for a spinal cord DT procedure by the neurosurgeons were enrolled in the study between 2009 and 2012. Two patients who were scheduled for spinal cord DT during this time period, and who met all the inclusion and exclusion criteria for the study, declined enrollment because they preferred not to participate in a clinical trial of an experimental procedure (Fig. 2).

The DSMB allowed continued enrollment throughout the entire 3-year study period because the complication rate was not substantially higher in the experimental group. Prolongation of the 3-year enrollment period was not believed to be justifiable by the DSMB because there were no substantial improvements in the primary and secondary outcome measures in the experimental group that would justify such an extension.

Baseline Demographics and Patient Characteristics

Patients ranged in age from 1 to 18 years, with a median age of 9 years (Table 1). Thirteen enrollees were boys and 7 were girls. All patients had previously undergone
spinal cord procedures: 16 had had an MM repaired in utero or shortly after birth, and 4 had undergone DT of a spinal cord lipoma early in life (LMM). In addition to their initial surgery, 9 patients had undergone 1 other DT at some point prior to their enrollment in the study, and 1 patient had undergone 2 previous DTs. Eleven patients had a syrinx present on their preoperative MRI (Table 1). The PEDI caregiver assistance scaled score ranged from 0 to 100, with a median of 68. All patients were incontinent of urine, all wore pull-ups or diapers, and none were able to void voluntarily or on command. Further details are available in the Appendix.

Ten participants were randomly assigned to a standard spinal cord DT procedure (DT group), while the other 10 were assigned to the Xiao procedure in addition to a standard spinal cord DT procedure (DT+X group; Fig. 2). There were differences in the baseline characteristics between the groups, but none of the differences were statistically significant (Table 1). Patients were younger (median 6.5 vs 9.5 years), were less likely to have a syrinx (40% vs 70%), and were less likely to have undergone multiple DTs (30% vs 70%) in the DT+X group than patients in the DT group (Table 1). Patients in the DT+X group were also less likely than patients in the DT group to have back pain.
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(30% vs 60%), leg weakness (30% vs 50%), and worsening scoliosis (40% vs 50%) as an indication for spinal cord DT (Table 1).

Surgical Details and Operative Complications

All spinal cords were completely detethered and all patients were found to have anatomically intact lumbosacral nerve roots after DT, allowing randomization of all patients who underwent DT. Donor roots were derived from the left side in 7 patients and from the right side in 3; L-5 was used as the donor root in half the patients, S-1 and L-4 each served as donors in 2 patients, and T-11 was used as a donor in 1 additional patient. T-11 was chosen in Patient 5 because we and Dr. Xiao believed that stimulation at lower nerve roots produced only minimal EMG responses. The extent of donor (ventral) root sectioning was 100% in 3 patients, 90% in 1 patient, 50% in 4 patients, 40% in 1 patient, and 33% in 1 patient (Table 2; see Appendix for additional details related to the surgical procedure).

The median operative time for all patients was 137 minutes, with a range of 56 to 319 minutes. The median total operating time for the DT+X group was significantly longer than for the DT group (191 vs 106 minutes; p = 0.024).

The overall median blood loss was 30 ml (range 10–200 ml), with no difference between the groups: 27.5 ml (range 20–200 ml) in the DT+X group compared with 50 ml (range 10–200 ml) in the DT group. No wound infections occurred in the DT group, but 50% of the patients in the DT+X group had a wound infection that necessitated oral antibiotics, which was a statistically significant difference (p = 0.03). No revision of a lumbar wound was required and no CSF leaks occurred in either group.

Additional Spinal Cord and Bladder Surgery

Three patients (1 in the DT+X group and 2 in the DT group) underwent further spinal cord or bladder surgery during the 3-year follow-up (Fig. 2). In the DT+X group, Patient 5 underwent a bladder augmentation 18 months after her spinal cord surgery due to persistently low bladder volumes, hydronephrosis, and worsening renal function. Because her bladder augmentation affected her urodynamic testing and her responses to questionnaires, she was thereafter excluded from the study. Two patients in the DT group underwent repeat DT procedures during their 3-year follow-up: Patient 14 underwent a repeat DT 23 months later due to worsening tone in the lower extremities and

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**TABLE 1. Baseline characteristics**

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<th>Case No.</th>
<th>Age (yrs), Sex</th>
<th>Type of SD</th>
<th>Level*</th>
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Fxn = function; SD = spinal dysraphism; + = yes; - = no.

* Level = neurological level.
† Median age.
worsening syringomyelia, and Patient 18 underwent repeat DT just prior to her 3-year follow-up because of frequent urinary tract infections and a worsening syrinx on MRI. Both patients in the DT group who underwent additional surgery remained in the study because their bladders were not surgically manipulated during the 3-year follow-up, no spinal cord injury or nerve root transection was noted at the time of surgery, and they did not experience a notable decline in neurological function after the surgery (Fig. 2).

Urodynamic Testing

Voiding in Response to Scratching

No patient in either group was able to void (> 20% total bladder capacity [TBC]) in response to systematic scratching of dermatomes by study personnel prior to surgery. During the 3-year follow-up, 2 patients in the DT+X group and 4 in the DT group were able to void > 20% of their TBC around the urodynamic catheter in response to scratching, at some point during the 3-year follow-up (Table 3).

In the DT+X group, Patient 1 was able to void > 20% of his TBC when scratched on the predicted side, outside the dermatomal distribution of the sacrificed ventral root, at years 1 and 2, but he lost that ability by year 3 (Table 3). Patient 3 of the DT+X group was able to void in response to scratching in the first year when scratched on the same side of the ventral nerve sacrifice, when scratched within and outside the dermatomal distribution of the sacrificed nerve root. However, he lost that ability at years 2 and 3. The remaining 8 patients in the DT+X group were unable to void in response to scratching at any time during the study.

The 4 patients in the DT group who were able to void in response to scratching by study personnel had results that were similarly inconsistent with those observed in the experimental group. Patient 10 in the DT group, who had a consistently overactive bladder, was able to void > 20% of TBC during the entire 3-year follow-up period, in response to scratching at the randomly assigned dermatomal and nondermatomal levels. Patients 7, 13, and 18 in the DT group also intermittently voided in response to scratching at various time intervals, but the results were not consistent. No patient in either group was able to void normally, reproducibly, or consistently during urodynamic testing at any point during the study period.

Bladder Contractions in Response to Scratching

Bladder contractions in response to scratching occurred in patients in both groups, before and after surgery, but there were no statistically significant differences in the frequency of contractions between the groups. Before surgery, 40% of patients in both groups had a > 10 cm H$_2$O increase in detrusor pressure when scratched somewhere between T-9 and S-1. During the 3-year study period, patients in the DT group tended to have more detrusor contractions in response to scratching than those in the DT+X group at every time interval (Fig. 3; Table 3). Detrusor contractions were not more likely to occur at the designated dermatomal level or predicted side of the body in either group at any time interval (Fig. 3; Table 3). No patient in the DT+X group had reproducible, convincing bladder contractions like those described by Xiao et al. and Peters et al. in previous publications.22,23,36

Continence

No patient in either group was continent of urine before surgery or at any time during follow-up. All patients wore diapers or pull-ups before and at all points in the 3-year follow-up.

Voiding Voluntarily

No patient in either group could voluntarily void > 20% of their TBC on their own, before or at any time during the 3-year follow-up, with or without the patient or their caregiver scratching vigorously in the designated dermatomal distribution. In the DT+X group, Patient 3 was able to reliably void < 50% of his TBC if his caregiver poured warm water on his back during his baths, but he could not void if he was scratched in the dermatomal distribution of the ventral nerve root sacrificed for his anastomosis. This reproducible warm-water reflex gradually dissipated after 2 years and it was no longer demonstrable at his 3-year follow-up. Patients in both groups intermittently dribbled urine, but none could initiate a urine stream voluntarily at any point, before surgery or during the 3-year follow-up.
<table>
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<tr>
<th>Case No.</th>
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<th>Bladder Contraction &gt;10 cm H₂O in Response to Scratching (Pdet)</th>
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Derm = dermatomal scratching at the level of the donor nerve root (± 1 level); NA = no data; ND = nondermatomal scratching at levels other than the donor nerve root (all other levels); O = opposite side, i.e., scratching on the opposite side of the body as the donor nerve root; P = predicted side, i.e., scratching on the same side of the body as the donor nerve root; Pdet = detrusor pressure; + = yes; − = no.
Voiding in Response to Scratching and Without Urodynamic Catheter

Voiding in response to systematic and vigorous scratching by study personnel, with the urodynamic catheter removed, was rarely possible in any patient in either study group at any time interval. Voiding in response to scratching with the catheter removed occurred in the same patients who voided with the urodynamic catheter in place (Table 3), but their voiding was even less frequent with the catheter out. No patient in either group voided normally or reproducibly at any time during the study period, on their own or with study personnel scratching, with or without a urodynamic catheter in place.

In the DT+X group, Patient 1 was the only patient who ever voided without a thin urodynamic catheter in place, at any time interval when study personnel scratched him: he voided once at the 2-year time period when he was scratched on the predicted side and in the dermatomal distribution of the nerve root sacrifice. In the DT group, however, 2 patients were able to void without a catheter in place when study personnel scratched them, but the response occurred only at the 2-year time point. Patient 10 of the DT group, who had a consistently overactive bladder, did void > 20% of his TBC with the urodynamic catheter out at 2 years when scratched in and outside the designated dermatomal level, on both sides of his body. Patient 18 in the DT group also voided at 2 years when scratched outside her dermatomal level, but on the predicted side based on the arbitrarily assigned dermatome.

Neurological Complications

One (10%) of 10 patients in the DT+X group and 2 (20%) of 10 in the DT group experienced a decline in muscle strength at their designated donor root level during their evaluation 2 weeks after surgery (Appendix Table 1). In the patient in the DT+X group, weakness at the designated root level persisted through 12 months and was most likely attributable to the partial ventral root sacrifice. In the 2 patients in the DT group, new weakness partially resolved and was believed to be related to the spinal cord DT procedure because the loss in strength occurred bilaterally.

Only 1 patient in the study, Patient 13 of the DT group, experienced a decline in ambulatory ability in the first year after surgery (Appendix Table 2). The other patients in both groups experienced similar improvements in the PEDI functional and caregiver assistance mobility scaled scores at 1 year (Appendix Figs. 1 and 2, Appendix Table 2). When the 2 groups were compared at 1 year, there were no statistically significant differences in the changes in these measurements. Further details concerning neurological function, ambulatory status, and PEDI scores over the 3-year time period can be found in the Appendix.

Discussion

To our knowledge, our study is the first investigation of the Xiao procedure to include a control group, allowing us to more critically analyze the subjective improvements in bladder function and continence that have been the cornerstone of many of the spectacular results reported in previous publications and in medical conferences around the world. By comparing patients in the control (DT) group to those in the experimental (DT+X) group, we attempted to distinguish the effects of DT from those of X ([DT+X] − DT = X). In our study, patients with MM and LMM who underwent the Xiao procedure during spinal cord DT (the DT+X group) were no more likely to be able to control their urination, to achieve continence, or to have a demonstrable urodynamic bladder contraction in response to...
cutaneous stimulation than patients who only underwent spinal cord DT.

We found the double-blind, randomized methodology used in this study to be essential to the critical evaluation of our results, because patients, their caregivers, and the physicians caring for these patients were all highly vested in the belief that the Xiao procedure might provide patients continence and voluntary voiding. Although the determination of urinary continence was fairly clear and was based on the need for diapers or pull-ups to contain urine, other measures of success were more opaque. For example, patients and their caregivers tended to attribute any voluntary voiding to a functional reflex arc when objective observers were able to clearly determine that the patients were actually utilizing the Credé or Valsalva maneuver to leak or dribble urine. Similarly, hopeful but blinded investigators were quick to attribute a urodynamic bladder contraction in response to cutaneous stimulation to the presence of an intact reflex arc, even if the patient was known to have an overactive bladder that was prone to spontaneous uninhibited contractions. To our knowledge, this is the first evaluation of the Xiao procedure in patients with spinal dysraphism to include patients or evaluators who were blinded to whether the Xiao procedure was performed.

Discrepancies Between Current Results and Previously Published Results

Our experiences with the Xiao procedure in patients with MM and LMM were similar to those of other investigators in some ways, but our results were different in many important respects. As expected, the addition of the Xiao procedure to spinal cord DT added approximately 90 minutes of additional operating time and resulted in a higher wound infection rate.24 Similar to previous investigators,22,23,36 we found that a significant number of our patients did not fit these general guidelines, which may explain some differences in outcome. One of our patients was actually utilizing the Credé or Valsalva maneuver to leak or dribble urine. Similarly, hopeful but blinded investigators were quick to attribute a urodynamic bladder contraction in response to cutaneous stimulation to the presence of an intact reflex arc, even if the patient was known to have an overactive bladder that was prone to spontaneous uninhibited contractions. To our knowledge, this is the first evaluation of the Xiao procedure in patients with spinal dysraphism to include patients or evaluators who were blinded to whether the Xiao procedure was performed.

Regardless of whether the patients were undergoing the procedure or not, a significant number of our patients had neurological decline after surgery, but we actually found that more patients in the control group (DT) experienced a decline in muscle strength after surgery than those who also underwent the Xiao procedure (DT+X).33 Like Xiao et al. and Peters et al., we found that most of our patients were able to stay off CIC and BAMs for the entirety of the study, without significant hydronephrosis or renal dysfunction, with the exception of 1 patient in the DT+X group who required bladder augmentation.22,33,36 A more detailed analysis of these urological parameters will be reported elsewhere.

During his visit with us at the inception of our study, Dr. Xiao expressed concern about the randomization of patients and the performance of the procedure on patients who were undergoing spinal cord DT. Dr. Xiao was concerned that our patients were undergoing a more extensive spinal cord procedure than he might perform, which might lessen the chance of improvement after the Xiao procedure. However, based on our observation of Xiao and his colleagues performing surgery in China, we believe that spinal cord DT is necessary for the proper completion of the nerve root electrophysiological testing, anatomical identification, and nerve root transfer required to perform the Xiao procedure properly in the typical patient with MM or LMM and neurogenic bladder dysfunction who would be considered for this procedure in the US. In our limited observations, Xiao’s team performed an extensive exposure of the spinal cord and cauda equina in children with spinal dysraphism, similar to the exposure during the typical spinal cord DT procedure we routinely perform.

Based on Xiao’s 2012 guidelines, it is clear that the typical patient selected for the procedure by Xiao differs from the type of patients with spinal dysraphism we would typically consider for the procedure in our clinical practice in the US.33 Xiao states that most Chinese patients who have undergone the procedure are “mobile and do not have a severe form of spina bifida,” have “at most one surgery of closure after birth,” with “no history of taking anticholinergics.”33 Based on our limited observations in China and our conversations with Xiao, he and his team may tend to perform the procedure on patients with the types of spinal dysraphism that are not typically associated with severe neurogenic bladder dysfunction in our practice. The patients enrolled in North American studies by our team and by Peters et al.23 did not fit these general guidelines, which may explain some differences in outcome. One of our patients in the experimental group had undergone in utero repair of MM, similar to patients in the study of Peters et al., and Xiao has hypothesized that this procedure may result in less favorable results.33 Even with randomization in our study who underwent the Xiao procedure were no more hopeful but blinded investigators were quick to attribute a urodynamic bladder contraction in response to scratching, or to have a urodynamic bladder contraction in response to scratching.22,23,33,36 Xiao et al. found that 17 (85%) of 20 patients with spina bifida gained “satisfactory bladder control and continence” within 8 to 12 months of surgery, with a demonstrable bladder reflex after cutaneous stimulation during urodynamic studies.36 Peters et al. found that 7 (78%) of 9 patients had a reproducible increase in bladder pressure with stimulation at 1 year.23 By 3 years, 7 (70%) of their 10 patients were considered responders and able to void efficiently, while relying on CIC less than once a day.22 Xiao and his team certainly have performed this procedure on the largest number of children worldwide. Based on the nearly 2000 patients with spina bifida who had undergone the procedure by his team as of 2012, Xiao reported a significant improvement in bladder control in approximately 85% of the 506 patients he was able to evaluate during follow-up.33 Even if we ignore the results of our control patients, our results differ dramatically from those previously published.

Limitations of the Study

Our randomized, double-blind, controlled study design proved advantageous in the objective assessment of the compelling benefits that other investigators have reported with the Xiao procedure, but the lack of controlled voiding or an improvement in continence in our patients requires further scrutiny, particularly in the context of previous publications reporting successful results with this procedure.

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study, the patients in our 2 study groups had differences in age, the number of previous operations, and the presenting signs and symptoms that might also explain the lack of efficacy of the Xiao procedure in our study.

Despite our strict adherence to Xiao’s surgical instruction, there may have been some variations in surgical technique as well. We went to great lengths to minimize the technical variations, including the presence of Xiao during our initial operations as well as collaborations with the urology team at Beaumont Hospital in Michigan, where Xiao served as a surgical assistant to Peters et al. during the first North American trial of this procedure. The operations performed as part of our study were all performed by at least 2 attending pediatric neurosurgeons who had extensive experience with spinal cord DT. Despite these collaborations and the presence of Xiao and the clinical neuropathologist (William Nantau) involved with the study by Peters et al.,23 as well as our careful review of Xiao’s previous descriptions of nerve root selection,6 the EMG and intraoperative nerve root selection protocol remained ambiguous to us.

Starting from S-1 and moving cephalad, we chose the lowest ventral (motor) nerve root that produced a strong EMG response in the leg during intraoperative stimulation of the candidate root, based on the instructions given by Xiao and our collaborators.23 We were instructed to use that root, as long as EMG activity was noted in the corresponding muscle group on preoperative testing, regardless of whether muscle strength was present in that nerve root’s muscle innervation distribution before surgery. Although we appreciated the necessity of picking the lowest nerve root possible to minimize the need for nerve grafting for a tension-free anastomosis, we were concerned that we were using a donor root that was not innervating a clinically functional muscle group. As a result, while every donor root we sectioned produced a strong EMG response before sectioning, only half of our patients who underwent the Xiao procedure had intact muscle strength at the donor root level before surgery (Appendix Table 1). Similarly, only 6 of the patients who underwent the Xiao procedure had intact sensory function at the level of the donor root prior to surgery (Appendix Table 1).

Even though the use of clinically nonfunctional nerve roots as the donor for the anastomosis appeared counterintuitive to us during the study, excellent results have been reported by Xiao and colleagues after the procedure in neurologically complete patients with paraplegia, in whom a clinically nonfunctional nerve root was also used as the donor root.17,35 We find the use of donor nerve roots that are clinically nonfunctional in patients with spinal cord malformations particularly troublesome in light of recent reports by Albright et al., who found that functional activity was limited in nerve roots exiting the spinal cord placode.1,2 These inherent physiological inconsistencies have been noted by other authors as well.3,11,26

The lack of efficacy of the Xiao procedure performed in our study might also be explained by the difficulties we encountered in sacral nerve root selection. Anatomical identification of the S-2, S-3, and S-4 roots was not difficult after electrical stimulation and mapping of the potential donor nerves, but we found the selection of the specific sacral nerve root unsettling from an electrophysiological standpoint. Because there was very little detail in previous descriptions of the surgical technique provided in clinical papers by Xiao et al.36 and Peters et al.,23 we once again relied on Dr. Xiao’s intraoperative instructions. Although we tried to measure bladder contractions in response to stimulation with a urodynamic catheter in the bladder at the time of surgery, we were rarely able to demonstrate such a contraction, in part due to the propensity for urine to leak around the catheter when the patient was in the prone position. Without definite contractions with stimulation, we were instructed to use healthy-appearing sacral roots (preferably S-3) with diameters similar to those of the donor roots. We routinely sectioned the entire sacral root selected, both the dorsal and ventral roots, because there was no way to reliably differentiate the ventral and dorsal sacral rootlets without electrical stimulation data. Even though Xiao et al. and Peters et al. have reported better results than we obtained, neither group has provided detailed information concerning nerve root selection in their previous publications, making it impossible to know if the lack of efficacy in our patients could be explained by surgical variation.23,33,36

Similar to patients in the study of Peters et al., 2 of 10 patients in our study population who underwent the Xiao procedure required nerve grafting, a factor that could certainly lessen the chance for successful growth of axons from the donor to the recipient roots.23 Xiao’s publication of his extensive experience in China does not mention the frequency with which grafts were necessary in his patients.3,36

Our study design included systematic, meticulous, and extensive evaluations of bladder contractions occurring in response to cutaneous stimulation during urodynamic studies because other authors have used the presence of this reflex on urodynamic studies as evidence of reinervation. We do not believe the lack of demonstrable bladder contractions in our patients who underwent the Xiao procedure can be explained by technical limitations of our urodynamic techniques. Contractions were observed in both patient groups, more commonly in the control group. Similarly, the lack of voiding noted in our patients is unlikely the result of inadequate investigation. We tested all patients’ voiding multiple times, with the urodynamic catheter in and out, allowing the patients to assume any position they chose to try to initiate voiding. We did not, however, consider a patient to have voided if they pushed on their abdomen or jumped up and down to enable them to empty their bladder. We believe our assessment of voiding and urodynamic contractions was at least as rigorous as other investigators.

Results of the Xiao Procedure Outside of China

Two Chinese groups, Xiao et al. and Lin et al., have published many articles describing excellent results using the Xiao procedure (or minor variations of the technique) in adults with neurogenic bladder dysfunction related to spinal cord injury.16–18,33,35 Clinicians and patients have been greatly encouraged by the 67%–75% rate of bladder control reported by these investigators, combined with vast improvements in bladder capacity, normalized
synchronous voiding, and even continence. Unfortunately, similar clinical results have not been reproduced outside of China to our knowledge.

A multidisciplinary group from Denmark embarked on a prospective trial of the effectiveness of the Xiao procedure in adults with spinal cord injury shortly after we began our study.22 Similar to our study, they also traveled to China to learn the procedure from Dr. Xiao and then designed a study based on the guidelines they had learned there. In their meticulously reported study, none of the 10 patients with spinal cord injuries who underwent the procedure could initiate voiding, and no changes in bladder pressure were observed after cutaneous stimulation during their 18-month follow-up.24 Disappointed by the lack of a clinically relevant effect in their patients, these researchers questioned the clinical usefulness of the procedure for neurogenic bladder dysfunction.24 A child with a spinal cord injury who underwent the procedure by our group also failed to show clinical benefit.27 While conclusions cannot be drawn based on this single case, our patient’s anastomosis was harvested and pathologically examined 2 years after surgery, confirming that very few axons had grown through the anastomotic site.27

Confirmatory studies of the effectiveness of the Xiao procedure in patients with spinal dysraphism have been similarly disappointing. Other than the patients described in this report, the only other studies concerning the effectiveness of this procedure when performed outside China have been published by Peters et al.22,23 Even though Xiao was a close collaborator with Peters et al., participating in their operations and providing close guidance on clinical management, their results were not nearly as encouraging as those reported by Xiao et al.22,23 Xiao considered the 1-year results reported by Peters et al. “premature and misleading,” according to his 2012 publication, citing differences in patient selection and the need for nerve grafting in 4 patients.33 One year before Peters et al. reported their 3-year results, Xiao stated that Peters’ “3-year report showed very satisfactory outcomes that were similar to ours in China.”33 However, close comparison of the results from Xiao et al. with those from Peters et al. reveals a completely different outcome.22,23,33,34 While 17 (85%) of 20 of Xiao’s patients “gained satisfactory bladder control and continence” with the ability to initiate voiding spontaneously, only 1 of 10 of Peters’ patients was dry (of urine) and only 1 patient could void without a Valsalva maneuver to initiate voiding.22,23,33,34 Peters et al. acknowledged that their study did not include a control group and that their results did not replicate those of Xiao, while emphasizing that the definition of success in the US may differ from that in China.22 Specifically, he noted that there may be a less stringent definition of success in China, where cases of neurogenic bladder dysfunction are not so readily managed with CIC, BAMs, and augmentation as they are in the US.22

Xiao has performed this procedure on a much larger number of patients than all the aforementioned studies combined, including ours. While Xiao’s experience is extensive, we find the lack of reproducibility of his results in peer-reviewed publications worthy of consideration.21,14,20,22,24,26,31,32,34,37

Conclusions

This randomized, prospective, double-blind trial of children with MM and LMM undergoing spinal cord DT failed to result in voluntary or stimulation-induced voiding during the 3-year follow-up evaluation in patients who underwent the Xiao procedure. Patients who underwent the Xiao procedure during spinal cord DT did not experience improved continence, control of urination, or an increased frequency of stimulation-induced bladder contractions compared with patients who underwent only DT. This study, in the context of disappointing results reported in other recent studies of the Xiao procedure, raises doubts about the clinical applicability of this procedure. In our opinion, further basic science and animal studies are necessary before future human trials of the Xiao procedure are performed in the US.

Acknowledgments

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References


Disclosures
The authors report no conflict of interest concerning the materials or methods used in this study or the findings specified in this paper.

Author Contributions
Xiao procedure in MM and LMM: a randomized, double-blind trial

Drafting the article: Tuite. Critically revising the article: all authors. Reviewed submitted version of manuscript: all authors. Approved the final version of the manuscript on behalf of all authors: Tuite. Statistical analysis: Tetreault, Martinez, Amankwah. Administrative/technical/material support: Amankwah. Study supervision: Tuite. Interpreted urodynamic studies: Polsky, Homsy. Performed surgery: Carey, Rodriguez, Storrs, Gaskill. Electrophysiological testing: Winesett. Research nurse coordinator: Tetreault.

Supplemental Information

Previous Presentations

Portions of this work were presented at the 83rd Annual Meeting of the AANS on May 5, 2015, in Washington, DC.

Online-Only Content

Supplemental material is available with the online version of the article.


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