Treatments for hydrocephalus can leave children grossly asymptomatic but with persistently larger-than-normal ventricles. This is particularly true following treatment using endoscopic third ventriculostomy (ETV). Some clinicians feel that intentionally maintaining large ventricles in children with hydrocephalus reduces the incidence of certain complications, including shunt obstruction, overdrainage symptoms, and the incidence of chronic headache, although the supporting data are mixed. Additionally, strong evidence obtained using animals indicates that symptomatic ventriculomegaly in untreated hydrocephalus does lead to white matter injury. Regardless, it remains unclear if children who are otherwise asymptomatic with stable, treated hydrocephalus can develop white matter injury or subtle long-term neurocognitive deficits due to large ventricles.

**Object** Larger-than-normal ventricles can persist in children following hydrocephalus treatment, even if they are asymptomatic and clinically well. This study aims to answer the following question: do large ventricles result in brain injuries that are detectable on diffusion tensor imaging (DTI) and/or in measurable neurocognitive deficits in children with stable, treated hydrocephalus that are not seen in children with small ventricles?

**Methods** For this prospective study, we recruited 23 children (age range 8–18 years) with hydrocephalus due to aqueductal stenosis or tectal glioma who were asymptomatic following hydrocephalus treatment that had been performed at least 2 years earlier. All patients underwent detailed DTI and a full battery of neuropsychological tests. Correlation analysis was performed to assess the relationship between DTI parameters, neurocognitive tests, and ventricular size. The false-discovery rate method was used to adjust for multiple comparisons.

**Results** The median age of these 23 children at the time of assessment was 15.0 years (interquartile range [IQR] 12.1–17.6 years), and the median age at the first hydrocephalus treatment was 5.8 years (IQR 2.2 months–12.8 years). At the time of assessment, 17 children had undergone endoscopic third ventriculostomy and 6 children had received a shunt. After adjusting for multiple comparisons, there were no significant correlations between any neurocognitive test and ventricular volume, any DTI parameter and ventricular volume, or any DTI parameter and neurocognitive test.

**Conclusions** Our data do not show an association between large ventricular size and additional white matter injury or worse neurocognitive deficits in asymptomatic children with stable, treated hydrocephalus caused by a discrete blockage of the cerebral aqueduct. Further investigations using larger patient samples are needed to validate these results.

**Key Words** hydrocephalus; ventricle; magnetic resonance imaging; diffusion tensor imaging; neuropsychology
Our study aims to answer 2 specific questions about children with asymptomatic, longstanding, stable, treated hydrocephalus: 1) Does the presumed stretching and compression of the surrounding white matter tracts, which are caused by large ventricles, result in brain injury detectable on diffusion tensor imaging (DTI) that is not seen in children with small ventricles? 2) Does this brain injury result in measurable neurocognitive deficits that are not seen in children with small ventricles? As our study population, we enrolled a sample of children whose hydrocephalus was the result of discrete and isolated stenosis or compression of the cerebral aqueduct and who had also been clinically stable and asymptomatic for several years following hydrocephalus treatment using either ETV or shunting.

Methods

Patient Identification

Potential participants were identified by searching a prospectively maintained database of all children who received surgical treatment for hydrocephalus at The Hospital for Sick Children, Toronto, Canada. We included patients who met the following criteria. 1) Participants were aged 8–18 years, because the most dramatic age-related DTI changes develop through about 6 years of age, though some changes can occur later. 2) Participants’ hydrocephalus was due to stenosis or compression of the cerebral aqueduct (i.e., congenital aqueductal stenosis or tectal glioma). Many etiologies of childhood hydrocephalus are associated with brain damage unrelated to the hydrocephalus itself (e.g., brain tumors, meningitis, and congenital brain malformations). Aqueductal stenosis and tectal glioma represent the “purest” forms of hydrocephalus, in that there are likely no other significant brain anomalies or mechanisms of injury other than hydrocephalus itself. 3) Surgical treatment for hydrocephalus (using either a CSF shunt or ETV) was performed at least 2 years prior to study enrollment, with no hydrocephalus revision surgeries within 24 months of study enrollment, as large ventricles due to hydrocephalus can sometimes take many months to settle down to a stable baseline. 4) Participants had no history of brain operations other than for hydrocephalus treatment or for biopsy of an obstructing lesion. 5) nor a history of chemotherapy or cranial radiation therapy. 6) The patient should be able to undergo MRI without sedation and has no other contraindication to MRI. 7) A parent or primary caregiver is able and willing to provide consent and able to read and write in English.

Data Collection

We collected patient data after consent was obtained, including patient age and surgical history of hydrocephalus treatment. Imaging and neuropsychological tests were performed as described below.

Imaging Protocol

Measurements were performed using a GE LX 1.5-T MRI scanner (General Electric Healthcare) and a single-channel quadrature head coil. Three-dimensional T1-weighted SPGR (spoiled gradient–recalled acquisition) sequencing (TR/TE = 8.6/4.2 msec, 122 contiguous axial slices, 1.5-mm-thick slices, 256 × 192 matrix) and proton density/T2-weighted interleaved sequencing (TR/TEPD/TET2 = 2800/30/90 msec, 54 axial slices with 2.5-mm spacing, 5-mm-thick slices, 256 × 192 matrix) were included as part of the region of interest (ROI) analyses and to facilitate automatic registration, respectively. DTI was performed using single-shot spin-echo DTI sequencing with an echo planar imaging readout (25 directions, TR/TE = 10,000/0–79 msec, 32 contiguous axial slices, 3-mm-thick slices, 128 × 128 matrix, b = 1000 sec/mm², 1 b = 0 image). Eddy current correction was conducted on the diffusion-weighted raw data.

DTI Assessment of White Matter

Images were visually inspected, and slices containing artifacts were removed from tensor calculations. Mean diffusivity (MD) and fractional anisotropy (FA) maps were calculated pixel by pixel by acquiring traces and eigenvalues from the matrices of the diffusion gradients. The regions on each patient’s T1-weighted image were classified as gray matter, white matter, and CSF using a priori pediatric probability mapping and an automated tissue segmentation algorithm (FSL-FAST). T1-weighted scans were transformed into DTI space using linear and nonlinear algorithms in order to perform ROI analyses using a predefined anatomical template. The template, which had been modified by Mabbott et al., included the bilateral frontal, temporal, parietal, and occipital lobes and was applied to each patient’s white matter segmentation using affine registration and transformed into DTI space (Fig. 1). In addition to the hemispheric lobar data, the genu and splenium of the corpus callosum were manually traced using Analyze 10.0 (Biomedical Imaging Resource, Mayo Clinic). Each patient’s DTI indices (MD and FA) were calculated for the lobar white matter and genu and splenium of the corpus callosum.

Ventricular Assessment

Ventricular and brain volume were measured using Analyze 10.0. Multistep volume assessment was performed using the tools included with Analyze, including multispectral tissue segmentation, interactive image editing, and counting the pixels with the ROI. The CSF, gray matter, and white matter regions were defined and plotted into a 2D feature space in which the pixel signal intensity on the T2-weighted sequence is represented on the x-axis, and the pixel signal intensity on the proton density image is represented on the y-axis. The k-nearest neighbor multispectral algorithm was then applied to the pixels of the entire section.

The classified images were edited using the manual trace tool in order to remove pixels representing the calvaria and extracranial soft tissues. The inner table of the skull was used as a landmark for the separation of the intracranial and extracranial compartments. All pixels assigned to each segmented category (gray matter, white matter, and CSF) were then summed throughout all of the classified edited images of the foramen magnum to the vertex. In the second editing step, the subregions that included the lateral ventricles, the lateral horns of the lateral ventricles, and the third and fourth ventricles were manu-
ally traced. The pixels assigned to CSF in these subregions were also summed. The total ventricular volume was obtained by summing the lateral, third, and fourth ventricles. The total brain volume was obtained by summing the gray and white matter pixels and multiplying by the voxel dimension. The total intracranial volume was determined by summing the total brain and total CSF volume. The ventricular volume index was calculated by dividing the total ventricular volume by the total intracranial volume.

We also measured ventricular size using the frontal and occipital horn ratio (FOHR), which is a previously validated linear measure.26,32 We used FOHR to describe the range of ventricular sizes seen in our sample because FOHR is a more commonly understood measure than the ventricular volume index.

Neurocognitive Testing

Our neuropsychological testing battery was previously described and is repeated here.24 The neuropsychological tests were administered by a trained psychological assistant and supervised by an experienced pediatric neuropsychologist. The testing battery included the core tasks of a standard neuropsychological evaluation and an intensive and comprehensive assessment of cognitive functioning. Testing lasted approximately 5 hours and included tests for intelligence (intelligence quotient [IQ]), language, academic performance, memory, visual-motor and visual-spatial skills, and visual information-processing speed.

We selected tests from the clinical testing battery available at our institution that are administered to children with hydrocephalus who have been referred to the department of psychology from neurosurgery. If any of the tests could not be appropriately administered to the entire age range included in this study (8–18 years), then we used upward or downward extensions of the tests, which had similar formats and comparable outcome measures.

Most of the included tests have more than 1 outcome score. We selected specific a priori scores that we thought best reflected the child’s performance in a particular area of interest. Table 1 lists the abbreviated names, the full names of the administered tests, and the particular scores that were used.

For the first 18 tests listed in Table 1, higher scores indicate stronger performance by the child. The last 3 scores listed were obtained from 2 different parent questionnaires, and higher scores indicate more problems in the queried areas. One score is from a behavioral questionnaire,1 which asks the parents about their child’s difficulties in areas such as anxiety, depression, socializing, attention, and aggression. The other 2 scores are from an executive function questionnaire,21 which asks the parents about their child’s everyday functioning in areas such as controlling their behaviors (behavioral regulation score) and planning, organizing, self-monitoring, and paying attention (metacognitive abilities score).

Analysis

Descriptive statistics were calculated as the mean (SD)
TABLE 1. Neuropsychological test results

<table>
<thead>
<tr>
<th>Domain</th>
<th>Test</th>
<th>Description*</th>
<th>Mean†</th>
<th>SD‡</th>
<th>Correlation w/ Ventricular Vol Index</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intellectual abilities</td>
<td>Verbal IQ Index</td>
<td>For ages 8–15.11 yrs, WISC-IV was used to determine the Verbal Comprehension Index Score. For ages 16+ yrs, WAIS-III was used to determine the Verbal Comprehension Index Score.</td>
<td>101 (100)</td>
<td>14 (15)</td>
<td>−0.01</td>
</tr>
<tr>
<td></td>
<td>Nonverbal IQ Index</td>
<td>For ages 8–15.11 yrs, WISC-IV was used to determine the Perceptual Reasoning Index Score. For ages 16+ yrs, WAIS-III was used to determine the Perceptual Organization Index Score.</td>
<td>101 (100)</td>
<td>16 (15)</td>
<td>0.11</td>
</tr>
<tr>
<td></td>
<td>Processing Speed IQ Index</td>
<td>For ages 8–15.11 yrs, WISC-IV was used to determine the Processing Speed Index Score. For ages 16+ yrs, WAIS-III was used to determine the Processing Speed Index Score.</td>
<td>93 (100)</td>
<td>19 (15)</td>
<td>0.03</td>
</tr>
<tr>
<td>Language</td>
<td>PPVT</td>
<td>PPVT–III. Receptive language vocabulary test.</td>
<td>103 (100)</td>
<td>12 (15)</td>
<td>0.05</td>
</tr>
<tr>
<td></td>
<td>EOWVT</td>
<td>EOWPVT–2000 Edition. Expressive language vocabulary test.</td>
<td>102 (100)</td>
<td>12 (15)</td>
<td>0.21</td>
</tr>
<tr>
<td></td>
<td>Ambiguous Sentences</td>
<td>STLC–Expanded Edition. Higher-order language test.</td>
<td>10 (10)</td>
<td>3 (3)</td>
<td>0.28</td>
</tr>
<tr>
<td></td>
<td>Oral Comprehension</td>
<td>Subtest included in WJ-III. Tests for achievement &amp; understanding orally presented sentences.</td>
<td>102 (100)</td>
<td>9 (15)</td>
<td>0.27</td>
</tr>
<tr>
<td>Academics</td>
<td>Letter-Word Identification</td>
<td>Subtest included in WJ-III. Test for reading individual words.</td>
<td>101 (100)</td>
<td>10 (15)</td>
<td>0.06</td>
</tr>
<tr>
<td></td>
<td>Calculation</td>
<td>Subtest included in WJ-III. Test for performing mathematical calculations.</td>
<td>90 (100)</td>
<td>18 (15)</td>
<td>0.15</td>
</tr>
<tr>
<td></td>
<td>Passage Comprehension</td>
<td>Subtest included in WJ-III. Test for understanding written sentences.</td>
<td>93 (100)</td>
<td>15 (15)</td>
<td>0.17</td>
</tr>
<tr>
<td>Memory</td>
<td>Stories Delayed</td>
<td>For ages 5–15.11 yrs, CMS, stories subtest, &amp; 30-min delayed test were administered. For ages 16+ yrs, WMS–III, stories subtest, 30-min delayed test were administered. Memory tests for orally presented stories.</td>
<td>11 (10)</td>
<td>3 (3)</td>
<td>0.17</td>
</tr>
<tr>
<td></td>
<td>Faces Delayed</td>
<td>For ages 5–15.11 yrs, CMS, faces subtest, &amp; 30-min delayed test were administered. For ages 16+ yrs, WMS–III, faces subtest, &amp; 30-min delayed test were administered. Memory test for pictures of faces.</td>
<td>11 (10)</td>
<td>3 (3)</td>
<td>−0.13</td>
</tr>
<tr>
<td></td>
<td>Design Memory</td>
<td>Subtests included in WRAML-2. Tests memory for designs presented 10 sec prior.</td>
<td>8 (10)</td>
<td>3 (3)</td>
<td>−0.11</td>
</tr>
<tr>
<td></td>
<td>Sentence Memory</td>
<td>Subtest included in WRAML-2. Tests immediate memory for orally presented sentences.</td>
<td>10 (10)</td>
<td>2 (3)</td>
<td>0.07</td>
</tr>
<tr>
<td></td>
<td>List Learning</td>
<td>For ages 5–15.11 yrs, CVLT-C were administered. For ages 16+ yrs, CVLT-II was administered. Tests memory for listed words recalled over all 5 oral presentations of the list.</td>
<td>49 (50)</td>
<td>14 (10)</td>
<td>0.33</td>
</tr>
<tr>
<td></td>
<td>List Memory Delayed</td>
<td>For ages 5–15.11 yrs, CVLT-C was administered. For ages 16+ yrs, CVLT-2 was administered. Memory for list words free-recalled 20 min after last recall of the list.</td>
<td>0 (0)</td>
<td>2 (1)</td>
<td>0.24</td>
</tr>
<tr>
<td>Visual-motor</td>
<td>Beery VMI</td>
<td>BBDTVMI-V. Tests copying geometric shapes &amp; visual motor skills.</td>
<td>91 (100)</td>
<td>22 (15)</td>
<td>0.35</td>
</tr>
<tr>
<td>Visual-spatial</td>
<td>Spatial Relations</td>
<td>Subtest included in the WJ-III for cognitive abilities. Tests the ability to mentally manipulate shapes, but no visual-motor component is included.</td>
<td>104 (100)</td>
<td>13 (15)</td>
<td>0.20</td>
</tr>
<tr>
<td>Behavior/Executive Function</td>
<td>Behavior Problems</td>
<td>CBCL1 Behavioral questionnaire filled out by the parent. Total problems score.</td>
<td>50 (50)</td>
<td>11 (10)</td>
<td>0.21</td>
</tr>
<tr>
<td></td>
<td>Behavior Regulation</td>
<td>BRIEF. Behavioral questionnaire filled out by the parent. Overall rating of child’s ability to inhibit or shift behavior &amp; control emotions.</td>
<td>50 (50)</td>
<td>13 (10)</td>
<td>0.18</td>
</tr>
<tr>
<td></td>
<td>Metacognitive Abilities</td>
<td>BRIEF. Behavioral questionnaire filled out by the parent. Overall rating of child’s ability to initiate, plan, organize, self-monitor, &amp; pay attention.</td>
<td>52 (50)</td>
<td>14 (10)</td>
<td>0.08</td>
</tr>
</tbody>
</table>

(continued)
Forty-one potentially eligible patients were found after initially screening our database and clinical records, of whom 32 patients could be reached to discuss participation in this study. Of these, 8 patients refused and 1 patient agreed to participate, but her data could not be used due to significant imaging artifacts. The remaining 23 children (56%) formed the population for our analysis.

There was a large range of ventricular sizes in our study population (Fig. 2). Mean and median ventricular size, as measured using FOHR, were 0.45 (SD 0.09) and 0.44 (IQR 0.39–0.53), respectively. For reference, the FOHR of normal-sized ventricles is approximately 0.37. The mean brain volume was 1539 cm³ (SD 325). Mean ventricular CSF volume was 163.6 ml (SD 163.2), and the mean ventricular volume index was 0.092 (SD 0.082). The FOHR measurement correlated highly with both ventricular CSF volume and the ventricular volume index (both Pearson correlation coefficients > 0.92, p < 0.0001).

The DTI parameters for the different brain regions are shown in Table 2.

The results of the neurocognitive tests are shown in Table 1. There was no significant correlation between any of the neurocognitive tests and the ventricular volume index (all Pearson correlation coefficients < 0.35, all p-values > 0.12, and all q-values > 0.50). An example is shown...
in Fig. 3. Similarly, there was no significant correlation between any of the neurocognitive tests and brain volume (all q-values > 0.20).

After assessing the correlations between ventricular volume index and DTI parameters, and between the DTI parameters and the neurocognitive tests, some comparisons did demonstrate statistically significant correlations on initial testing (p < 0.05). However, no comparisons remained significant after adjusting for multiple comparisons using the FDR method (all q-values > 0.55).

**Comparison of ETV and Shunts**

We performed post hoc analyses to assess differences in ventricular volume and the neurocognitive test results between patients who had undergone ETV (n = 17) and patients with shunts (n = 6). Although an association between higher nonverbal IQ (105 vs 89) and larger ventricular volume index (0.11 vs 0.05) was suggested among ETV patients, this association was not significant after adjusting for multiple comparisons.

**Discussion**

Among asymptomatic children with stable, treated hydrocephalus caused by a discrete blockage of the cerebral aqueduct, our data suggest that there is no convincing evidence that large ventricular size causes additional white matter injury or worse neurocognitive deficits. Post hoc analysis also revealed no obvious differences in white matter integrity on DTI or neurocognitive outcomes. However, no comparisons remained significant after adjusting for multiple comparisons.

Studies conducted in the 1990s, which were primarily performed by Fletcher et al., identified associations between brain morphometry and functioning in children with treated hydrocephalus in comparison with controls. For example, deficits in verbal, cognitive, and, especially, nonverbal functioning are correlated with the size of the corpus callosum; nonverbal measures are correlated with size of the right lateral ventricles; verbal measures are correlated with the size of the left lateral ventricle; and enlargement of the posterior portion of the ventricles is correlated with deficits in language, visuospatial, and visuomotor skills.

More recently, DTI has become the most advanced and sensitive method for assessing white matter injury in vivo. In children treated with cranial radiation, or those who were born prematurely, studies have shown associations between abnormal DTI parameters and several aspects of neurocognitive functioning. Regarding pediatric hydrocephalus, most DTI studies to date examined children with new-onset symptomatic hydrocephalus or children who were only recently treated. Ulug et al. found increased apparent diffusion coefficient (ADC) in the periventricular white matter, which implies subtle edema that would not be apparent on other imaging sequences. ADC generally improves after shunt surgery, but this is correlated with the extent of reduction in ventricular size; i.e., greater reduction in ventricular size is associated with greater ADC reduction. In fact, in a single patient, Ulug et al. found increased FA in the white matter tracts lateral to the ventricles in patients with acute hydrocephalus, which was postulated to occur due to the tighter packing of the compressed tracts. In the corpus callosum, FA decreased and ADC increased—which is quite typical of white matter injury. After shunt insertion, the FA in the lateral white matter decreased to normal levels, but the changes in the corpus callosum did not improve. This suggests that the corpus callosum is more susceptible to injury and degeneration than the lateral white matter. In a recent study on 15 children with new, untreated hydrocephalus and 17 controls, Yuan et al. reported lower FA and higher MD in

![Image](image_url)
hydrocephalus patients. In a subsample of 8 infants, they also identified a degree of correlation between MD in the posterior limb of the internal capsule and the Motor Scale of the Adaptive Behavior Assessment System, Second Edition (a parent-reported questionnaire).

Cauley et al., in a study on adult hydrocephalus, found that ventricular size is correlated with radial diffusivity and FA in the corona radiata in acute hydrocephalus patients, but not in healthy individuals or patients who received treatment for chronic hydrocephalus. The axial diffusivity of the corona radiata was correlated with FOHR in all 3 subgroups over a range of ventricular sizes. Of note, this study did not correct for the possibility of multiple testing false positives.

In one of the few available long-term follow-up studies performed on children, Buckley et al. described a 25-month-old baby with acute hydrocephalus who received ETV and assessments using DTI and preoperative neurocognitive tests through 14-months’ postoperation. Buckley et al. reported significant improvements in most postoperative DTI parameters, including very good neurocognitive function despite persistent ventriculomegaly. They suggested that DTI parameters and white matter integrity may be more predictive of neurodevelopmental outcomes than ventricular size. Our study largely supports these findings.

Although our findings need to be validated in future studies, the most important implication is that normalizing ventricular size does not need to be the goal of hydrocephalus treatment. Specifically, in children who meet the accepted clinical criteria for adequately treated hydrocephalus, large ventricles appear to be well tolerated and do not cause sustained white matter injury or neurocognitive deficits. This is especially important given the increasing use of ETV, which generally results in some degree of long-term ventriculomegaly.

Limitations

Our sample size, although relatively large for this type of study, is still small. Because only 23 patients were enrolled, we could only achieve approximately 95% power to detect a correlation of 0.8, and only 50% power to detect a correlation of 0.6. This assumes an unadjusted alpha value of 0.0007, which approximates an FDR q-value of 0.05. While our sample of children exhibited a wide range of ventricular sizes, it was generally a very well-tolerated and do not cause sustained white matter injury or neurocognitive deficits. This is especially important given the increasing use of ETV, which generally results in some degree of long-term ventriculomegaly.

Conclusions

Our data do not show an association between large ventricular size, additional white matter injury, and worse neurocognitive deficits in asymptomatic children with stable, treated hydrocephalus caused by a discrete blockage in the cerebral aqueduct. Because of limitations in our study size and the limited range of cognitive functions in our sample, further investigations using a larger sample of patients are needed to validate these results.

References

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Author Contributions

Conception and design: Kulkarni, Donnelly, Mabbott. Acquisition of data: all authors. Analysis and interpretation of data: Kulkarni, Mabbott, Widjaja. Drafting the article: Kulkarni. Critically revising the article: all authors. Approved the final version of the manuscript on behalf of all authors: Kulkarni. Statistical analysis: Kulkarni. Study supervision: Kulkarni.

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