Early outcome of combined endoscopic third ventriculostomy and choroid plexus cauterization in childhood hydrocephalus

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OBJECT Although shunts have been the mainstay in treating hydrocephalus over the past 5 decades, the use of endoscopic techniques in addressing this disorder in children offers both the neurosurgeon and the patient a unique opportunity to avoid shunting and its attendant complications. The combination of endoscopic third ventriculostomy (ETV) with choroid plexus cauterization (CPC) remains uncommon in most centers despite its potential promise. The authors sought to investigate the efficacy of combining ETV and CPC (ETV+CPC) in treating childhood hydrocephalus in Nigeria. Infection and spina bifida contribute a high percentage of the cases of hydrocephalus in Nigeria.

METHODS Over a 2-year period, all children 0–18 years of age who had endoscopic treatment for hydrocephalus were prospectively evaluated to determine the need for subsequent treatment. Children who had the combination of ETV+CPC were identified as a subcategory and form the basis of this retrospective study.

RESULTS Twenty-two of 38 endoscopically treated children had undergone the combination of ETV+CPC for hydrocephalus of varied etiology. There was a male preponderance (2.5:1), and 90% of the patients were infants. The overall success rate was 75%, with the best outcome in children with spina bifida. One child required a repeat ETV.

CONCLUSIONS The combination of ETV+CPC is useful in treating children with hydrocephalus of varied etiology. The complication profile is acceptable, and the overall success rate is comparable to that associated with shunt insertion.

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KEY WORDS endoscopic third ventriculostomy; choroid plexus cauterization; childhood hydrocephalus; outcome; developing countries
increasingly recognized as a viable treatment for hydrocephalus in children.\textsuperscript{21,26,27} It promises a simpler complication profile and lower risk of infection. Traditionally, ETV was reserved for children older than 2 years; more recently, however, its use in infants, especially when combined with choroid plexus cauterization (CPC), results in successful outcomes in many cases previously thought unsuitable for treatment by endoscopic means.\textsuperscript{23,26,27} At present, the scope of patients who can be successfully treated with endoscopic techniques is increasing.\textsuperscript{3,9,12,14}

In light of this, we present our experience using the combination of ETV and CPC (ETV+CPC) in the treatment of children with hydrocephalus of varied etiologies. It has been suggested that combining these techniques may lead to better results in treating these children, who form a major part of our clinical practice.\textsuperscript{23}

### Methods

All children 0–18 years of age diagnosed with hydrocephalus and treated primarily with a combination of ETV+CPC over a 2-year period were prospectively recruited into a database and followed up. Consent was obtained from the parents or legal guardians of the patients, and the study protocol was approved by our hospital’s ethics review committee. The decision to treat with ETV only or combined ETV+CPC was made using an algorithm described by Dr. Warf at the CURE Children’s Hospital of Uganda. Using this algorithm, we categorized patients into postinfactional hydrocephalus (PIH), non-postinfactional hydrocephalus (NPIH), and myelomeningocele (MM) groups, according to a presumed or proven etiology, and treated them as outlined in Fig. 1.

All treated patients had symptomatic, progressive hydrocephalus and underwent imaging via transfontanelle ultrasonography or CT scanning. We do not routinely use MRI for patients with hydrocephalus given the prohibitive cost in our environment.

Only patients with at least 6 months of follow-up were included in this retrospective study. The procedure for the ETV+CPC was performed through a right frontal bur hole trajectory centered on the lateral angle of the anterior fontanelle, which was usually patent in most of these children. In older children, in whom the fontanelle was closed, the bur hole was placed just anterior to the coronal suture 2–3 cm lateral to the midline as described by other authors.\textsuperscript{2}

A 2.8-mm flexible neuroendoscope (Karl Storz) was used for the procedure in all the patients. The use of a flexible endoscope made it possible to perform a complete bilateral CPC using just one bur hole. If the septum pellucidum is found intact, then a septostomy is performed to access the choroid plexus in the left lateral ventricle. We use a Bugbee monopolar electrocauterity wire to fenestrate the floor of the third ventricle, as we do not have any Fogarty balloons. The Bugbee wire is typically used as a blunt dissection tool, although some cautery of the floor of the third ventricle is sometimes required when the floor is thickened. The Bugbee wire is also used to coagulate the choroid plexus. Further details on the surgical technique for performing CPC have been reported elsewhere.\textsuperscript{21} In using the flexible scope, our aim in the CPC is to cauterize all of the choroid plexus in both lateral ventricles including the temporal horn. The goal when performing an ETV is to expose the “naked” basilar artery and its branches posterior to the vertical leaf of Liliequist’s membrane.\textsuperscript{21}

Success of the ETV+CPC procedure was determined after at least 6 months of follow-up. Endoscopic third ventriculostomy failure was defined as the need for any subsequent surgical procedure for CSF diversion or any mortality related to raised intracranial pressure or occurring in the postoperative period.\textsuperscript{26}

### Results

Fifty-three endoscopic procedures were performed over a 2-year period from July 2007 to June 2009 in children 0–18 years of age. Fifteen cases were abandoned (that is, only ventriculoscopy was done) for various reasons ranging from distorted anatomy to poor visibility. At that time, patients in these 15 cases proceeded to undergo placement of ventriculoperitoneal shunts or a reservoir device, as appropriate. Thirty-eight patients (72%) underwent successful endoscopic surgery as the primary treatment for hydrocephalus. Of these, 22 patients underwent a combination of ETV+CPC. These 22 patients are the focus of this article.

There was a male preponderance among those treated using ETV+CPC, with a male/female ratio of 2.5:1. Twenty (91%) of the 22 patients were younger than 1 year at the time of surgery (Fig. 2). The most common etiology group was the NPIH, while the PIH group was the least represented (Fig. 3). Two patients were lost to follow-up during the period under review and were thus excluded from the study. The follow-up period ranged from 6 to 27 months with a mean of 13 months and a median of 12 months.

Overall outcome at 6 months postprocedure revealed a

### Hydrocephalus Protocol

<table>
<thead>
<tr>
<th>Type</th>
<th>AGE</th>
<th>AQUEDUCT</th>
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<tbody>
<tr>
<td>A</td>
<td>&lt;1 year</td>
<td>Open</td>
</tr>
<tr>
<td>B</td>
<td>&gt;1 year</td>
<td>Open</td>
</tr>
<tr>
<td>C</td>
<td>&lt;1 year</td>
<td>Closed</td>
</tr>
<tr>
<td>D</td>
<td>&gt;1 year</td>
<td>Closed</td>
</tr>
</tbody>
</table>

**PIH patients:** after ETV, if cistern is scarred proceed with VPS placement and do not do CPC.

**MM patients:** if floor is thin and it looks easy, do ETV+CPC. Otherwise, place VPS.
success rate of 75% (15 of 20 patients). If the 2 cases lost to follow-up were included as treatment failures, the rate decreased to 68%. When the outcome was further categorized based on hydrocephalic etiology, the MM group had the best outcome of 87.5% while the PIH group had the worst outcome of 50% (Table 1). Outcome did not appear to be related to age, although all of the treatment failures occurred in children who were younger than 6 months at the time of the procedure. In 1 child, the ETV procedure was repeated after initial clinical improvement; the stoma was found to be closed at the repeated endoscopy procedure and was reopened. Subsequently, the child was asymptomatic.

No significant intraoperative complications were noted in the study population. Bleeding encountered especially during CPC was easily controlled with irrigation and pressure. Most patients, however, had a bout of fever in the early postoperative period, which was self-limiting and easily corrected using paracetamol syrup (10 mg/kg). No patient had wound infection or sepsis related to the procedure.

**Discussion**

Hydrocephalus of varying etiology remains a major source of the neurosurgical disease burden worldwide. In developing countries such as Nigeria, the diagnosis is particularly burdensome to patients and their caregivers, as there is a lot of misunderstanding about the condition’s diagnosis, etiology, and prognosis. Anecdotal evidence suggests a cultural tendency toward attributing the disease to the realm of the supernatural or the superstitious. When accompanied by a less than optimal health care system and a high default rate, traditional treatment options like shunt devices are fraught with many challenges.

While the use of endoscopic techniques does afford the neurosurgeon a treatment option less prone to complications, it does not do so by altering the coexisting social milieu. The many possible complications associated with shunt insertion have been well documented, and in an environment where prompt access to health care facilities and/or personnel is limited, the less the neurosurgeon is needed posttreatment the better.

Another social advantage of endoscopic treatment is the ease with which it is accepted by parents. In our experience, many parents, while eager to accept shunt insertion when the child is ill, soon begin to pester the surgeon for shunt removal as soon as the child is perceived to be well. The presence of a shunt also becomes the culprit for any serious febrile episode the child has subsequently.

Prior to 2005, there were no reports in the literature on combining ETV and bilateral CPC, although earlier researchers had used both modalities at various times to treat patients with hydrocephalus. In reporting his experience in Uganda, Warf demonstrated that when ETV is combined with CPC a significant increase in the success rate is observed. This is particularly true for the category of patients with Chiari malformation Type II (post-MM) and PIH who have been thought unresponsive to endoscopic treatment. Since many children with hydrocephalus in our environment are likely to fall into this category, it is important to be able to offer them this treatment option.

Many studies have been performed to evaluate the effectiveness of ETV in children, with varying success rates reported. Most of these researchers have used ETV alone as the treatment option. One of the authors (O.B.B.) received training in the use of combined ETV+CPC for treating hydrocephalus in 2006. Before this period, all patients with hydrocephalus had been treated with ventriculoperitoneal shunt insertion. Neuroendoscopic treatment began in July 2007 when the equipment became available. The aim of this study was to determine if the improved success rates reported by Warf when combining ETV+CPC could be achieved in our environment in patients with characteristics similar to those in Dr. Warf’s patients.

Although the exact mechanism by which CPC improves outcome for ETV is unclear, several explanations have been offered for the observed benefit. In the series by Warf, the success rate ranged from 31% to 48% for children younger than 1 year with NPIH and PIH (with an open aqueduct) or with hydrocephalus due to MM treated using ETV alone. When this same category of patients had treatment with a combination of ETV+CPC, shunt placement was avoided in 62%–73%. Baldauf et al. reported a success rate of 43% in children younger than 2 years and 37.5% in infants after using only ETV. Yadav et al. achieved a clinical success rate of 83% using only ETV; however, most of the study population had aqueductal stenosis, which traditionally has the most favorable outcome. In our series, we were able to avoid shunting in 75% of the children treated with the combination of ETV and CPC (Table 1).

Postinfective hydrocephalus accounts for a large percentage of patients with hydrocephalus in many develop-
ing countries.11,25 Even in patients with PIH, a 50% reduction in shunt rates will have a significant impact on the quality of life as these children are able to avoid the longer term risks of shunt dependency.

Whether the benefits of this combined procedure are limited to children in developing nations has been debated. But this argument does not seem logical. While the circumstances in developing nations, as highlighted above and by other authors, make the ETV+CPC model particularly attractive, the benefits of shunt freedom should be no less so for patients in countries with better health care indices.

Although this study was based on outcome at 6 months postprocedure, no child, who at the time of evaluation for this study was assessed as being satisfactorily treated, has required any further treatment for hydrocephalus. It has been reported that most ETV failures occur soon after the procedure, usually within 6 months, and such early failure usually indicates an underlying unfavorable CSF physiology.26

The adoption of endoscopic techniques to treat children with hydrocephalus at our center has seen a gradual but significant reduction in our shunting rates and subsequently in shunt complications, much to the delight of all concerned (Fig. 4).

Conclusions

In this preliminary study, we have found the combination of ETV and CPC to be a useful tool in treating childhood hydrocephalus of varied etiology, avoiding shunt placement in 75% of the study group. While a longer follow-up period is still recommended, this treatment modality should be carefully considered when evaluating children with hydrocephalus.

![Graph showing the decline in shunts inserted over a 3-year period. The y-axis represents the number of shunts; the x-axis, the years. Figure is available in color online only.](image)

**TABLE 1. Overall success of ETV+CPC and success based on presumed etiology**

<table>
<thead>
<tr>
<th>Etiology</th>
<th>No. of Patients w/ Good Outcome (total no.)</th>
<th>Percentage</th>
</tr>
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<tbody>
<tr>
<td>Overall</td>
<td>15 (20)</td>
<td>75</td>
</tr>
<tr>
<td>MM</td>
<td>7 (8)</td>
<td>87.5</td>
</tr>
<tr>
<td>NPH</td>
<td>8 (10)</td>
<td>80</td>
</tr>
<tr>
<td>PIH</td>
<td>2 (4)</td>
<td>50</td>
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**References**


**Author Contributions**

Conception and design: Bankole. Acquisition of data: Bankole. Analysis and interpretation of data: Bankole, Ojo. Drafting the article: Bankole, Nnadi. Critically revising the article: all authors. Reviewed submitted version of manuscript: all authors. Approved the final version of the manuscript on behalf of all authors: Bankole. Study supervision: Bankole.

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