Pediatric hydrocephalus: systematic literature review and evidence-based guidelines. Part 5: Effect of valve type on cerebrospinal fluid shunt efficacy

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Object. The objective of this systematic review was to examine the existing literature to compare differing shunt components used to treat hydrocephalus in children, find whether there is a superior shunt design for the treatment of pediatric hydrocephalus, and make evidence-based recommendations for the selection of shunt implants when placing shunts.

Methods. Both the US National Library of Medicine PubMed/MEDLINE database and the Cochrane Database of Systematic Reviews were queried using MeSH headings and key words chosen to identify publications comparing the use of shunt implant components. Abstracts of these publications were reviewed, after which studies meeting the inclusion criteria were selected. An evidentiary table was compiled summarizing the selected articles and quality of evidence. These data were then analyzed by the Pediatric Hydrocephalus Systematic Review and Evidence-Based Guidelines Task Force to consider evidence-based treatment recommendations.

Results. Two hundred sixty-nine articles were identified using the search parameters, and 43 articles were recalled for full-text review. Of these, 22 papers met the study criteria for a comparison of shunt components and were included in the evidentiary table. The included studies consisted of 1 Class I study, 11 Class II studies, and 10 Class III studies. The remaining 21 articles were excluded.

Conclusions. An analysis of the evidence did not demonstrate a clear advantage for any specific shunt component, mechanism, or valve design over another.

Recommendation: There is insufficient evidence to demonstrate an advantage for one shunt hardware design over another in the treatment of pediatric hydrocephalus. Current designs described in the evidentiary tables are all treatment options. Strength of Recommendation: Level I, high degree of clinical certainty.

Recommendation: There is insufficient evidence to recommend the use of a programmable valve versus a nonprogrammable valve. Programmable and nonprogrammable valves are both options for the treatment of pediatric hydrocephalus. Strength of Recommendation: Level II, moderate degree of clinical certainty.

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Key Words • hydrocephalus • cerebrospinal fluid shunt • practice guidelines • programmable valve • antisiphon device

hydrocephalus is the most common condition treated by pediatric neurosurgeons. Successful management with cerebrospinal fluid shunt systems began after Nulsen and Spitz placed the first implantable shunt in 1949, using a stainless steel ball-valve system.34 Over the next 2 decades, shunt systems evolved to include distal slit valves, proximal slit valves, and diaphragm valves. The subsequent development of artificial valves and silicone tubing advanced shunt design dramatically. Simple differential pressure valves were initially engineered followed by a second generation of valves that included autoregulating, adjustable, antisiphon, and gravitational components.

The objective of this systematic review is to examine literature in which differing shunt components used to treat hydrocephalus in children are compared to find whether there is a superior shunt design for the treatment of pediatric hydrocephalus and to make evidence-based recommendations regarding the selection of shunt implants when placing shunts. Currently, many shunt system components are available to the pediatric neurosurgeon, and they function with a variety of pressure, flow, and
siphon control characteristics. Shunt system design has evolved along with attempts to minimize failure rates. The initial use of simple differential pressure valves led to concerns about the disadvantages of siphoning and associated shunt obstruction, subdural hematoma, ventricular syndrome, overdrainage, and craniolysis. In an attempt to minimize these complications, antisiphon devices have been developed and integrated into shunt systems as intrinsic to the valve mechanism or as separate devices. The antisiphon device is designed to provide progressive resistance to flow to counteract the siphoning that occurs when negative pressure is exerted with vertical positioning. The later development of programmable valves allowed for purposeful alterations in valve function to be made without a surgical procedure.

The purpose of this evidence-based review is to critically evaluate available data on the efficacy of comparable shunt components based on the strength of the available data. Most of the available evidence focuses on the comparison of shunt valve designs. Study outcome variables accepted for the purposes of this review included shunt survival, shunt complications, development of slit ventricle syndrome, and development of signs or symptoms of overdrainage.

Methods

Search Criteria

The US National Library of Medicine (PubMed/MEDLINE) and the Cochrane Database of Systematic Reviews were queried for the period January 1966 through March 2012 using MeSH headings and key words relevant to shunt system components as detailed below.

Search Terms

PubMed/MEDLINE
1. (“Cerebrospinal Fluid Shunts”[MeSH]) “Hydrocephalus”[MeSH:noexp]
2. 1 AND (programmable OR nonprogrammable OR non-programmable OR siphon OR antisiphon* OR antisiphon* OR (“differential pressure” OR “fixed pressure”) OR valve*)
3. Limit 2 to Child (0–18 years)
4. Limit to English and Humans

Cochrane Database
1. MeSH descriptor Child
2. MeSH descriptor Infant
3. 1 or 2 and (MeSH descriptor Cerebrospinal Fluid Shunts)
4. 3 and (MeSH descriptor Hydrocephalus)
5. (programmable OR nonprogrammable)
6. 4 and 5

Search Results

The search yielded 269 abstracts, which were then reviewed for relevance to the demonstration of superiority of 1 shunt component over another. Forty-three articles were recalled for full-text review. Predetermined inclusion and exclusion criteria were used to review each of these articles in detail. Twenty-two articles were included in the final evidentiary table. Reasons for exclusion of full-text articles included the absence of a valid comparison group (n = 14), invalid study design (n = 2), and redundant patient population (n = 1). For each article included in the evidentiary table, the study type, summary findings, and major conclusions were recorded, and a preliminary data class was assigned. The Pediatric Hydrocephalus Systematic Review and Evidence-Based Guidelines Task Force met to discuss the ranking of the evidence and the classification of data. Recommendations were then made based on the strength of the data in the evidentiary table (Table 1). In these discussions, if disagreement was encountered among Task Force members, a blinded vote was held and a consensus or majority opinion was reached.

Results

The review process identified 1 Class I study, 11 Class II studies, and 10 Class III studies. Only one included article was rated as a Class I study, Kestle et al. (2000), in which the investigators performed a randomized controlled trial comparing 3 kinds of valves: all types of standard differential pressure valves, a Delta valve (Medtronic) with an antisiphon mechanism, and an Orbis-Sigma valve (Cordis) with a variable-resistance and flow-limiting mechanism. Three hundred forty-four patients were randomly assigned to a valve type and followed up until the time of first shunt failure. Assessed outcome variables included shunt obstruction, overdrainage, ventricular loculations, and infection. The investigators did not find a significant difference in shunt survival between the 3 valve types in either the short-term (Drake 1998) or extended follow-up.

Eleven Class II studies, in which differing valve types were compared also failed to demonstrate a superior valve when shunt survival was assessed. Jain et al. (2000) conducted a prospective cohort study in which they compared shunts using a standard differential pressure valve with a Delta (Medtronic) flow-regulating valve. The authors found no significant difference in overall shunt survival (p = 0.72), with a 5-year survival rate of 58.6% for the differential pressure valves and 58.7% for the Delta valves. The authors did note a relative difference between the 2 groups in the incidence of overdrainage and infection. The differential pressure valve was associated with 4 cases of post-shunt subdural effusion or slit ventricle syndrome, while the Delta valve was associated with only 1 case of subdural effusion. The Delta valve group had 3 infections, whereas the differential pressure valve group had no infections. Warf et al. (2005) conducted a prospective randomized trial in which they compared the Codman-Hakim microprecision valve with the more affordable Chhabra valve. Ninety children were evaluated after randomization for shunt malfunction, shunt migration, and wound complication. No significant differences in outcome variables were demon-
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strated between the 2 groups. Smely and Van Velthoven (1997) conducted a retrospective cohort review in which they compared 66 infants who underwent placement of a ventriculoperitoneal Cordis Orbis-Sigma valve system with 53 patients who underwent placement of a ventriculooatrial Codman Holter Valve shunt system. Forty-eight percent of patients with the Orbis-Sigma valve required one or more revisions while 98.1% of patients with the Holter Valve required 1 or more revisions (p < 0.001). The difference in distal placement of the shunt system is a confounding factor when comparing valve types in this study.

Antisiphon Mechanism

Three Class II studies evaluating the antisiphon mechanism were included in our review. Liniger et al. (2003) studied 27 infants in a prospective cohort study in which a PS Medical medium pressure, flow-controlled valve was compared with a PS Medical 1.0 Delta valve with an antisiphon mechanism. The authors found a lower incidence of slit ventricle syndrome in the Delta valve group (6.25%) than in the flow-controlled valve group (9%); however this finding did not reach statistical significance (p > 0.99). The incidence of shunt revision was also lower in the Delta group (0.12 revisions/patient/year) than in the flow-controlled valve group (0.19 revisions/patient/year), a finding that also failed to reach statistical significance (p = 0.75). Khan et al. (2010) studied the role of the antisiphon mechanism in a randomized controlled trial. Forty patients undergoing shunt placement were randomized to receive a differential pressure valve with an antisiphon device (Vygon shunt) or a differential valve without an antisiphon device (Chhabra or Ceredrain shunts). Shunt blockage, shunt infection, overdrainage, loculated ventricles, and occipitofrontal circumference were assessed in the 2 groups. No end point variables demonstrated a statistically significant difference. Overdrainage complications occurred in 10% of the patients in the group without an antisiphon device as opposed to 0% in the group with an antisiphon device (p = 0.48). A slightly higher infection and obstruction rate was noted in the antisiphon group. In a retrospective cohort study of
### TABLE 1: Valve type: summary of evidence

<table>
<thead>
<tr>
<th>Authors &amp; Year</th>
<th>Study Description</th>
<th>Data Class, Quality, &amp; Reasons</th>
<th>Results &amp; Conclusions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kestle et al., 2000</td>
<td>Multicenter randomized trial comparing differential pressure valve, Delta valve, &amp; Orbis-Sigma valve. 344 pts w/ time to shunt failure as end point.</td>
<td>Class I Randomized controlled trial.</td>
<td>No clear advantage of 1 valve over another.</td>
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<tr>
<td>Khan et al., 2010</td>
<td>Role of ASD. 40 pts randomly assigned to shunt w/ ASD or differential valve.</td>
<td>Class II Prospective, randomized comparative trial. Small study w/ short follow-up (&lt;6 mos).</td>
<td>No overdrainage in ASD group, 2 pts w/ overdrainage in non-antisiphon group; higher occlusion &amp; infection in ASD group. No end point variables reached statistical significance.</td>
</tr>
<tr>
<td>Jain et al., 2000</td>
<td>Prospective data from 50 consecutive 1st-time shunt insertions. Comparison of shunt survival between differential pressure &amp; flow-regulating (Delta) valves.</td>
<td>Class II Prospective cohort study.</td>
<td>No significant difference in shunt survival between 2 groups: 5-yr survival = 58.6% in DPV group &amp; 58.7% in Delta valve group. Higher incidence of overdrainage in DPV group &amp; higher rate of infection in Delta valve group, although neither was statistically significant.</td>
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<tr>
<td>Liniger et al., 2003</td>
<td>27 infants w/ flow-control vs antisiphon valves followed for development of slit ventricles &amp; slit ventricle syndrome.</td>
<td>Class II Prospective cohort study.</td>
<td>No significant difference in development of slit ventricles or slit ventricle syndrome between 2 groups. Slit ventricle syndrome developed in 6.25% of pts in the antisiphon group &amp; 9% of pts in the flow-controlled valve group (p &gt; 0.99).</td>
</tr>
<tr>
<td>Pollack et al., 1999</td>
<td>377 pts randomized to receive the Codman Hakim programmable shunt vs a conventional valve of surgeon’s choice &amp; followed for valve explant &amp; shunt failure.</td>
<td>Class II Multicenter randomized controlled trial. Control group not uniform in valve type.</td>
<td>Comparable efficacy &amp; safety w/ no statistically significant difference in shunt survival.</td>
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<td>Davis et al., 2000</td>
<td>Retrospective cohort study of 475 pts who underwent VP shunt placement w/ Delta valve w/ antisiphon function or 2 differential pressure valves w/o antisiphon function (Holter-Hausner &amp; Heyer-Schulte). End points included shunt survival &amp; symptomatic subdural fluid collections.</td>
<td>Class II Single-institution retrospective cohort study.</td>
<td>No significant difference in shunt survival at 2-yr follow-up: 65% in Delta group, 66% in Holter-Hasner group, &amp; 64% in Heyer-Schulte valve group. No significant difference in rate of subdural fluid collection between groups.</td>
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<td>Hatlen et al., 2012</td>
<td>Retrospective review of 523 pts who underwent 616 shunt surgeries w/ 2-yr min follow-up. Pts w/ programmable valve placement (Strata &amp; Codman Hakim valves) compared w/ nonprogrammable valves (Heyer-Schulte, Spetzer, Delta, &amp; Medtronic). Valve survival was primary end point.</td>
<td>Class II Retrospective cohort study. Data obtained from prospectively collected shunt database.</td>
<td>5-yr survival for nonprogrammable valves (45.8%) was significantly higher than that for programmable valves (19.8%), p = 0.0001.</td>
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<td>McGirt et al., 2007</td>
<td>279 pts who underwent shunt placement procedures w/ either a programmable (Strata or Codman Hakim) or a nonprogrammable valve (PS Medical Delta) were retrospectively assessed &amp; their cases analyzed for time to shunt malfunction &amp; type of malfunction.</td>
<td>Class II Retrospective cohort review.</td>
<td>Programmable valves associated w/ reduced risk of both overall shunt revision (35% vs 54%, p = 0.016) &amp; proximal obstruction (12% vs 28%, p = 0.006).</td>
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<tr>
<td>Notarianni et al., 2009</td>
<td>253 pts who underwent shunting procedures w/ either a programmable (Medtronic Strata or Codman Hakim) or a nonprogrammable (pressure-controlled or not specified) valve were retrospectively assessed &amp; their cases analyzed for time to shunt malfunction.</td>
<td>Class II Retrospective review.</td>
<td>Failure rates (p = 0.11) were not significantly different btwn shunts w/ programmable valve (76.1%), shunts w/ nonprogrammable valve (80.0%), &amp; shunts w/ nonspecified valve (65.0%).</td>
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(continued)
### TABLE 1: Valve type: summary of evidence* (continued)

<table>
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<td>Warf, 2005</td>
<td>90 pts randomized to receive the Chhabra or Codman Hakim shunt as primary treatment for hydrocephalus &amp; 105 pts treated w/ Chhabra shunt after unsuccessful ETV.</td>
<td>Class II Prospective, randomized study. This study was downgraded from a Class I to a Class II study due to nonblinded outcome assessors.</td>
<td>No difference btwn 2 groups in incidence of shunt malfunction, shunt migration, wound complication, or death.</td>
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<td>Mangano et al., 2005</td>
<td>189 children who underwent shunt placement w/ either a programmable valve (Strata or Codman-Medos w/ ASD) or a nonprogrammable valve (PS Medical) were retrospectively assessed &amp; their cases analyzed for time to shunt malfunction &amp; CSF protein levels.</td>
<td>Class II Retrospective cohort review, short follow-up (mean 9 mos).</td>
<td>Programmable valves had higher rate of malfunction (11.1% compared to 0%), but the difference did not reach statistical significance.</td>
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<td>Smely &amp; Van Velthoven, 1997</td>
<td>Retrospective review of 66 infants treated w/ Cordis Orbis-Sigma Valve compared to 53 children treated w/ Codman Holter Valve ventriculoatrial system.</td>
<td>Class II Retrospective cohort review.</td>
<td>Codman Holter Valve group demonstrated a greater than double risk of shunt complications in comparison to the ventriculoatrial Orbis-Sigma valve system. 48.5% of pts w/ Orbis-Sigma valve required 1 or more revisions; 98.1% of pts w/ Holter Valve required 1 or more revisions (p &lt; 0.001).</td>
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<td>Gruber et al., 1984</td>
<td>Retrospective review of 41 pts in whom there was primary or secondary placement of an ASD to their shunt system. Comparison of clinical course before &amp; after placement of ASD.</td>
<td>Class III Retrospective case series.</td>
<td>Fewer shunt malfunctions after ASD placement. Complication rate per pt was 4 times less frequent &amp; the annual ventricular catheter obstruction rate per pt improved 12 times. No statistical analysis to determine significance.</td>
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<td>Kan et al., 2007</td>
<td>244 children who underwent shunt placement w/ either a differential pressure valve, Delta valve, or Orbis-Sigma valve &amp; had 1-yr follow-up data were assessed for development of slitlike ventricles.</td>
<td>Class III Retrospective review.</td>
<td>23 pts developed slitlike ventricles: 10.8% of pts w/ differential pressure valves, 10.5% of pts w/ Delta valves, &amp; 3.6% of pts w/ Orbis-Sigma valves, p = 0.007. Children w/ differential pressure or Delta valves were 1.67 times more likely to develop slitlike ventricles than those w/ Orbis-Sigma valves.</td>
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<tr>
<td>Miranda et al., 2011</td>
<td>Retrospective review of shunt survival in 103 pts treated for preterm-related posthemorrhagic hydrocephalus.</td>
<td>Class III Retrospective review.</td>
<td>42 episodes of obstruction. Fixed medium pressure valves were associated w/ a higher rate of obstruction than low pressure valves; only statistically significant in those pts weighing &gt; 2000 g, p = 0.040.</td>
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<tr>
<td>Ramadwar et al., 1997</td>
<td>28 pts underwent retrospective comparison of the efficacy of the Delta valve vs the Heyer-Shulte Multi-Purpose valve.</td>
<td>Class III Retrospective review. Small sample.</td>
<td>69% of pts w/ Delta valve &amp; 53% of pts w/ Multi-Purpose valve required revision. Did not reach statistical significance.</td>
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<tr>
<td>Robinson et al., 2002</td>
<td>158 pts whose cases were retrospectively analyzed for significant factors associated w/ shunt malfunction.</td>
<td>Class III Retrospective case series.</td>
<td>Revision rate per yr was 4 times higher for pts w/ no valve or low pressure valve (72% 5-yr failure rate) than for pts w/ medium or high pressure valve (47% 5-yr failure rate), p = 0.0005.</td>
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<tr>
<td>Sainte-Rose et al., 1991–1992</td>
<td>Retrospective review of the mechanical complications leading to shunt malfunction in 1719 pts w/ shunted hydrocephalus. Pts were treated w/ distal slit valves or medium pressure proximal valves.</td>
<td>Class III Retrospective case series.</td>
<td>A higher risk of proximal occlusion is associated w/ flanged ventricular catheters (p &lt; 0.04); shunts w/ proximal medium pressure valves are less likely to malfunction than shunts w/ distal slit valves (p &lt; 0.000002); open-ended distal catheters associated w/ fewer distal obstructions (p &lt; 0.0003).</td>
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</table>
475 patients, Davis et al.\textsuperscript{4} (2000) assessed shunt survival and the development of subdural collection in patients treated with a Delta valve shunt with antisiphon function and in patients treated with one of 2 differential pressure valves without antisiphon control. In a comparison of the 3 groups, no significant difference was found.

The Class III studies that assessed the antisiphon mechanism include a retrospective review by Gruber et al.\textsuperscript{6} (1984), in which the authors evaluated 41 patients before and after primary or secondary placement of an antisiphon device. In the secondary placement group fewer complications and proximal catheter obstructions were noted after placement of such a device. However, no statistical analysis was provided by the authors to demonstrate the significance of their findings. In a retrospective cohort review of 101 patients who underwent shunt placement, Virella et al.\textsuperscript{43} (2002) reported no significant differences between patients who underwent placement of a distal slit valve and patients who underwent placement of a Delta valve with an antisiphon component. The authors assessed the number of revisions, infections, and evidence of overdrainage, and reported that 31% of patients in the distal slit valve group required a single shunt revision and 8% required a second revision, whereas 30% of patients in the Delta valve group required a single revision and 20% required a second. Kaiser et al.\textsuperscript{16} (1997) reported a prospective but incompletely described comparison study between a conventional medium pressure valve and the Delta valve. The authors found no difference in the number of shunt revisions.

**Slit Ventricles**

Kan et al.\textsuperscript{17} (2007) conducted a retrospective review of 244 patients with at least 1 year of follow-up after primary shunt placement with a differential pressure valve, a Delta valve, or an Orbis-Sigma valve. Variables associated with the development of slitlike ventricles included patient age (younger age at insertion was associated with a higher incidence of slitlike ventricles; \( p = 0.09 \)), etiology (trauma, infection, and aqueductal stenosis were associated with a higher incidence of slitlike ventricles), and valve type (10.8% of patients with differential pressure valves, 10.5% with Delta valves, and 3.6% with Orbis-Sigma valves developed slitlike ventricles; \( p = 0.007 \)). This article suggests that a slower reduction in ventricle size and slower flow may lead to larger ventricles after shunt placement. Slit ventricle syndrome was not directly assessed; rather, the radiographic appearance of slitlike ventricles was used as a surrogate outcome.

**Programmable Valves**

Five Class II studies\textsuperscript{11,24,27,33,36} evaluated programmable valves. Pollack et al.\textsuperscript{36} (1999) conducted a multicenter randomized controlled trial in which they compared the programmable Codman Hakim valve to the surgeon’s choice of any conventional valve. The authors demonstrated comparable efficacy and safety with no statistically significant difference in shunt survival between the experimental and control groups.

Hatlen et al. (2012) published an analysis of program-
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Many contemporary valve designs exist despite major deficiencies in long-term clinical evaluation. Well-designed comparison studies with clearly defined outcome measures failed to demonstrate statistical significance. While valves with antishunt mechanisms may be superior in preventing overdrainage complications, no statistically significant data exist in the current medical literature to support this trend.

The studies assessing programmable versus nonprogrammable valves demonstrated either no statistically significant differences or contrary outcomes, pointing to the need for long-term prospective controlled analysis of this issue. Class III data demonstrating poorer function of distal slit valves in comparison with a proximal valve are described and are consistent with the contemporary decrease in utilization of the former type of shunt system.

The available literature in which one shunt component is compared with another does not demonstrate a clear superiority of one over another. Higher rates of overdrainage were seen with standard differential pressure valves; however, the outcome variables studied in the comparisons of these groups with other shunt mechanisms failed to demonstrate statistical significance. While valves with antishunt mechanisms may be superior in preventing overdrainage complications, no statistically significant data exist in the current medical literature to support this trend.

The Task Force excluded 21 articles recalled for full-text review from the final evidentiary table. The majority of excluded papers did not include a comparison group or control group.3–7,10,12,13,20,25,26,28,35 Other reasons for exclusion included invalid study design (questionnaire survey),30,31 redundant patient population (only the paper with the longest reported follow-up was included), and absence of a valid outcome variable (change in ventricle size, development of spinal canal stenosis, historical description, and frequency of hospital visits).38,41,42,43

Conclusions

**Recommendation:** There is insufficient evidence to demonstrate an advantage of one shunt hardware design over another for the treatment of pediatric hydrocephalus. Current designs described in the evidentiary tables are all treatment options. **Strength of Recommendation:** Level I, high degree of clinical certainty.

**Recommendation:** There is insufficient evidence to recommend the use of a programmable valve versus a nonprogrammable valve. Programmable and nonprogrammable valves are both options for the treatment of pediatric hydrocephalus. **Strength of Recommendation:** Level II, moderate degree of clinical certainty.

There is insufficient evidence to demonstrate the efficacy of the Delta valve with the Heyer-Shulte Multi-Purpose valve in 28 patients. Sixty-nine percent of patients with the Delta valve required revision, compared with 53% of patients with the Multi-Purpose valve. The sample size in that study was small, and the data did not reach statistical significance. In an older paper by Serlo et al.40 (1986), a retrospective review of 148 children was conducted to compare the Pudenz-Heyer valve with the Cordis Hakim valve. No significant difference was found in overall shunt efficacy, although significance was demonstrated in a higher rate of valve patency on the part of the Pudenz-Heyer valve (p < 0.001).

**Excluded Studies**

The available literature in which one shunt component is compared with another does not demonstrate a clear superiority of one over another. Higher rates of overdrainage were seen with standard differential pressure valves; however, the outcome variables studied in the comparisons of these groups with other shunt mechanisms failed to demonstrate statistical significance. While valves with antishunt mechanisms may be superior in preventing overdrainage complications, no statistically significant data exist in the current medical literature to support this trend.

The studies assessing programmable versus nonprogrammable valves demonstrated either no statistically significant differences or contrary outcomes, pointing to the need for long-term prospective controlled analysis of this issue. Class III data demonstrating poorer function of distal slit valves in comparison with a proximal valve are described and are consistent with the contemporary decrease in utilization of the former type of shunt system.

Many contemporary valve designs exist despite major deficiencies in long-term clinical evaluation. Well-designed comparison studies with clearly defined outcome measures failed to demonstrate statistical significance.
variables and appropriate stratification of patient variables are needed to further investigate the appropriate clinical utilization of these valves. Accessing the necessary patient volume required to reach significance and balancing industry interests with trial integrity may be significant barriers to pursuing needed studies; however, as increasingly expensive and complex valves become available for clinical use, these studies will become imperative.

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Disclosure

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